

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PRATT, ROBERT W.	2. Court or Organization US DISTRICT COURT - SO IOWA	3. Date of Report 04/27/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT COURT JUDGE-ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address US COURTHOUSE 123 E. WALNUT STREET DES MOINES, IA 50309	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. University of Chicago Law School	January 2009	Chicago, IL	Speaking engagement	Transportation, Lodging and Meals
2. Carlton Fields Law Firm	5/20/09-5/22/09	Tampa, FL	Speaker at National Federal Sentencing Guidelines Seminar	Transportation, Lodging and Meals
3. American Constitution Society	7/14/09	Washington, DC	Speaker at Annual Convention	Transportation, Lodging and Meals
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANKERS TRUST COMPANY	A	Interest	K	T					
2. MORGAN STANLEY DEAN WITTER MARKETABLE SECURITIES:									
3. MORGAN STANLEY BANK	A	Interest	K	T					
4. MS EMERGING MKTS DOMESTIC DEBT	C	Dividend	K	T	Buy (add'l)	01/07/09	J		
5. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend			Buy (add'l)	04/15/09	J		
6. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend			Buy (add'l)	07/15/09	J		
7. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend			Buy (add'l)	10/15/09	J		
8. WINONA CNTY MINN CAP IMPT PLAN SER-A 3.600%	A	Interest			Sold	02/02/09	K		
9. WESTERN DUBUQUE CO SD 3200	A	Interest			Sold	03/19/09	K		
10. WICHITA KS GENL OBLIG SER 769 UNLTD TAX 4.000%	A	Interest			Sold	03/19/09	K		
11. SIOUX CITY IOWA GEN OBLIG UNLTD TAX 3.500%	A	Interest			Sold	03/19/09	K		
12. WEST DES MOINES IOWA GEN OBLIG SER-C 3.600%	A	Interest			Sold	03/19/09	J		
13. WEST DES MOINES GO 3250	A	Interest			Sold	03/19/09	J		
14. CONNECTICUT ST HLTH EDL FACS AUTH UIV HARTFORD SER-E 4.000	A	Interest			Sold	07/01/09	K		
15. NYC GO SER-A 3000	A	Interest			Sold	08/03/09	K		
16. NEBRASKA INVT FIN AUTH SINGLE FAMILY HSG REV SER D 5150	A	Interest			Sold (part)	01/05/09	J		
17. NEBRASKA INVT FIN AUTH SINGLE FAMILY HSG REV SER D 5150		None			Sold	09/01/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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18. KANSAS ST DEPT TRANSN HWY REV SER-A 4800	A	Interest			Sold	09/01/09	K		
19. BROWN CNTY WISC GENL OBLIG ARPT IMPT SER-A 4.000%	A	Interest			Sold	11/02/09	K		
20. IOWA ST UNIV SCIENCE TECH REV REF UTIL 4150	A	Interest			Sold	11/02/09	K		
21. OMAHA NE ARPT AUTH ARPT REV REF-FACS 4.000%	A	Interest	J	T					
22. ADDISON TX GENL OBLIG REF UNLTD TAX 4.000%	A	Interest	K	T					
23. IOWA CITY IA COMM SCH DIST 3000	A	Interest	J	T					
24. FLA HSG FIN CORP SER F 3400	A	Interest	K	T					
25. IA ST UNIV SCI & TECH 3300	A	Interest	K	T					
26. PUERTO RICO ELEC PWR AUTH SER SS 3300	A	Interest	K	T					
27. CENTRAL VALLEY SCH DIST ZERO	A	Interest	K	T					
28. ILL ST CLG SVGS ZERO	A	Interest	J	T					
29. BROWN CNTY WISC GENL OBLIG ARPT IMPT SER-A 4.000%	A	Interest	K	T					
30. PARIS TX GEN OBLIG 4000	A	Interest	K	T					
31. GLENCOE ILL GENL OBLIG BK QUAL UNLTD TAX 4.100%	A	Interest			Sold	05/22/09	K		
32. BLOOMINGTON IL GEN OBLIG REF BK QUAL 4.000%	A	Interest	K	T					
33. UNIV CONN REV SER A ZERO	A	Interest	J	T					
34. WASHINGTON CNTY WISC GENL OBLIG PROM NTS 4.000%	A	Interest			Sold	04/14/09	K		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

