

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) FERNANDEZ, FERDINAND F		2. Court or Organization NINTH CIRCUIT COURT OF APPEALS	3. Date of Report 4/15/11
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - SENIOR		5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final Date _____ 5b. ☐ Amended Report	
7. Chambers or Office Address 125 SO GRAND AVE PASADENA, CA 91105		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.			

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

Fernandez , Ferdinand F.

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Name of Person Reporting

FERNANDEZ, FERDINAND F

Date of Report

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

FERNANDEZ, FERDINAND F

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)☐

NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. West Publishing Co	Books	\$115450
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)☒

NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

FERNANDEZ, FEDINAND F

Date of Report

4/15/11

VII. INVESTMENTS and TRUSTS — income, value, transactions. (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. Accts. at Wells Fargo Bank	A	INT	L	T					
2. Accts. at J.P. Morgan Chase	B	INT	L	T					
3. Accts. at Bank of America	C	INT	L	T					
4. Accts. at Union Bank	A	INT	L	T					
5. Accts. at Community Bank	B	INT	L	T					
6. Accts. at California Bank & Trust	A	INT	L	T					
7. Accts. at Pacific Western Bank	B	INT	L	T					
8. Accts. at U.S. Bank	B	INT	M	T					
9. Accts. at Community Commerce Bank	B	INT	M	T					
10. Accts. at Bank of the West	B	INT	L	T					
11. Accts. at City and Business Bank	A	INT	M	T					
12. Accts. at Security Bank of Calif	None		K	T					
13. Commerce Bank Acct.	A	Int.			close	1/29	L		
14. Acct. at Access Bank	A	Int.			close	2/9	L		
15. Acct. at GE Money Bank	None				Open	2/4	L		
16. Acct. at Mazrahi Tafakot Bank	None				Open	2/18	L		
17. Am Fund Small Cap World CIA	A	DIV	J	T					

1 Income Gain Codes

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

FERNANDEZ, FERDINAND F

Date of Report

4/15/11

VII. INVESTMENTS and TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period					(5) Identity of buyer/seller (if private transaction)
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)		
18 Am Fund Cap Income Builder C/A	B	DIV	L	T	DISTRIB from IRA	2/9	J			
19 Am Fund New Economy C/A	A	DIV	J	T						
20 Income Fund of America C/A	A	DIV	K	T						
21 Am. Cap. Fund C/A	A	DIV	J	T						
22 Am. Fund Balanced Fund C/A	A	DIV	J	T						
23 Am Fund Capital World Growth Fund C/A	A	DIV	K	T						
24 Am Fund Euro Pacific Growth Fund C/A	A	DIV	K	T						
25 Am Fund Growth Fund C/A	A	DIV	K	T	Distrib from IRA	2/9	J			
26 Am Fund, Inv. Co. of America C/A	A	DIV	J	T						
27 Am Fund New World Growth Fund C/A	A	DIV	K	T						
28 WASH. Mt. Inv. Fund C/A	A	DIV	K	T						
29 Van Kampen Enterprise Fund C/A	A	DIV	J	T	Distrib from IRA	2/9	J			
30 Van Kampen Cap. Growth Fund C/A	A	DIV	K	T						
31 Van Kampen Real Estate SEC Fund C/A	A	DIV	K	T						
32 Van Kampen Constock Fund C/A	A	DIV	J	T						
33 Select Notes Trust SEC LT #5	A	INT	J	T	Distrib from IRA	2/9	J			
34 TNA Pool Cert.	A	INT	J	T	Distrib from IRA	2/9	J			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

FERNANDO & FERDINAND F

Date of Report

4/11/11

VII. INVESTMENTS and TRUSTS – income, value, transactions. (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35 IRA (2 terms 36-40)			None	Distrib	2/9	See Notes 36-40			
36 Select Notes Trust Sec. LT #5	A	Int.	None	Distrib	2/9	J			
37 CUNA Pmt Cert.	A	Int.	None	Distrib	2/9	J			
38 Am Fund Cap Income Boulder C.I.A.	A	Div	None	Distrib	2/9	J			
39 Am Fund Growth Fund of Am C.I.A.	A	Div	None	Distrib	2/9	J			
40 Van Kampen Enterprise Fund C.I.A.	A	Div	None	Distrib	2/9	J			
41 U.S. T. Bills	A	Int.	K	T					
42 U.S. T. Bills	A	Int.	L	T					
43 U.S. T. Bills	A	Int.	K	T					
44 U.S. T. Bills	A	Int.	K	T					
45 U.S. T. Bills	A	Int.	K	T					
46 U.S. T. Bills	A	Int.	K	T					
47 U.S. T. Bills	A	Int.	K	T					
48 U.S. T. Bills	A	Int.	K	T					
49 U.S. T. Bills	A	Int.	N	T					
50 U.S. T. Bills	A	Int.	L	T					
51 U.S. T. Bills	A	Int.	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

