

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Norton, David C.	2. Court or Organization U.S. District Court	3. Date of Report 05/13/2011
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address P.O. Box 835 Charleston, SC 29402	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Trust (Trust #1)
2. Trustee	Article 5 Trust
3. Trustee	Article 6 Trust
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

RECEIVED
2011 MAY 18 A 10:00
FINANCIAL
DISCLOSURE OFFICE

Norton, David C.

FINANCIAL DISCLOSURE REPORT

Page 2 of 14

Name of Person Reporting

Norton, David C.

Date of Report

05/13/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	Charleston School of Law	\$24,780
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Fulton County Medical Examiner, Atlanta, GA. - Salary
2. 2010	WebMD - Editorial Stipend
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 14

Name of Person Reporting

Norton, David C.

Date of Report

05/13/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 14

Name of Person Reporting

Norton, David C.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AES Corp		None	J	T					
2. AFLAC Inc		None	L	T					
3. Alcatel-Lucent ADR		None			Sold	11/30/10	J	A	
4. Alcoa Inc	A	Dividend	J	T	Sold (part)	11/30/10	J	A	
5. Allianz Life Franklin Valuemark	A	Dividend	L	T					
6. Altria Group	A	Dividend	J	T					
7. American Express Co	A	Dividend	J	T					
8. American Intl Group Inc		None			Sold	11/30/10	J	A	
9. American Intl Group Inc		None			Sold	11/30/10	J	A	
10. Ameriprise Financial Inc		None			Sold	11/30/10	J	A	
11. Amgen Inc		None	J	T					
12. AOL Inc		None			Sold	11/30/10	J	A	
13. AT&T Inc	A	Dividend	J	T					
14. AT&T Inc	A	Dividend	J	T					
15. AT&T Inc	A	Dividend	J	T					
16. Bank of America	A	Dividend	K	T					
17. Bank of New York Mellon Corp	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Berkshire Hathaway Inc Cl B		None	J	T					
19. BP PLC Spons ADR	A	Dividend	K	T					
20. Bristol Myers Squibb	A	Dividend	J	T					
21. Capital World Growth and Income Fd CL A	A	Dividend	K	T					
22. Capital World Growth and Income Fd CL A	A	Dividend	K	T					
23. Capital World Growth and Income Fd CL A	A	Dividend	K	T					
24. Capital World Growth and Income Fund CL A	A	Dividend	J	T	Buy	03/03/10	J		
25. Chevron Corp	B	Dividend	K	T					
26. Chevron Corp	A	Dividend	K	T					
27. Chevron Corp	A	Dividend	K	T					
28. Cisco Sys Inc		None	J	T					
29. Citadel Broadcasting Corp		None			Sold	07/01/10	J	A	
30. Citadel Broadcasting Corp		None			Sold	07/10/10	J	A	
31. Citibank Deposit Program	A	Interest	J	T					
32. Citibank Deposit Program	A	Interest	K	T					
33. Citibank Deposit Program	A	Interest	K	T					
34. Citigroup Inc		None	J	T					

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(See Columns C1 and D3)

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(See Column C2)

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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Coca-Cola Co	A	Dividend	J	T					
36. Comcast Corp CL A	A	Dividend	J	T					
37. Comcast Corp CL A	A	Dividend	J	T					
38. CSX Corp	A	Dividend	K	T					
39. Dell Inc		None	J	T					
40. Walt Disney Co	A	Dividend	J	T					
41. Walt Disney Co	A	Dividend	J	T					
42. Walt Disney Co	A	Dividend	J	T					
43. Dover	A	Dividend	K	T					
44. Dow Chemical	A	Dividend	J	T					
45. Dow Chemical	A	Dividend	J	T					
46. E I Dpont Co	A	Dividend	K	T					
47. Eaton Vance Tax Free Fund CL A	D	Interest	N	T					
48. Eaton Vance Tax Free Fund CL B	A	Dividend			Merged (with line 47)		K		
49. Eli Lilly & Co	B	Dividend	K	T					
50. Eli Lilly & Co	A	Dividend	J	T					
51. Emerson Electric	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

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2. Value Codes
(See Columns C1 and D3)

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3. Value Method Codes
(See Column C2)

Q=Appraisal
U=Book Value

B=\$1,001 - \$2,500
G=\$100,001 - \$1,000,000
K=\$15,001 - \$50,000
O=\$500,001 - \$1,000,000

R=Cost (Real Estate Only)
V=Other

C=\$2,501 - \$5,000
H1=\$1,000,001 - \$5,000,000
L=\$50,001 - \$100,000
P1=\$1,000,001 - \$5,000,000
P4=More than \$50,000,000
S=Assessment
W=Estimated

