

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hicks, Jr., S. Maurice	2. Court or Organization U.S. District Co., Western La.	3. Date of Report 08/13/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address U.S. Courthouse 300 Fannin St., Suite 5101 Shreveport, LA 71101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. President	S. Maurice Hicks, Jr., A Professional Law Corporation (Dissolved 2006)
2. Co-Executor	Estate of [REDACTED]
3. Lecturer	Centenary College of Louisiana
4. Board of Directors Member	Children and Arthritis, Inc. (501(c)(3) organization)
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Centenary College of Louisiana - Teaching	\$ 2500
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Paralegal services - self-employed
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Hibernia National Bank	Mortgage - Rental Property #1, Ruston, LA	L
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "EX" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Capital One Bank Accounts (formerly Hibernia Nat'l Bank)	C	Interest	M	T					
2. State Farm Life Insurance Co. Account	A	Interest	K	T					
3. Northwestern Mutual Life Insurance Co. Account	A	Interest	K	T					
4. Promissory Note - Sale of Law Firm Assets	G	Distribution	M	U	INSTALLMENT	12/01	M	G	CRAIG MARCOTTE
5. Timber Land, Lincoln Parish, Louisiana - 1/1/96, \$30,000		None	K	R					
6. Ditech Communications Stock		None			sell	01/02	J	A	
7. Capital One Bank Stock Common (formerly Hibernia Nat'l)	A	Dividend	K	T					
8. Youthstream Media Stock Common		None	J	W	sell	01/02	J	A	
9. Rental Property #1, Ruston, LA - 07/03/01, \$75,427	D	Rent	L	R					
10. IRA # 1 DGAGX Mutual Fund	C	Dividend	K	T					
11. IRA # 1 FMAGX Mutual Fund	D	Dividend	K	T					
12. IRA # 1 Capital One Money Fund	C	Dividend	K	T					
13. BEA Systems Stock Common		None	J	T	sell	01/02	J	A	
14. Reliant Resources Stock Common 100	A	Dividend	J	T					
15. VAC Federal Credit Union	A	Interest	J	T					
16. Barksdale Federal Credit Union	A	Interest	K	T					
17. Red River Syndicate LP	A	Dividend	K	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes: (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IRA #1 Bank of America Common	A	Dividend			sell	12/08	J	A	
19. IRA #1 Martin Midstream Partners LTD	A	Dividend	J	T					
20. Janney, Montgomery Scott Brokerage Account - Cash	A	Interest	J	T					
21. 12 Technologies Stock Common 20		None	J	T					
22. Lexar Media Stock Common		None	J	T	sell	01/02	J	A	
23. IRA #1 Encana Corp	A	Dividend	K	T	partial sell	09/11	J	A	
24. IRA #1 Sun Microsystems Common	A	Dividend			sell	07/10	J	A	
25. IRA #1 Canadian Oil Sands	A	Dividend	K	T					
26. IRA #1 XTO Energy	A	Dividend	J	T					
27. IRA #1 Valero Energy	A	Dividend	K	T					
28. IRA #1 Cree Inc	A	Dividend			sell	04/21	J	A	
29. IRA #1 Omnivision Technologies	A	Dividend			sell	05/03	J	A	
30. IRA #1 Conoco Phillips	A	Dividend	J	T					
31. IRA #1 Fording CDN Coal	A	Dividend			sell	09/11	J	A	
32. IRA #1 Ultra Petroleum	A	Dividend			sell	04/19	J	B	
33. IRA #1 Kinder Morgan	A	Dividend			sell	04/19	J	A	
34. IRA #1 Grey Wolf	A	Dividend			sell	02/14	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. IRA #1 Express Scripts	A	Dividend			sell	02/23	J	A	
36. IRA #1 TEVA Pharmaceutical	A	Dividend			sell	03/10	J	A	
37. IRA #1 Barr Laboratories	A	Dividend			sell	01/24	J	A	
38. IRA #1 Capstone Turbine	A	Dividend			sell	05/11	J	A	
39. IRA #1 JDS Uniphase	A	Dividend			sell	05/11	J	A	
40. IRA #1 Genentech	A	Dividend	J	T	sell	01/23		A	
41. IRA #1 Hansen Natural	A	Dividend	J	T	sell	01/23		A	
42. IRA #1 EnergySouth	A	Dividend	K	T					
43. IRA #1 Torcador Res	A	Dividend	J	T					
44. IRA #1 Entrada Networks			J	T					
45. IRA #1 Globalnet			J	T					
46. Cisco Systems	A	Dividend	J	T	sell	03/01	J	A	
47. ING GLBL EQTY Div & Prem	A	Dividend	J	T	sell	09/18	J	A	
48. Liberty Bell Bank Cherry	A	Dividend	J	T	sell	02/02	J	C	
49. NFJ Dividend Interest	A	Dividend	J	T					
50. Vkipox-30 index	A	Dividend	J	T					
51. VK Sel Turn 05 Rein	A	Dividend	K	T	sell	12/12	K	A	