FERNANDEZ, FERDINAND F

Date of Report

4/15/11

VII. INVESTMENTS and TRUSTS — income, value, transactions. (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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82 Trust #1; Income Beneficiary (I have 3-82)									
83 (a) Wells Fargo Trust									
84 (i) Wells Fargo Common Trust Fund & CHIA	B	Div/Int	L	T					
85 (ii) Vanguard REIT Viper	A	DIV	J	T	Sell	7/27	J	A	
						10/13	J	A	
86 (iii) Templeton Global Bond Fund #616	A	DIV	None		Sell	7/23	J	A	
						10/08	J	A	
87 (i) Epoch US Equity Fund	A	DIV	None		See VIII				
88 (ii) Hussman Strategic Growth Fund	A	DIV	J	T					
89 (i) PowerShares DB Commodity Index	A	DIV	None		Sell	10/13	J	B	
90 2 Shares MSC1 (i) LAFE	A	DIV	K	T					
91 2 Shares Corporate Bond Fund	A	DIV	J	T					
92 2 Shares TR (i) S&P Midcap	A	DIV	None		Sell	7/27	J	B	
93 (i) Keely Smith Cap Value Fund	A	DIV	None		Sell	7/27	J	B	
						10/18	J	B	
94 Vanguard Emerging Market ETF	A	DIV	J	T					
95 SPDR D.J. Wilshire (i) Intl Real Estate	A	DIV	J	T					
96 Dreyfus Emerging Mkt (i) Trst Fund	A	DIV	J	T	Purchase	10/13	J		
97 AMEX Consumer SPDR (i) 100	A	DIV	J	T	Purchase	7/27	J		
98 Consumer Staples (i) Sector SPDR	A	DIV	J	T	Purchase	7/27	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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FERNANDEZ, FERDINAND F.

Date of Report

4/15/11

VII. INVESTMENTS and TRUSTS -- income, value, transactions. (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code I (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code I (A-H)	Identity of buyer/seller (if private transaction)
69 AMEX Energy Select (XVI) SPDR	A	DIV.	J	T	Purchase	7/27	J		
70 AMEX Financial Select (XVII) SPDR	A	DIV	J	T	Purchase	7/27	J		
71 AMEX Healthcare (XVIII) SPDR	A	DIV	J	T	Purchase	7/27	J		
72 AMEX Industrial (XIX) SPDR	A	DIV	J	T	Purchase	7/27	J		
73 AMEX Technology (XX) Select SPDR	A	DIV	J	T	Purchase	7/27	J		
74 AMEX Materials (XXI) SPDR	A	DIV.	J	T	Purchase	7/27	J		
75 AMEX Utilities (XXII) SPDR	A	DIV	J	T	Purchase	7/27	J		
76 I Shares S&P Midcap (XXIII) 400 Growth	A	DIV	J	T	Purchase	7/27	J		
77 I Shares S&P Midcap (XXIV) 400 Value	A	DIV.	J	T	Purchase	7/27	J		
78 I Shares TR Small (XXV) Cap 600 Index	A	DIV	J	T	Purchase	10/13	J		
79 Diamond Hill Long- (XXVI) Short Fund Cl. I	A	DIV	J	T	Purchase	7/27	J		
80 Mercer FD SH (XXVII) BEN INT	A	DIV	J	T	Purchase	7/27	J		
81 Credit Suisse (XXVIII) Commodity Return Short Fund	A	DIV	J	T	Purchase	10/13	J		
82 (b) Real property									
15 in Clifton, AZ (Trustee Unk)	None		J	W					
16.									
17.									

1 Income Gain Codes:

(See Columns B1 and D4)

2 Value Codes

(See Columns C1 and D3)

3 Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

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P3 = \$25,000,001 - \$50,000,000

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B = \$1,001 - \$2,500

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K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

FERNANDEZ, FERDINAND F

Date of Report

4/15/11

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. (Indicate part of report.)

Part VII, Item 57, Epoch U.S. Equity Fnd. This was listed as on hand in 4/15/10 report. However, it had been sold on 8/10/09 at a Code A gain. Sale value Code J.

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Name of Person Reporting

FELUANDEL, FERDINAND F

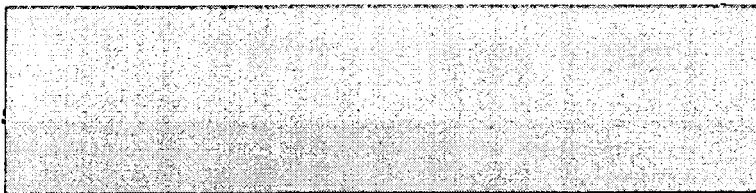
Date of Report

4/15/11

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544