D=\$5,001 - \$15,000
H2=More than \$5,000,000
M=\$100,001 - \$250,000
P2=\$5,000,001 - \$25,000,000

T=Cash Market

E=\$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 14

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	(1) Amount Code 1 (A-F)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Energy Transfer Partners LP		None	K	T					
53. Energy Transfer Partners LP		None	K	T					
54. Expedia Inc	A	Dividend			Sold	11/30/10	J	A	
55. Exxon Mobil Corp	A	Dividend	J	T					
56. Exxon Mobil Corp	A	Dividend	K	T					
57. Fairpoint Communications Inc		None			Sold	11/30/10	J	A	
58. Fairpoint Communications Inc		None			Sold	11/30/10	J	A	
59. Federal Home Ln Mtg Corp		None			Sold	11/30/10	J	A	
60. Federal National Mortgage Assn		None			Sold	11/30/10	J	A	
61. First Federal of Charleston	C	Interest	O	T					
62. First Financial Holdings Inc	C	Dividend	M	T					
63. First Financial Holdings Inc	A	Dividend	K	T					
64. General Electric Co	A	Dividend	K	T					
65. General Electric Co	A	Dividend	J	T					
66. General Electric Co	B	Dividend	K	T					
67. Goldman Sachs Group Inc	A	Dividend	J	T					
68. Growth Fund of America CL A	A	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

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(See Columns C1 and D3)

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3. Value Method Codes
(See Column C2)

Q = Appraisal
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G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

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Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Growth Fund of America CL A	A	Dividend	K	T					
70. Growth Fund of America CL A	A	Dividend	J	T	Buy	03/03/10	J		
71. Guided Therapeutics Inc		None	J	T					
72. Hartford Financial Services Grp	A	Dividend	J	T					
73. Hartford Capital Appreciation Fund (IRA)	A	Dividend	M	T					
74. Hewlett Packard Co	A	Dividend	J	T					
75. Home Depot Inc	A	Dividend	K	T					
76. Honeywell Intl Inc	A	Dividend	J	T					
77. HSN Inc		None			Sold	11/30/10	J	A	
78. IAC/Interactive Corp		None			Sold	11/30/10	J	A	
79. IBM	B	Dividend	L	T					
80. Idearc Inc		None			Sold	01/28/10	J	A	
81. Idearc Inc		None			Sold	01/28/10	J	A	
82. Intel (IRA)	A	Dividend	K	T					
83. Intel	A	Dividend	J	T					
84. Interval Leisure Group Inc		None			Sold	11/30/10	J	A	
85. Johnson & Johnson	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Columns C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

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Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

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FINANCIAL DISCLOSURE REPORT

Page 9 of 14

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Kimberly Clark	A	Dividend	J	T					
87. Kraft Foods Inc CL A	A	Dividend	J	T					
88. Legg Mason Partners Aggressive Growth FD CL C		None	J	T					
89. Legg Mason Value Trust Inc	A	Dividend	K	T					
90. Lincoln National Corp	A	Dividend	J	T					
91. Lincoln National Life Annuity (IRA)	A	Dividend	O	T					
92. LSI Logic Corp		None			Sold	11/30/10	J	A	
93. MFS South Carolina Muni Bond CL A	A	Interest	K	T					
94. MFS South Carolina Muni Bond CL B	A	Interest	K	T					
95. Mass Mutual Life Ins		None	J	T					
96. McDonalds Corp	A	Dividend	K	T					
97. Merck & Co Inc New	A	Dividend	J	T					
98. Metlife Life & Ann Co of Conn TLAC Port Arch	A	Dividend	M	T					
99. Metlife Life & Ann Co of Conn TLAC Marquis Portfolio	A	Dividend	N	T					
100. Microsoft Corp	A	Dividend	J	T					
101. JP Morgan Chase & Co	A	Dividend	J	T					
102. Motorola		None	J	T					

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(See Columns B1 and D4)

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FINANCIAL DISCLOSURE REPORT

Page 10 of 14

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Motors Liquidation Co.		None	J	T	Sold (part)	11/30/10	J	A	
104. 3M Company A	A	Dividend	K	T					
105. NCR Corp New		None			Sold	11/30/10	J	A	
106. Nokia Corp	A	Dividend	J	T					
107. Norfolk Southern	B	Dividend	L	T					
108. North Carolina Rental Property 100% Transylvania County, NC	B	Rent	P1	W					
109. Paragon Life Fixed Fund		None	J	T					
110. Pepsico Inc	A	Dividend	J	T					
111. Pfizer Inc	A	Dividend	J	T					
112. Pfizer Inc	A	Dividend	J	T					
113. Phillip Morris Intl Inc	A	Dividend	J	T					
114. Proctor & Gamble	A	Dividend	K	T					
115. Proctor & Gamble	B	Dividend	K	T					
116. Progress Energy	A	Dividend	J	T					
117. Putnam Tax Smart FDS Equity FD C1 B	A	Dividend	M	T					
118. Safeway Inc New	A	Dividend	J	T					
119. SCANA Corp	B	Dividend	K	T					