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less E = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	F = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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52. Biosante Pharm	A	Dividend	J	T					
53. Cabelas	A	Dividend	J	T					
54. Future Link Copr	A	Dividend	J	T					
55. Sun Microsystems	A	Dividend	J	T					
56. WSR Energy Resources	A	Dividend	J	T					
57. Giant Studios	A	Dividend	J	T					
58. IRA #1 Capstone Turbine 500	A	Dividend			buy/sell	01/23	J	A	
59. IRA #1 Capital One Money Fund					redemption	01/31		A	
60. IRA #1 Capital One Money Fund					buy	01/27	J		
61. IRA #1 ASO	A	Dividend			buy/sell	01/26	J	A	
62. IRA #1 Int'l Rectifier Corp 150	A	Dividend			buy/sell	01/24	J	A	
63. IRA #1 Valero Energy 50	A	Dividend			buy/sell	01/23	J	A	
64. IRA #1 Toreador Res Corp 100	A	Dividend			buy/sell	01/23	J	A	
65. IRA #1 Capital One Money Fund					buy	03/01	J		
66. IRA #1 Capital One Money Fund					buy	02/21	J		
67. IRA #1 Toreador Res Corp 100	A	Dividend			buy/sell	03/13	J	A	
68. IRA #1 Canadian Oil Sands 140	A	Dividend	J	T	buy	03/03	J		

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69. IRA #1 Capital One Money Fund	A	Dividend			redemption	03/08	J	A	
70. IRA #1 Capital One Money Fund		None			redemption	03/29	J	A	
71. IRA #1 Capital One Money Fund		None			redemption	03/21	J	A	
72. IRA #1 Capital One Money Fund		None			buy	03/16	J		
73. IRA #1 Transwitch Corp 1250	A	Dividend			buy/sell	03/24	J	A	
74. IRA #1 JDS Uniphase 1000	A	Dividend			buy/sell	03/16	J	A	
75. IRA #1 Qualcomm 100	A	Dividend			buy/sell	04/03	J	A	
76. IRA #1 Capital One Money Fund		None			buy	04/27	J		
77. IRA #1 Capital One Money Fund		None			buy	04/25	K		
78. IRA #1 Ceradyne 100	A	Dividend			buy/sell	05/05	J	A	
79. IRA #1 Canadian Oil Sands 100	A	Dividend			buy/sell	05/03	J	A	
80. IRA #1 Valero Energy 200	A	Dividend	J	T	buy	05/02	J		
81. IRA #1 Int'l Rectifier 100	A	Dividend			buy/sell	05/02	J	A	
82. IRA #1 Canadian Oil Sands 100	A	Dividend			buy/sell	05/02	J	A	
83. IRA #1 Capital One Money Fund		None			redemption	05/05	K	A	
84. IRA #1 Peabody Energy 150	A	Dividend	J	T	buy	05/11	J		
85. IRA #1 Nvidia 100	A	Dividend			buy/sell	05/11	J	A	

