

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Graber, Susan P	2. Court or Organization U.S. Court of Appeals 9th Circuit	3. Date of Report 05/05/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address The Pioneer Courthouse 700 SW 6th Ave Portland OR 97204	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1988	Oregon Public Employee's Retirement Systems (PERS) with former employer when I was a state court judge. (statutorily defined benefits, no control)
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Portland General (retirement income)
2.	
3.	
4.	

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Columbia Univ School of Law	April 10,11,12, 2007	New York, NY	Moot Court Competition	transportation, food and lodging
2.	Cornell Law School	April 13,14,15, 2007	Ithaca, NY	Moot Court Competition	transportation, food and lodging
3.	American Constitution Society for Law and Policy	July 26, 27, 28 2007	Washington DC	Speaker at Convention	transportation, food and lodging
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Charles Schwab Cash Reserves #1	A	Dividend	J	T					
2. Charles Schwab Cash Reserves #2	A	Dividend	J	T					
3. Charles Schwab Cash Reserves #3	A	Dividend	J	T					
4. Oregon Savings Growth Plan		None	K	T					
5. PERS account (See description in Part II (1))		None	N	W					
6. [REDACTED] Farm LLC - appraisal dated 5/28/98	D	Distribution	M	Q					
7. IRA #1 - reported in the aggregate	E	Dividend							
8. --Vanguard Short Term Bond Index Fund			M	T					
9. --Vanguard Intermediate Bond Index Fund			M	T					
10. --Vanguard Index 500			M	T					
11. --Vanguard Mid-Cap Index			M	T					
12. --Vanguard Total Stock Market Index			M	T					
13. IRA #2 - reported in the aggregate	E	Dividend							
14. --Vanguard Short Term Bond Index Fund			L	T					
15. --Enron Common Stock			J	T					
16. --Schwab Cash Reserves			M	T					
17. --Vanguard Mid Cap Index Fund			M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --Vanguard Index 500 - gain not reported to us					Sell	08/01	N		
19. --Vanguard Intermediate Bond Index - gain not report. to us			M	T	Sell part	7/31	M		
20. --Vanguard Developed Markets Index Fund			N	T					
21. --Schwab Core Equity Fund			N	T	Buy	8/28	M		
22. --Schwab Yield Plus Select					Buy	8/1	M		
23. --Schwab Yield Plus Select			M	T	Sell part	12/18	L		
24. --Fidelity Equity Income Fund			L	T	Buy	8/28	L		
25. --Vanguard GNMA Fund			L	T	Buy	12/19	L		
26. IRA #3 --Fidelity Spartan International Index Fund	A	Dividend	K	T					
27. Trust #1									
28. --Vanguard Short Term Bond Index Fund	B	Dividend	K	T	Buy	12/26	K		
29. --Schwab Yield Plus - loss on sale					Sell part	6/26	J		
30. --Schwab Yield Plus - loss on sale	A	Dividend			Sell	12/24	K		
31. --Schwab Cash Reserves	A	Dividend	J	T					
32. American Century Select	A	Dividend			Sell	8/16	J	C	
33. Vanguard Index 500	A	Dividend	J	T	Buy	8/28	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII, lines 1-3: The Charles Schwab Cash Reserve funds are cash-equivalent funds. [REDACTED] fund is listed separately.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544