

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LOKEN, JAMES B	2. Court or Organization EIGHTH CIRCUIT	3. Date of Report 05/15/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CIRCUIT JUDGE (Active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address U.S. Courthouse, Suite 11W 300 South Fourth Street Minneapolis, MN 55415	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Past President	Federal Bar Association, Minnesota Chapter
2. Member	American Law Institute
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 12

Name of Person Reporting

LOKEN, JAMES B

Date of Report

05/15/2006

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT
Page 3 of 12

Name of Person Reporting
LOKEN, JAMES B

Date of Report
05/15/2006

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*

☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*

☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 4 of 12

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LOKEN, JAMES B

Date of Report

05/15/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. STOCKS (All Common)									
2. Allstate Corp.	A	Dividend	K	T					
3. Verizon Communications	A	Dividend	K	T					
4. Bristol-Myers Squibb	A	Dividend	J	T					
5. Zimmer Holdings, Inc.		None	J	T					
6. Target Corp	A	Dividend	K	T					
7. Emerson Electric	C	Dividend	N	T					
8. Exxon Corp.	A	Dividend	K	T					
9. Wachovia Corp.	C	Dividend	M	T					
10. Gabelli Equity Trust	D	Dividend	L	T					
11. Gabelli Global Multimedia Trust	A	Dividend	J	T					
12. Gabelli Utility Trust	A	Dividend	J	T					
13. General Motors	A	Dividend	J	T					
14. IBM	A	Dividend	L	T					
15. XCel Energy, Inc.	C	Dividend	K	T					
16. CenterPoint Energy, Inc.	A	Dividend	J	T					
17. AT&T Inc. (formerly SBC Communications)	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 5 of 12

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18. Pactiv Corp.		None	J	T					
19. Tenneco Automotive		None			Sell	3/5	J	A	
20. Texas Utilities	A	Dividend	J	T					
21. ASM International		None	J	T					
22. Consol. Delivery & Logistics		None	J	T					
23. Cypress Semicond. Corp.		None	K	T					
24. FSI International, Inc.					Buy	2/18	J		
25. FSI International, Inc.					Buy	4/26	J		
26. FSI International, Inc.					Buy	8/26	J		
27. FSI International, Inc.		None	K	T	Part Sell	12/30	J		
28. Digi International					Part Sell	1/7	J	D	
29. Digi International					Part Sell	3/11	J	B	
30. Digi International		None	J	T	Buy	11/17	J		
31. LSI Logic Corp.					Buy	4/26	J		
32. LSI Logic Corp.		None	K	T	Part Sell	8/23	J		
33. Ascential Software Corp.					Buy	1/7	J		
34. Ascential Software Corp.		None			Sell	4/26	J	C	

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FINANCIAL DISCLOSURE REPORT

Page 6 of 12

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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Hewlett-Packard Co.	A	Dividend	K	T					
36. Communications Systems					Buy	1/7	J		
37. Communications Systems	A	Dividend	K	T	Buy	2/18	J		
38. Nektar Therapeutics		None	J	T					
39. Vishay Intertechnology		None	K	T	Buy	2/18	J		
40. ADC Telecommunications					Buy	4/26	J		
41. ADC Telecommunications					Buy	6/14	J		
42. ADC Telecommunications					Buy	11/17	J		
43. ADC Telecommunications		None	L	T	Part Sell	12/30	J		
44. Amkor Technology		None	K	T	Buy	10/14	J		
45. Conexant Systems		None	J	T					
46. JDS Uniphase		None			Sell	6/14	J		
47. McData Corp. (formerly Computer Network Technology)		None			Sell	11/17	K		
48. Atmel Corp.		None	J	T					
49. Select Comfort Corp.					Buy	1/7	J		
50. Select Comfort Corp.		None	K	T	Buy	4/26	J		
51. Nokia Corp.	A	Dividend	J	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT
Page 7 of 12