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(See Columns B1 and D4)

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(See Columns C1 and D3)

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FINANCIAL DISCLOSURE REPORT

Page 11 of 14

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. SCBT	B	Dividend	K	T					
121. Smucker Co New	A	Dividend			Sold	11/30/10	J	A	
122. South Carolina State Deferred Comp Plan	A	Dividend	L	T					
123. South Carolina State Retirement Plan	B	Dividend	M	T					
124. South Financial Group (IRA)	C	Dividend	J	T					
125. Suntrust Banks Inc	A	Dividend	L	T					
126. Synovus Financial Corp	A	Dividend	J	T					
127. Teradata Corp		None			Sold	11/30/10	J	A	
128. Texas Instruments Inc	A	Dividend	J	T					
129. Time Warner Inc	A	Dividend	J	T					
130. Total system Services Inc	A	Dividend	J	T					
131. Travelers Companies Inc	A	Dividend	J	T					
132. Tree Com Inc		None			Sold	11/30/10	J	A	
133. UTS FPT Dow Target 10 Feb 09 (IRA)	A	Dividend	K	T					
134. Verizon	A	Dividend	J	T					
135. Verizon	A	Dividend	J	T					
136. Washington Mutual Inc		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Norton, David C.

Date of Report

05/13/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. Washington Mutual Investors Fund CI A	B	Dividend	K	T					
138. Washington Mutual Investors Fund CI A	B	Dividend	L	T					
139. Washington Mutual Investors	A	Dividend	J	T					
140. Wells Fargo & Co New	A	Dividend	J	T					
141. Wells Fargo & Co New	A	Dividend	J	T					
142. Zimmer Holdings Inc		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)
2. Value Codes
(See Columns C1 and D3)
3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 13 of 14

Name of Person Reporting

Norton, David C.

Date of Report

05/13/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Explanations for Part VII. Investments and Trusts

1. The rental property listed in Part VII, line 106 is located in Transylvania County, North Carolina, USA.
2. Line 130, Total Systems Services Inc was erroneously omitted from 2009 report.
3. Line 48, Eaton Vance Tax Free Fund CL B merged with Eaton Vance Tax Free Fund CL A per broker, unable to determine date of merger.
4. Line 97 of 2009 report converted to lincoln National Life Annuity per broker, unable to determine date of conversion.
5. Article 5 Trust consist of lines 15, 23, 25, 34, 38, 46, 49, 52, 56, 63, 66, 69, 79, 102, 107 and 140.
6. Article 6 Trust consist of lines 6, 7, 11, 14, 16, 17, 18, 21, 27, 28, 32, 37, 39, 40, 45, 47, 55, 65, 67, 72, 74, 75, 76, 83, 85, 86, 87, 93, 94, 96, 97, 100, 101, 106, 110, 111, 114, 116, 118, 128, 129, 131, 135, 136, and 138.
7. Trust # 1 consist of :

Royal Dutch Shell	value L
Allegheny Energy	value K
Idearc	value J
Eli Lilly	value K
Pepco Holdings Inc	value J
Lain Farm	value cost R Annual Rental Income E

FINANCIAL DISCLOSURE REPORT

Page 14 of 14

Name of Person Reporting

Norton, David C.

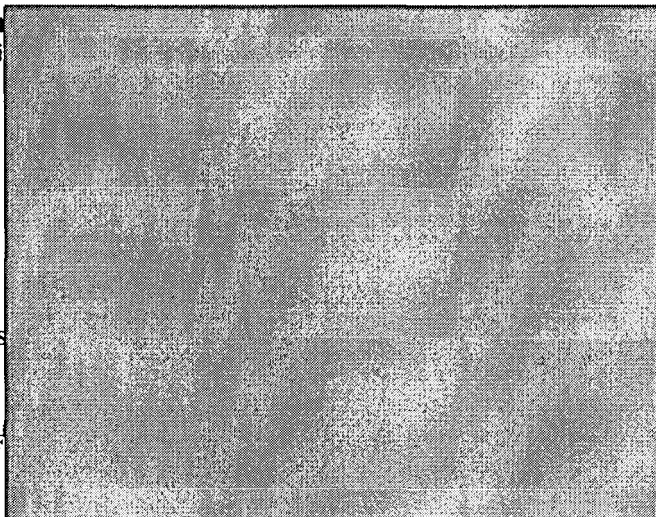
Date of Report

05/13/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and I am in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. §



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY F
AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

CIVIL

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Administrative Office of the United States Courts
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