

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lee, Tom S.	2. Court or Organization United States District Court Southern District of MS	3. Date of Report 5/15/09
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/08 12/31/08
7. Chambers or Office Address 245 East Capitol Street Suite 109 Jackson, MS 39201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. General Partner	Tom S. Lee [REDACTED] Limited Partnership
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 2008	State Employees Pension Fund; pension upon retirement age 65
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Director - Community Bancshare of MS, Inc.
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING NQ VAR		None	J	T					
2. American Skandia NQ VA		None	M	T					
3. Van Kampen Muni Opp (VMO)	A	Dividend	J	T					
4. MS O Coupon Bond		None	M	T	Bond fluctu				
5. Eaton Vance MS Fund	B	Dividend	K	T					
6. MS Hospital EQ & FAC Bond	A	Interest	K	T					
7. Columbus MS Bond	B	Interest			Redeemed	3/3	K	A	
8. Shares New Perspective	C	Dividend	M	T					
9. Shares of Community Bancshares of MS, Inc.	E	Dividend	PL	T					
10. ING NQ VA hers #...		None	J	T					
11. American Skandia NQ VA		None	M	T					
12. Hers #....		None	M	T					
His Hartford VA IRA.		None	M	T					
13. Land in Scott Co., MS		None	K	W					
14. U.S. Ser. E. Bonds		None	L	T	Bonds Increase				
15. Phoenix Life		None	L	T	Life Ins				
16. Community Bancshares of MS C		Interest	M	T					
17. His ING VA IRA...		None	M	T	Account Fluc.				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,001 - \$500,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. Davis New York Venture	A	Dividend			Sell	11/26	J	A	
19. Growth Fund of America	A	Dividend			Sell	11/26	J	A	
20. New Perspective	A	Dividend	J	T	Combine with Item 8 on 8/12/08				
21. Lord Abbert Aff.	A	Dividend	J	T					
22. Alliance MF		None	K	T	Account Fluc.				
23. Harford VA NQ (with JS)		None	M	T	Account Fluc.				
24. Salomon Brothers (Name was changed to LMP Capital	A	Dividend			Sell	3/28	J	A	
25. Her ING VA IRA....		None	K	T	Account Fluc.				
26. FT Dow Dividend	A	Dividend			Sell	11/28	K	A	
27. FT Dow Dividend	A	Dividend			Sell	11/28	K	A	
28. FT Target VIP	A	Dividend			Sell	11/28	K	A	
29. Franklin Income	A	Dividend	J	T					
30. ABB Limited		None	J	T					
31. Silver Standard		None			Sell	11/28	J	A	
32. Claymore DG CND Energy	B	Dividend			Sell	11/28	J	A	
33. Claymore Zacks	A	Dividend			Sell	11/28	J	A	
34. VK C&S Muni	D	Dividend	M	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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35. VK Financial Inst.	B	Dividend			Sell	11/28	J	A	
36. VK EAFE	B	Dividend			Sell	11/28	J	A	
37. Claymore DG CND Energy	C	Dividend			Sell	11/28	K	A	
38. Claymore Zacks	A	Dividend			Sell	11/28	J	A	
39. FT Muni	A	Dividend	J	T					
40. VK C&S Muni	C	Dividend	L	T					
41. VK EAFE	B	Dividend	J	T					
42. Claymore Def. Equities	A	Dividend	K	T	Buy	12/1	K		
43. Thornburg Income Builder	B	Dividend	L	T	Buy	10/23	K		
44. Claymore Def. Equities	A	Dividend	K	T	Buy	12/1	K		
45. FT Corp. Strength	A	Dividend	K	T	Buy	11/28	K		
46. VK Utility Income	B	Dividend	K	T	Buy	12/1	K		
47. Thornburg Income Builder	B	Dividend	J	T	Buy	10/23	J		
48.									
49.									
50.									
51.									

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Practically all of my annuities, mutual fund accounts, stocks and bonds are held in my LPL Financial Account.

The proceeds of all sales and redemptions shown in this Report were received in that account and all funds for purchases were disbursed from that account.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544