

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) LOKEN, JAMES B	2. Court or Organization EIGHTH CIRCUIT	3. Date of Report 05/15/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) CIRCUIT JUDGE (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address U.S. Courthouse, Suite 11W 300 South Fourth Street Minneapolis, MN 55415	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Past President	Federal Bar Association, Minnesota Chapter
2. Member	American Law Institute
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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 FINANCIAL
 DISCLOSURE OFFICE

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Missouri Chapter, American College of Trial Lawyers	June 9, 2007	St. Louis, Mo.	Speech, Regional Meeting	Lodging expense
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" aft each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. STOCKS (All Common)									
2. Allstate Corp.	A	Dividend	K	T					
3. Verizon Communications	A	Dividend			Sold	9/10	K		
4. Idearc		None			Sold	3/15	J		
5. Bristol-Myers Squibb	A	Dividend			Sold	9/10	J		
6. Zimmer Holdings, Inc.		None	J	T					
7. Target Corp	A	Dividend	L	T					
8. Emerson Electric	C	Dividend	L	T	Part sold	9/10	K	E	
9. Exxon Corp.	A	Dividend	K	T					
10. Wachovia Corp.	D	Dividend	L	T					
11. Gabelli Equity Trust	D	Dividend	M	T					
12. Gabelli Global Multimedia Trust	A	Dividend	J	T					
13. Gabelli Utility Trust	A	Dividend	K	T					
14. Gabelli Healthcare Trust	A	Dividend	J	T	Spinoff	6/28	J		From Gabelli Equity Trust
15. General Motors	A	Dividend			Sold	11/30	J	B	
16. IBM					Part sold	9/10	K	D	
17. IBM					Part Sold	11/30	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18. IBM	B	Dividend			Sold	12/03	L	F	
19. XCel Energy, Inc.	C	Dividend	L	T					
20. CenterPoint Energy, Inc.	A	Dividend			Sold	9/10	J		
21. AT&T Inc. (formerly SBC Communications)	B	Dividend			Sold	9/10	K	A	
22. Pactiv Corp.		None			Sold	9/10	J	B	
23. TXU (Texas Utilities)					Part sold	10/18	K	D	
24. TXU (Texas Utilities)	A	Dividend			Sold	11/06	K	D	
25. Cypress Semicond. Corp.					Part sold	2/26	K	D	
26. Cypress Semicond. Corp.		None			Sold	9/10	K	D	
27. FSI International, Inc.		None	J	T					
28. Digi International					Buy	1/30	J		
29. Digi International					Buy	5/23	J		
30. Digi International		None	K	T	Buy	9/18	J		
31. Communications Systems	B	Dividend	K	T					
32. Nektar Therapeutics		None			Sold	12/17	J		
33. Vishay Intertechnology					Buy	2/28	J		
34. Vishay Intertechnology		None	K	T	Buy	9/18	J		

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35. ADC Telecommunications					Buy	9/5	J		
36. ADC Telecommunications		None	L	T	Part sold	12/17	J		
37. Amkor Technology					Part sold	1/3	J	C	
38. Amkor Technology					Part sold	2/18	J	C	
39. Amkor Technology					Part sold	4/25	J	C	
40. Amkor Technology					Part Sold	5/29	J	C	
41. Amkor Technology		None	J	T	Buy	9/26	J		
42. Conexant Systems		None	J	T	Buy	4/20	J		
43. Select Comfort Corp.		None			Sold	9/18	K	B	
44. Nokia Corp.		None			Sold	4/26	J	B	
45. Oracle Corp.		None	K	T					
46. Skyworks Solutions, Inc.		None			Sold	1/23	K	A	
47. Synovis Life Technologies, Inc.					Buy	1/30	J		
48. Synovis Life Technologies, Inc.					Part Sold	9/18	K		
49. Synovis Life Technologies, Inc.					Part Sold	9/26	J	D	
50. Synovis Life Technologies, Inc.		None	K	T	Part Sold	11/28	J	B	
51. Cyberoptics Corp.		None	K	T					