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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86. IRA #1 Capital One Money Fund		None			redemption	05/10	J	A	
87. IRA #1 Capital One Money Fund		None			buy	05/09	J		
88. IRA #1 Capital One Money Fund		None			redemption	05/16	J	A	
89. IRA #1 Fording Cdn Coal 100	A	Dividend			buy/sell	06/15	J	A	
90. IRA #1 Energy South 100	A	Dividend	J	T	buy	06/15	J		
91. IRA #1 XTO Energy	A	Dividend	J	T	buy	06/15	J		
92. IRA #1 Peabody Energy 75		Dividend	J	T	buy	06/15	J		
93. IRA #1 Capital One Money Fund					redemption	06/20	J		
94. IRA #1 Capital One Money Fund					buy	06/16	J		
95. IRA #1 Capital One Money Fund					buy	07/14	J		
96. IRA #1 Cheasepeake Energy 100	A	Dividend			buy/sell	08/02	J	A	
97. IRA #1 Capital One Money Fund					redemption	08/07	J	A	
98. IRA #1 XTO Energy 100	A	Dividend	J	T	buy	08/02	J		
99. IRA #1 Valero Energy 50	A	Dividend			buy/sell	08/02	J	A	
100. IRA #1 Hugoton Rty Tr Tex	A	Dividend	J	T	buy	08/02	J		
101. IRA #1 Capital One Money Fund					buy	09/15	K		
102. IRA #1 Capital One Money Fund					redemption	10/31	K		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	U = Book Value				

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103. IRA #1 XTO Energy 200	A	Dividend	J	T	buy	10/26	J		
104. IRA #1 Sun Microsystems 1000	A	Dividend	J	T	buy	10/26	J		
105. IRA #1 Paladin Res NI 1000	A	Dividend	J	T	buy	10/26	J		
106. IRA #1 Capital One Money Fund					buy	11/08	J		
107. IRA #1 Capital One Money Fund					redemption	11/06	J	A	
108. IRA #1 Petro Hawk 350	A	Dividend	J	T	buy	11/02	J		
109. IRA #1 American Science & Engineering 100	A	Dividend	J	T	buy	11/01	J		
110. IRA #1 Capital One Money Fund					redemption	12/01	J		
111. IRA #1 American Science & Engineering 100	A	Dividend	J	T	buy/sell	11/28	J	A	
112. IRA #1 Coach 150	A	Dividend	J	T	buy	11/22	J		
113. IRA #1 Valero Energy 98	A	Dividend	J	T	buy	12/14	J		
114. IRA #1 Canadian Oil Sands 150	A	Dividend	J	T	buy	12/14	J		
115. IRA #1 Capital One Money Fund					buy	12/14	J		
116. IRA #1 Capital One Money Fund					buy	12/22	K		
117. IRA #1 Capital One Money Fund					redemption	12/19	J	A	
118. Sirius Sattellite Radio 1000	A	Dividend	J	T	buy	01/02	J		
119. Sumamerica focused alpha Lrg	A	Dividend	J	T	buy	01/02	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	1 = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C Gross value at end of reporting period		Type (e.g. buy, sell, redemption)	D. Transactions during reporting period				Identity of buyer/seller (if private transaction)
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)		(1) Date Month - Day	(2) Value Code 2 (J-P)	(3) Gain Code 1 (A-H)	(4) Loss Code 1 (A-H)	
120. First Trust Unit 1113 India Growth	A	Dividend	J	T	buy	01/02	J			
121. First Trust 1004 Val Architect	A	Dividend	J	T	buy	01/02	J			
122. Van Kampen unit argus turnaround	A	Dividend	J	T	buy	01/02	J			
123. Van Kampen Unit Trust 519	A	Dividend	J	T	buy	01/02	J			
124. Van Kampen Unit Trust 520	A	Dividend	J	T	buy	01/02	J			

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

8/10/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544