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35. WEST CHESTER PA AREA SCH DIST 4.000%	A	Interest	K	T					
36. ALTOONA IA GO 3250	A	Interest	K	T					
37. WAUKEE IOWA CMNTY SCH DIST REF SER-B 3.300%	B	Interest	L	T					
38. BOYDEN HULL IOWA CMNTY SCH DIST 4.300%	A	Interest			Sold	08/03/09	K		
39. PR HWYS TRANS 3400	A	Interest	K	T					
40. ARIZONA ST TRANSN BRD HWY REV REF SER-A 4.000%	A	Interest	K	T					
41. PALM BEACH CNTY FL SCH BRD CTFS PARTN REV SER-A 4.000%	A	Interest	K	T					
42. OREGON ST DEPT ADMIN SVCS SALEM OREGON SER-C 4.000%	A	Interest	K	T					
43. AUSTIN TX ELEC UTIL SYS REV 4.000%	A	Interest	K	T					
44. SCHILLER PARK ILL GO-C BE 4.000%	A	Interest	J	T					
45. FULTON PEORIA & KNOX COS ILL SCH DIST ZERO CPN	A	Interest	L	T					
46. MADISON WISC SWR-A 3125	B	Interest	L	T					
47. TACOMA PUB UTIL LIGHT & PWR 3700	A	Interest	J	T					
48. SHAKOPEE IMPT BE 4.000%	A	Interest	K	T					
49. INKSTER MI GEN OBLIG 4000	C	Interest	M	T					
50. PASCO WA GEN OBLIG 3750	A	Interest	J	T					
51. W.DES MOINES GO-C BE 4.000%	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. ODESSA TX HSG FIN CORP ZERO COUPON	A	Interest	J	T					
53. IA ST UNIV SCI & TECH 3500	C	Interest	L	T					
54. MINN HIGHER EDUC FACS AUTH 3625	A	Interest	J	T					
55. AURORA COLO SINGLE FAM MTG REV SER A ZERO	A	Interest	J	T					
56. SCHILLER PARK ILL GO-C BE 4.000%	B	Interest	L	T					
57. DOUGLAS CO COLO SCH DIST 1 ZERO	A	Interest	K	T					
58. WASH CTY UTAH SCH DIST 4000	A	Interest	J	T					
59. WRIGHT ST UNIV 3500	A	Interest	J	T					
60. WASH ST GEN OBLIG MOTOR VEH FUEL TAX ZERO	A	Interest	K	T					
61. LOUISIANA ST GO GULF OPP 4000	A	Interest	J	T					
62. E-470 PUB HWY AUTH COLO ZERO	A	Interest	L	T					
63. ALASKA ST INTL AIRPORTS 4000	B	Interest	K	T					
64. METRO WASH DC AIRPORT AUTH 4125	A	Interest	J	T					
65. MODESTO CA IRR DIST 4000	A	Interest	K	T					
66. WILL CNTY ILL SCH DIST NO 122 ZERO	A	Interest	J	T					
67. FAYETTE CNTY KY SCH DIST 3375	A	Interest	J	T					
68. LAKE CNTY ILL CMNTY SCH DIST NO 050 ZERO	A	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	F = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (O-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. DENVER CO CONV CTR HOTEL 4000	A	Interest	K	T					
70. FLORIDA ST BRD ED FL INTL UNIV 3750	A	Interest	J	T					
71. WALLA WALLA WASH WATER & WASTE 3625	C	Interest	M	T					
72. VIRGINIA HSG DEV COMWLTH SER A 4250	B	Interest	K	T					
73. APOPKA FLA UTIL SYS REF IMPT 4200	A	Interest	J	T					
74. POWAY CALIF UNI SCH DIST SPL TAX 4000	B	Interest	L	T					
75. OGLE & WINNEBAGO CNTYS SCH DIST ZERO	A	Interest	J	T	Buy	12/17/09	J		
76. RIVERSIDE CNTY PALM DESERT CA FIN AUTH 3900	A	Interest	J	T					
77. INDIANAPOLIS GAS UTIL DIST SYS 3500	B	Interest	K	T	Buy	02/06/09	K		
78. DES MOINES STORM WATER 4200	B	Interest	L	T					
79. PUERTO RICO PUB IMPR ZERO	A	Interest	K	T	Buy	12/17/09	K		
80. STATE UNIV IOWA REC FACS 4000	A	Interest	K	T					
81. ALASKA ST HSG FIN CORP 4250	C	Interest	L	T					
82. WINNEBAGO BOONE ETC CNTYS ILL ZERO	A	Interest	J	T	Buy	12/18/09	J		
83. OSCEOLA CNTY FL SCH BOARD 3500	A	Interest	J	T	Buy	08/10/09	J		
84. WAUKEE IOWA CMNTY SCH DIST 4000	A	Interest	J	T	Buy	08/04/09	J		
85. MIAMI DADE CNTY FL EXPWY AUTH TOLL SYS 4250	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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86. UNIV NORTH IN A STUDENT UNION SER UNI 4.800%	B	Interest	K	T					
87. JEA FLA WTR & SWR SYS REV 4400	A	Interest			Sold	03/06/09	K		
88. STATE UNIV IOWA UTIL SYS 4000	A	Interest	K	T					
89. CLARK CNTY NEV GEN OBLIG 3500	B	Interest	K	T	Buy	03/19/09	K		
90. OHIO ST HOSP CLEVELAND CLINIC 3750	B	Interest	K	T	Buy	08/12/09	K		
91. WYLIE CITY TEX COMB TAX REV 4000	A	Interest	K	T					
92. DES MOINES IOWA STORMWATER 4500	C	Interest	M	T					
93. ANKENY IA COMM SCH DIST 4000	B	Interest	L	T					
94. ST CLAIR CNTY ILL GEN OBLIG ZERO	A	Interest	K	T	Buy	12/18/09	K		
95. DELTONA FLA TRANS CAP IMPT 4375	A	Interest	J	T	Buy	03/20/09	J		
96. COVINA CALIF PUB FIN WASTEWATER 3500	A	Interest	J	T	Buy	08/03/09	J		
97. CLARK CNTY NEV GEN OBLIG 3500	A	Interest	K	T	Buy	03/19/09	K		
98. INDIANAPOLIS IN WATER WORKS 4000	B	Interest	K	T	Buy	08/03/09	K		
99. WYLIE CITY TEX COMB TAX REV 4000	A	Interest	K	T					
100. STERLING HEIGHTS MICH TRANS FD 4000	A	Interest	K	T					
101. NEVADA STATE HIGHER EDUC 3400	B	Interest	K	T	Buy	03/19/09	K		
102. RENO CITY NEVADA GEN OBLIG 4500	B	Interest	L	T	Buy	07/01/09	L		

