

# FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics  
in Government Act of 1978  
(5 U.S.C. app. §§ 101-111)

|                                                                                                                                                                                                        |                                                                                                                                                                                                                                                                                           |                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| <b>1. Person Reporting (last name, first, middle initial)</b><br>Hawkins, Michael Daly                                                                                                                 | <b>2. Court or Organization</b><br>Court of Appeals (9th Cir.)                                                                                                                                                                                                                            | <b>3. Date of Report</b><br>05/11/2006                       |
| <b>4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)</b><br>Active Judge                                                                   | <b>5a. Report Type (check appropriate type)</b><br><input type="checkbox"/> Nomination, <input type="checkbox"/> Date<br><input type="checkbox"/> Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final<br><b>5b.</b> <input type="checkbox"/> Amended Report | <b>6. Reporting Period</b><br>01/01/2005<br>to<br>12/31/2005 |
| <b>7. Chambers or Office Address</b><br>Sandra Day O'Connor Courthouse<br>401 W. Washington St., Rm. 510<br>Phoenix, AZ 85003-2151                                                                     | <b>8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.</b><br><br>Reviewing Officer _____ Date _____                                                          |                                                              |
| <b>IMPORTANT NOTES:</b> The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page. |                                                                                                                                                                                                                                                                                           |                                                              |

## I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

| <u>POSITION</u> | <u>NAME OF ORGANIZATION/ENTITY</u> |
|-----------------|------------------------------------|
| 1. _____        | _____                              |
| 2. _____        | _____                              |
| 3. _____        | _____                              |
| 4. _____        | _____                              |
| 5. _____        | _____                              |

## II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

| <u>DATE</u>   | <u>PARTIES AND TERMS</u> |
|---------------|--------------------------|
| 1. 04/21/1997 | See Section VIII         |
| 2. _____      | _____                    |
| 3. _____      | _____                    |

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**III. NON-INVESTMENT INCOME.** *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u>   | <u>SOURCE AND TYPE</u> | <u>INCOME</u><br>(yours, not spouse's) |
|---------------|------------------------|----------------------------------------|
| 1. 02/23/2005 | West Publishing        | \$ 620.82                              |
| 2. 02/25/2005 | West Publishing        | \$ 1,012.78                            |
| 3. 08/25/2005 | West Publishing        | \$ 1,414.49                            |
| 4. 08/26/2005 | West Publishing        | \$ 860.81                              |
| 5.            |                        |                                        |

**B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.***(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

| <u>DATE</u> | <u>SOURCE AND TYPE</u>                                       |
|-------------|--------------------------------------------------------------|
| 1. 12/31/05 | Business Income - Small Business Owner (Executive Recruiter) |
| 2.          |                                                              |
| 3.          |                                                              |
| 4.          |                                                              |
| 5.          |                                                              |

**IV. REIMBURSEMENTS** -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

| <u>SOURCE</u> | <u>DESCRIPTION</u> |
|---------------|--------------------|
| 1.            |                    |
| 2.            |                    |
| 3.            |                    |
| 4.            |                    |
| 5.            |                    |

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**V. GIFTS.** *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

|    | <u>SOURCE</u> | <u>DESCRIPTION</u> | <u>VALUE</u> |
|----|---------------|--------------------|--------------|
| 1. |               |                    |              |
| 2. |               |                    |              |
| 3. |               |                    |              |
| 4. |               |                    |              |
| 5. |               |                    |              |

**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☐ NONE *(No reportable liabilities.)*

|    | <u>CREDITOR</u>                | <u>DESCRIPTION</u> | <u>VALUE CODE</u> |
|----|--------------------------------|--------------------|-------------------|
| 1. | Northern Trust Bank of Arizona | Credit Line        |                   |
| 2. |                                |                    |                   |
| 3. |                                |                    |                   |
| 4. |                                |                    |                   |
| 5. |                                |                    |                   |

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## VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B.<br>Income during<br>reporting period |                                              | C.<br>Gross value at end of<br>reporting period |                                           | D.<br>Transactions during reporting period                |                               |                                 |                                |                                                                   |
|-----------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------------|-------------------------------------------------|-------------------------------------------|-----------------------------------------------------------|-------------------------------|---------------------------------|--------------------------------|-------------------------------------------------------------------|
|                                                                                                                             | (1)<br>Amount<br>Code 1<br>(A-H)        | (2)<br>Type (e.g.<br>div., rent,<br>or int.) | (1)<br>Value<br>Code 2<br>(J-P)                 | (2)<br>Value<br>Method<br>Code 3<br>(Q-W) | (1)<br>Type (e.g.<br>buy, sell,<br>merger,<br>redemption) | If not exempt from disclosure |                                 |                                |                                                                   |
|                                                                                                                             |                                         |                                              |                                                 |                                           |                                                           | (2)<br>Date<br>Month -<br>Day | (3)<br>Value<br>Code 2<br>(J-P) | (4)<br>Gain<br>Code 1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private<br>transaction) |
| 1. Equity Value - Business                                                                                                  | A                                       | None                                         | M                                               | W                                         |                                                           |                               |                                 |                                |                                                                   |
| 2. 401 K                                                                                                                    |                                         | Distribution                                 | J                                               | U                                         |                                                           |                               |                                 |                                |                                                                   |
| 3.                                                                                                                          |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 4.                                                                                                                          |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 5.                                                                                                                          |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 6.                                                                                                                          |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 7.                                                                                                                          |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 8.                                                                                                                          |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 9.                                                                                                                          |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 10.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 11.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 12.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 13.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 14.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 15.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 16.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |
| 17.                                                                                                                         |                                         |                                              |                                                 |                                           |                                                           |                               |                                 |                                |                                                                   |

|                                                  |                                                                                                                                                                             |                                                                                                                                                            |                                                                                                                                                                                         |                                                                                                                                         |                         |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|
| 1. Income Gain Codes:<br>(See Columns B1 and D4) | A = \$1,000 or less<br>F = \$50,001 - \$100,000<br>J = \$15,000 or less<br>N = \$250,001 - \$500,000<br>P3 = \$25,000,001 - \$50,000,000<br>Q = Appraisal<br>U = Book Value | B = \$1,001 - \$2,500<br>G = \$100,001 - \$1,000,000<br>K = \$15,001 - \$50,000<br>O = \$500,001 - \$1,000,000<br>R = Cost (Real Estate Only)<br>V = Other | C = \$2,501 - \$5,000<br>H1 = \$1,000,001 - \$5,000,000<br>L = \$50,001 - \$100,000<br>P1 = \$1,000,001 - \$5,000,000<br>P4 = More than \$50,000,000<br>S = Assessment<br>W = Estimated | D = \$5,001 - \$15,000<br>H2 = More than \$5,000,000<br>M = \$100,001 - \$250,000<br>P2 = \$5,000,001 - \$25,000,000<br>T = Cash Market | E = \$15,001 - \$50,000 |
|--------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|-------------------------|

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|                                                          |                                     |
|----------------------------------------------------------|-------------------------------------|
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|----------------------------------------------------------|-------------------------------------|

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

Part II -- Agreement among Kevin O'Malley, Jay Greig, William Lee, Sidney Stein and Michael Hawkins for the preparation and editing of the 5th Edition of Federal Jury Practice & Procedure.

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**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/11/2006

**NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)**

**FILING INSTRUCTIONS**

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544