

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK
UNITED STATES COURTHOUSE
FOLEY SQUARE
NEW YORK, NEW YORK 10007-1581

LAWRENCE M. MCKENNA
DISTRICT JUDGE

May 27, 2005

SELF INITIATED
AMENDMENT

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

RECEIVED
2005 JUN -11 A 11:22
FINANCIAL
DISCLOSURE OFFICE

Dear Sirs:

I enclose my Financial Disclosure form for the year
2004, plus an amended page of the same form for 2003, correcting
the information relating to the Pfizer acquisition of Pharmacia
on April 16, 2003.

Very truly yours,


Lawrence M. McKenna
U.S.D.J.

FINANCIAL DISCLOSURE REPORT

 Name of Person Reporting
 MCKENNA, LAWRENCE M.

 Date of Report
 05/14/2004
 (amended 5/27/04)

VII. Page 2 INVESTMENTS and TRUSTS — income, value, transactions

(Includes those of spouse and dependent children. See pp. 36-54 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code (A-H)	(2) Type (e.g., dividend, rent or interest)	(1) Value Code (J-P)	(2) Value Method Code (Q-W)	(1) Type (e.g., buy, sell, partial sale, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code (J-P)	(4) Gain Code (Q-W)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
18 FIDELITY CASH RESERVES	A	Interest	J	T					
19 AGERE (Class A) (Common)		None	J	T					
20 AGERE (Class B) (Common)		None	J	T					
21 FIDELITY STRUCTURED MIDCAP GROWTH (Fund)		None	J	T					
22 PHARMACIA (Common)	A	Dividend	J	T	exchange for Pfizer	4/16	J	A	
23 MONSANTO (Common)	A	Dividend	J	T					
24 EASTMAN KODAK (Common) (Stock Invest. Plan)	A	Dividend	J	T	Buy	5/23	J		
25 H.J. HEINZ (Common) (Stock Invest. Plan)	A	Dividend	J	T	Buy	5/29	J		
26 MEDCO HEALTH (Common)		None	J	T	Spin-off	8/19	J		
27 FORD MOTOR (Preferred)	A	Dividend	J	T	Buy	7/7	J		
28 PFIZER (Common)	A	Dividend	J	T	from Pharmacia exchange	4/16	J		
29 FIDELITY STRUCTURED MIDCAP (Fund)		None	J	T	Buy	2/10	J		
30 FIDELITY GROWTH & INCOME II (Fund)	A	Dividend	K	T	Buy	5/29	K		
31 SPARTAN NY MUNI INCOME (Fund)	A	Dividend	K	T	Buy	5/29	K		
32 FIDELITY CONTRA FUND (Fund)	A	Dividend	J	T	Buy	11/10	J		
33									
34									

1 Inc/Gain Codes: A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000
 (Col. B1, D4) F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more

2 Val Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000
 (Col. C1, D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

3 Val Mth Codes: Q=Appraisal R=Cost (real estate only) S=Assessment T=Cash/Market
 (Col. C2) U=Book Value V=Other W=Estimated

 SELF INITIATED
 AMENDMENT
 RECEIVED
 JUN - 1 A 11:23
 FINANCIAL
 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) McKENNA, LAWRENCE M	2. Court or Organization Southern District of New York	3. Date of Report 5/27/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (Senior)	5. ReportType (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address United States District Court 500 Pearl Street (1640) New York, N.Y. 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. ADMINISTRATOR

ESTATE OF [REDACTED]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

RECEIVED
2005 JUN - 1 A 11: 23
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

McKENNA, LAWRENCE M

Date of Report

5/27/2005

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

McKENNA, LAWRENCE M

Date of Report

5/27/2005

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

McKENNA, LAWRENCE M

Date of Report

5/27/2005

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)

☐ NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

Self-employed (lawyer)

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ NONE - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

FINANCIAL DISCLOSURE REPORT

Page 1 of 2

Name of Person Reporting
McKENNA, LAWRENCE M

Date of Report
5/27/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. CITIBANK (Checking)		None	J	T					
2. EMIGRANT SAVINGS BANK (Savings)	A	Interest	J	T					
3. UBS PAINE WEBBER RET. MONEY FUND	A	Interest	J	T					
4. LORD ABBETT U.S. GOV'T SEC. TRUST (Fund)	A	Dividend	J	T					
5. LORD ABBETT GROWTH OPPORTUNITIES (Fund)		None	J	T					
6. LUCENT (Common)		None	J	T					
7. ALTRIA (Common)	A	Dividend	J	T					
8. IBM (Common)	A	Dividend	J	T					
9. BIOSONICS (Common)		None	J	T					
10. MCI WORLD (Common)		None	J	T					
11. SONORAN (Common)		None	J	T					
12. MERCK (Common) (Stock Invest. Plan)	A	Dividend	J	T					
13. CISCO SYSTEMS (Common)		None	J	T					
14. AVAYA (Common)		None	J	T					
15. ATMEL (Common)		None	J	T	Sale	10/8	J	A	
16. ASTROPOWER (Common)		None	J	T					
17. FIDELITY CASH RESERVES	A	Interest	L	T					
18. AGERE (Class A) (Common)		None	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 2 of 2

Name of Person Reporting
McKENNA, LAWRENCE M

Date of Report
5/27/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. AGERE (Class B) (Common)		None	J	T					
20. FIDELITY STRUCTURED MIDCAP GROWTH (Fund)		None	J	T					
21. MONSANTO (Common)	A	Dividend	J	T	Buy	6/10	J		
22. EASTMAN KODAK (Common) (Stock Invest. Plan)	A	Dividend	J	T					
23. H.J. HEINZ (Common) (Stock Invest. Plan)	A	Dividend	J	T					
24. MEDCO HEALTH (Common)		None	J	T					
25. FORD MOTOR (Preferred)		None	J	T	Redemption	1/2	J	A	
26. PFIZER (Common)	A	Dividend	J	T	Sale	11/18	J	A	
27. FIDELITY STRUCTURED MIDCAP (Fund)		None	J	T	Buy	2/10	J		
28. FIDELITY GROWTH & INCOME II (Fund)	A	Dividend	K	T	Buy	5/24	J		
29. SPARTAN NY MUNI INCOME (Fund)	A	Dividend	K	T	Sale	5/24	K	B	
30. FIDELITY CONTRA FUND (Fund)	A	Dividend	J	T	Buy	3/1	J		
31. FEDEX (Common) (Stock Invest. Plan)	A	Dividend	J	T	Buy	8/7	J		
32. ANHEUSER BUSCH (Common)	A	Dividend	J	T	Buy	1/23	J		
33. EXXON MOBIL (Common)		None	J	T	Buy	11/26	J		
34. HONDA MOTORS (Common)	A	Dividend	J	T	Buy	6/17	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

McKENNA, LAWRENCE M

Date of Report

5/27/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/27/05

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544