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. KANE COOK & DUPAGE CNTYS ILL 4000	A	Interest	K	T	Buy	03/20/09	K		
104. IOWA ST UNIV SCIENCE & TECH 4000	A	Interest	J	T					
105. FLORIDA ST DEPT ENVIRONMENTAL PROTN PRES 5000	B	Interest	L	T					
106. CLARK CNTY NEV BOND BK 5000	B	Interest	L	T					
107. CLARK CO NV VEGAS ARPT FAC 4750	A	Interest	J	T	Buy	03/19/09	J		
108. ALASKA ST INTL ARPTS SYS 5000	A	Interest	J	T					
109. ALASKA MUN BND BK SER-J 4500	B	Interest	K	T	Buy	01/20/09	K		
110. TENNESSEE ENERGY ACQUISITION CORP GAS REV 5000	B	Interest	K	T					
111. UNIVERSITY WASH UNIV REV 4500	B	Interest	K	T					
112. COLUMBUS OHIO SWR REV 4500	B	Interest	L	T					
113. IOWA ST UNIV ACADEMIC BLDG 4375	C	Interest	M	T					
114. MORGAN STANLEY DEAN WITTER IRA #1:									
115. MORGAN STANLEY BANK	A	Interest	K	T					
116. ABS NOTES ON S&P 500 INDEX	A	Interest	K	T	Buy	01/23/09	K		
117. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend	J	T					
118. PLUS ON DOW JONES INDUSTRIAL AVG	A	Dividend			Sold	05/20/09	J		
119. PLUS ON ISHARES EEM FUND	A	Dividend			Sold	07/20/09	J		

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000 Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PRAIT, ROBERT W.

Date of Report

04/27/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PLUS ON ISHARES MSCI EAFE INDEX	A	Dividend			Sold	01/20/09	K		
121. PLUS ON S&P 500 INDEX	A	Dividend			Sold	01/20/09	K		
122. BANK OF AMERICA CORP 7250	A	Dividend	J	T					
123. CREDIT SUISSE GU 7900	A	Dividend	J	T					
124. DB CAPITAL FUNDING X 7350	A	Dividend	J	T					
125. HSBC HOLDINGS PLC 8125	B	Dividend	J	T					
126. ING GROEP NV 7375	A	Dividend	J	T					
127. AT&T CORP 6.000%	A	Interest			Sold	03/16/09	K		
128. GMAC 5625	A	Interest			Sold	05/18/09	K		
129. COMMODITY JUMP CPN BY MS ZERO CPN	A	Interest	K	T					
130. NATIONAL RURAL UTILITIES 4250	A	Interest	K	T	Buy	01/22/09	K		
131. STEELCASE INC 6500	A	Interest	J	T	Buy	06/30/09	J		
132. FORD MOTOR CREDIT 5850	C	Interest	K	T					
133. NATIONAL RURAL UTILITIES COOP 4550	B	Interest	K	T	Buy	04/23/09	K		
134. CAP PRTECT NOTE ON FX BASKET ZERO	A	Interest	K	T	Buy	06/23/09	K		
135. PSEG POWER LLC 6000	B	Interest	K	T	Buy	01/21/09	K		
136. AMERICAN EXPRESS 5875	C	Interest	L	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
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Name of Person Reporting
PRATT, ROBERT W.