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52. Oracle Corp.		None	K	T					
53. Skyworks Solutions, Inc.					Buy	4/26	J		
54. Skyworks Solutions, Inc.		None	K	T	Buy	11/17	J		
55. Norstan, Inc.		None			Sell	2/17	K	D	
56. CNS Inc.	A	Dividend			Sell	4/26	K	C	
57. Synovis Life Technologies, Inc.		None	K	T					
58. Cyberoptics Corp.					Buy	10/14	J		
59. Cyberoptics Corp.		None	J	T	Buy	11/17	J		
60. Seagate Technology		None	J	T					
61. Microsoft Corp.	A	Dividend	J	T					
62. HEI Inc.		None			Sell	10/14	J	D	
63. INNOVEX Inc.		None			Sell	6/14	J		
64. Mesa Air Group, Inc.		None	J	T	Buy	4/26	J		
65. MUTUAL FUNDS									
66. Columbia Acorn Fund	E	Dividend	M	T					
67. Columbia Int'l Stock Fund		None			Sell	12/13	K	E	See Part VIII
68. Fidelity Equity Income Fund II	D	Dividend	L	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 12

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69. Fidelity Mn. Tax Free Fund	B	Dividend	L	T					
70. Fidelity Emerging Markets Fund	A	Dividend	J	T					
71. Fidelity Japan Fund	A	Dividend	J	T					
72. Fidelity Spartan Muni Income Fund	D	Dividend	N	T					
73. AIM -- Health Sciences	A	Dividend			Sell	12/13	L	D	
74. Gabelli Global Growth Fund		None			Sell	12/13	K	D	
75. Gabelli Growth Fund		None			Sell	12/13	K	D	
76. Guardian Park Ave Fund	A	Dividend			Sell	12/14	M		
77. Harbor International Fund	C	Dividend	L	T					
78. Investment Co Amer Fund	D	Dividend	M	T					
79. Janus Fund		None			Sell	12/13	K	C	
80. Mutual Beacon Fund	E	Dividend	N	T					
81. New Perspective Fund	C	Dividend	K	T					
82. Oppenheimer Capital Inc Fund	D	Dividend	M	T					
83. Oppenheimer Strategic Income	D	Dividend	L	T					
84. Putnam Growth Fund	C	Dividend			Sell	12/13	M	E	
85. Vanguard Fixed Inc Fund S-T	C	Dividend	L	T					

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 9 of 12

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86. Vanguard Fixed Inc Fund GNMA	D	Dividend	M	T					
87. Delaware Voyageur Mn Ins Fund	A	Dividend			Sell	12/13	K	A	
88. Washington Mutual Fund	D	Dividend	M	T					
89. Vanguard Windsor Fund	C	Dividend	K	T					
90. Vanguard Hi-Yield Corp. Fund	B	Dividend	K	T					
91. Vanguard Prime MM Fund	B	Dividend	K	T					
92. Vanguard Short Term Corp. Fund	A	Dividend	K	T					
93. Vanguard Long Term Tax Exempt Fund	B	Dividend	K	T					
94. Vanguard Wellington Fund	B	Dividend	K	T					
95. Vanguard Capital Value Fund	B	Dividend	K	T					
96. Artisan International Fund	B	Dividend	L	T					
97. Leuthold Core Inv. Fund	D	Dividend	N	T					
98. Selected American Shares Fund	A	Dividend	M	T					
99. Amer Century Equity Fund	A	Dividend	M	T	Buy	12/17	M		
100. Chase Growth Fund		None	L	T	Buy	12/17	L		
101. Dreyfus Minn Muni Fund	A	Dividend	K	T	Buy	12/17	K		
102. Icon Health Care Fund		None	L	T	Buy	12/17	L		

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FINANCIAL DISCLOSURE REPORT

Page 10 of 12

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103. Matthews Pacific Tiger Fund	A	Dividend	L	T	Buy	12/17	L		
104. Muhlenkamp Fund		None	L	T	Buy	12/17	L		
105. MISC.									
106. Wells Fargo Bank IRA	A	Dividend	J	T					
107. F&B Building Partnership		None	J	W					
108. Vanguard Variable Annuity Plan		None	M	T					
109. Tamarack Investment Tax Free Fund	A	Dividend	J	T					
110. Schwab Advance Cash Reserve Fund	A	Dividend	J	T	Buy	12/13	J		
111. Wells Fargo Bank	A	Interest	K	T					

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FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Columbia Newport Tiger Fund merged into Columbia International Stock Fund on October 7, 2005 (Part VII, line 67)

FINANCIAL DISCLOSURE REPORT

Page 12 of 12

Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 15, 2006

NOTE: ANY PERSON WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL PENALTIES (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544