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52. Microsoft Corp.	A	Dividend	K	T					
53. Rudolph Technology		None	J	T					
54. Aetrium Inc.		None	K	T	Buy	9/16	J		
55. Dow Chemical	A	Dividend	J	T					
56. Cemex S.A.	A	Dividend	J	T	Buy	6/7	J		
57. Chesapeake Energy	A	Dividend	K	T	Buy	5/23	J		
58. Valero Energy	A	Dividend	K	T					
59. Metro Health Network					Buy	1/30	J		
60. Metro Health Network					Buy	4/20	J		
61. Metro Health Network		None	K	T	Buy	9/18	J		
62. Boston Scientific Corp		None			Sold	4/25	J		
63. Possis Medical, Inc.					Buy	2/28	J		
64. Possis Medical, Inc.		None	K	T	Buy	6/8	J		
65. Intel Corp.	A	Dividend	J	T	Buy	2/28	J		
66. Best Buy Co.					Buy	4/20	J		
67. Best Buy Co.	A	Dividend	K	T	Buy	6/8	J		
68. Bank of America	A	Dividend	K	T	Buy	9/18	K		

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69. MUTUAL FUNDS									
70. Columbia Acorn Fund	E	Dividend	N	T					
71. Fidelity Equity Income Fund II	D	Dividend	M	T					
72. Fidelity Mn. Tax Free Fund	B	Dividend	J	T	Part Sold	12/6	L	B	
73. Fidelity Emerging Markets Fund	A	Dividend	J	T					
74. Fidelity Japan Fund	B	Dividend	J	T					
75. Fidelity Spartan Muni Income Fund	D	Dividend	N	T	Part Sold	12/13	K		
76. Fidelity Real Estate Fund	E	Dividend	N	T					
77. Harbor International Fund	D	Dividend	M	T					
78. Investment Co Amer Fund	D	Dividend	M	T					
79. Mutual Beacon Fund	E	Dividend	N	T					
80. New Perspective Fund	D	Dividend	L	T					
81. Oppenheimer Capital Inc Fund	D	Dividend	M	T					
82. Oppenheimer Strategic Income	D	Dividend	M	T					
83. Vanguard Fixed Inc Fund S-T	C	Dividend	M	T					
84. Vanguard Fixed Inc Fund GNMA	D	Dividend	M	T					
85. Washington Mutual Fund	D	Dividend	M	T					

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86. Vanguard Windsor Fund	D	Dividend	L	T					
87. Vanguard Hi-Yield Corp. Fund	C	Dividend	K	T					
88. Vanguard Prime MM Fund	C	Dividend	J	T					
89. Vanguard Short Term Corp. Fund	B	Dividend	K	T					
90. Vanguard Long Term Tax Exempt Fund	B	Dividend	K	T					
91. Vanguard Wellington Fund	C	Dividend	K	T					
92. Vanguard Capital Value Fund	C	Dividend	K	T					
93. Artisan International Fund	E	Dividend	M	T					
94. Leuthold Core Inv. Fund	D	Dividend	N	T					
95. Selected American Shares Fund	B	Dividend	M	T					
96. Amer Century Equity Fund	D	Dividend	M	T					
97. Chase Growth Fund	D	Dividend	M	T					
98. Dreyfus Minn Muni Fund	B	Dividend	K	T					
99. Icon Health Care Fund	D	Dividend	L	T					
100. Matthews Pacific Tiger Fund	C	Dividend	M	T					
101. Muhlenkamp Fund	E	Dividend	L	T					
102. MISC.									

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103. Wells Fargo Bank IRA	A	Dividend	J	T					
104. F&B Building Partnership		None	J	W					
105. Vanguard Variable Annuity Plan		None			Sold	6/25	M	F	
106. Tamarack Investment Tax Free Fund	A	Dividend	J	T					
107. Schwab Advance Cash Reserve Fund	B	Dividend	J	T					
108. Wells Fargo Bank	A	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

A large black rectangular redaction box covering the signature area.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544