Date of Report
04/27/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (O-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. DOW CHEMICAL CORP 7250	B	Interest	K	T					
138. DUAL PARTICIPATION COMMODITY ZERO CPN	A	Interest	J	T					
139. SOUTHWEST AIRLINES CO 5250	B	Interest	K	T	Buy	05/07/09	K		
140. INTL PAPER CO 5250	A	Interest	K	T	Buy	08/13/09	K		
141. PROLOGIS TRUST 5625	A	Interest	J	T	Buy	11/24/09	J		
142. SOUTHWEST AIRLINES CO 5750	A	Interest	J	T	Buy	09/18/09	J		
143. MOSAIC CO REG S 7625	B	Interest	K	T	Buy	08/25/09	K		
144. MORGAN STANLEY 6000	A	Interest	J	T					
145. GMAC 7375	B	Interest	J	T					
146. AMERICAN INTL GROUP 7700	A	Interest	J	T					
147. CITIGROUP CAP XIX 7250	A	Interest	J	T					
148. WACHOVIA CAPITAL TRUST X 7850	A	Interest	J	T					
149. WELLS FARGO CAPITAL XII 7875	A	Interest	J	T					
150. FED FARM CR B3K 4900	A	Interest			Sold	03/06/09	K		
151. MORGAN STANLEY BANK S&P 500 CD ZERO COUPON	A	Interest	L	T					
152. JP MORGAN S&P 500 EQUITY CD ZERO COUPON	A	Interest	K	T					
153. CAPMARK BANK MIDVALE UT CD 5050	C	Interest	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

04/27/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. WELLS FARGO BK ZERO CPN	A	Interest	J	T					
155. MORGAN STANLEY DEAN WITTER IRA #2:									
156. MORGAN STANLEY BANK	A	Interest	J	T					
157. ABBOTT LABORATORIES	A	Dividend	K	T	Buy	05/21/09	J		
158. ABBOTT LABORATORIES	A	Dividend			Buy (add'l)	06/05/09	J		
159. ABBOTT LABORATORIES	A	Dividend			Buy (add'l)	08/12/09	J		
160. ALTRIA GROUP INC	A	Dividend	J	T	Buy	02/13/09	J		
161. AT&T INC	B	Dividend	K	T	Buy (add'l)	01/20/09	J		
162. AT&T INC	A	Dividend			Buy (add'l)	06/05/09	J		
163. BOEING CO	A	Dividend	J	T	Buy (add'l)	02/02/09	J		
164. BOEING CO	A	Dividend			Buy (add'l)	02/10/09	J		
165. COCA COLA CO	A	Dividend	J	T	Buy	08/13/09	J		
166. DWS DREMAN VALUE INC EDGE FUND	A	Dividend	J	T					
167. GENERAL ELECTRIC CO	A	Dividend	J	T	Buy (add'l)	01/20/09	J		
168. ISHARES S&P 100 INDEX FD	A	Dividend	J	T					
169. PLUS ON TR FTSE/XINHUA CHINA	A	Dividend	J	T	Buy (add'l)	08/17/09	J		
170. JOHNSON & JOHNSON	A	Dividend	J	T	Buy	01/20/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 14 of 16

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

04/27/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. PHARMACEUTICAL HOLDERS TR	A	Dividend	K	T	Sold (part)	10/27/09	J		
172. PHARMACEUTICAL HOLDERS TR		None			Sold (part)	11/16/09	J		
173. PROCTOR & GAMBLE	A	Dividend	J	T	Buy (add'l)	01/22/09	J		
174. PROCTOR & GAMBLE	A	Dividend			Buy (add'l)	02/12/09	J		
175. STANDARD & POORS MIDCAP 400	B	Dividend	L	T					
176. 3M COMPANY	A	Dividend			Sold	08/12/09	J		
177. AEGON NV 7250	A	Dividend	J	T					
178. PUBLIC STORAGE 7000	B	Dividend	K	T					
179. ROYAL BK SCOTLAND GP 7250	A	Dividend	J	T					
180. ML PFD CAP TRUST III 7375	A	Interest	J	T					
181. MORGAN STANLEY DEAN WITTER IRA #3:									
182. ALLIANCE BERNSTEIN SMALL CAP GROWTH		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

Q = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

04/27/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

PRATT, ROBERT W.

Date of Report

04/27/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544