

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Berrigan, Helen G.	2. Court or Organization Eastern District of Louisiana	3. Date of Report 05/10/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 500 Poydras Street, Room C-556 United States Courthouse New Orleans, LA 70130	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Board of George & Jean Pugh Foundation: LSU Law School
2. Member	Board, Louisiana State University Law Center
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Berrigan, Helen G.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	LSU Law School ; Teaching	\$1,500.00
2. 2009	West Services; Book Royalties	\$674.84
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children: see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citigroup-Common Stock	A	Dividend	J	T					
2. IBM - Common Stock	C	Dividend	M	T					
3. Tri-Continental Corp. Mutual Fund	A	Dividend	K	T					
4. Legg-Mason Partners Fund	A	Dividend	J	T					
5. T. Rowe Price Growth Stock Mutual Fund	A	Dividend	L	T					
6. Dreyfous Growth & Income Mutual Fund	A	Dividend	K	T					
7. Dreyfous Research Growth Fund - Class Z	A	Dividend	J	T					
8. Dreyfous New Leaders Fund - Class A	A	Dividend	L	T					
9. Liberty Financial Services N.O., LA Common Stock	C	Dividend	M	T					
10. Rental Property #1 New Orleans, LA Appraised 8/21/85	D	Rent	N	Q					
11. Exxon Corp. Common Stock	C	Dividend	M	T					
12. General Electric Common Stock	A	Dividend	K	T					
13. ONEOK Common Stock	B	Dividend	L	T					
14. Westar Energy, Inc. - Common Stock	A	Dividend	J	T					
15. Fidelity Advison - High Yield Portfolio Class T	B	Dividend	K	T					
16. Van Kampen - Senio Loan Fund FDCL IB	A	Dividend	K	T					
17. Command Money Fund (Wachovia Securites)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Bank Baroda C.D. (due 3/26/09)		None			Redeemed	03/26/09	L	A	
19. Centinela Valley CA UN	A	Interest	K	T	Buy	04/03/09	K		
20. Harris County, TX Cultured	A	Interest	L	T	Buy	04/03/09	K		
21. International Paper Co. -- Common Stock	A	Dividend	J	T					
22. ING Golden Select Guarantee Annuity	C	Interest	L	T					
23. Bank of America Corp. -- Common Stock	A	Dividend	J	T					
24. Johnson & Johnson -- Common Stock	A	Dividend	K	T					
25. AT & T Common Stock	A	Dividend	J	T					
26. Coca Cola Common Stock	A	Dividend	J	T					
27. Dupont E.I. De Nemours -- Common Stock	A	Dividend	J	T					
28. Fifth Third Bankcorp -- Common Stock	A	Dividend	J	T					
29. Washington Mutual Inc. -- Common Stock	A	Dividend	J	T					
30. Capital Income Builder -- Class A	A	Dividend	K	T					
31. [REDACTED] Berrigan, [REDACTED] Inc. PLC PS Plan	E	Int./Div.	N	T					
32. - AT&T, Inc. Common Stock									
33. - BP PLC ADR									
34. - Chevron Corp.									

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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35. - Cleco Corp.									
36. - Consolidated Edison, Inc									
37. - Dominion Resources, Inc. VA New									
38. - Fairpoint Communications									
39. - Frontier Communications									
40. - Kinder Morgan Energy Partners									
41. - Penn Real Estate Investment Trust SBI									
42. - Simeon Property Group Reit Inc., New SPG									
43. -Tanger Factory Outlet									
44. - Teppco Partners, Ltd. Partnership									Liquidated & became Line 46
45. - Enterprise Products Partners EPD	A	Dividend	J	T	Merged (with line 44)	10/26/09	J	A	
46. - The Southern Company									
47. - Verizon Communications									
48. - Fidelity Advisor Series II High Yield									
49. - Dryden High Yield LD, Inc. Class A									
50. - Pioneer High Yield LD Class A									
51. - Van Kampen Senior Loan Fund FD Class IB									

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - Alliance Bernstein, Inc. FND									
53. - American Strategic Income Portfolio, Inc.									
54. - DWS Free Real Estate FD II SRO									
55. - ING Primerate TR DPR									
56. - MFS Charter Income Trust									
57. - Pimco High Income FD									
58. - AES TR III 6.75% PFD Conv. due 10/15/2029									
59. - Entergy Louisiana LLC 7.6% 1st Mortgage Bonds									
60. - Georgia Power Senior Note 7/15/2047									
61. - Metlife 5.875% Senior Notes due 11/21/2033									
62. - Sherwin Williams 7.25% (7.25) Corts Tr II due 2/1/2097									
63. - Smon PPTY Group, Inc., PFD 6.00%									
64.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Section VII 1. #s 6 , 7 & 8 are parts of Helen Roberts Berrigan's USE Profit Sharing Retirement Plan FBO Helen Roberts Berrigan

Section VII 2. #s 32 - 63, List of Stocks and Bonds in the [REDACTED] Inc. PLC PS Plan.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544