

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) SPARKS, SAM	2. Court or Organization DISTRICT COURTS—Texas Western	3. Date of Report 5/10/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE ARTICLE III JUDGE	5. Report Type (check appropriate type) ☐ Nomination Date 10/1/1991 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address United States Courthouse 200 West Eighth St., Suite 100 Austin, Texas 78701-2333	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1. _____

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. _____

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. Houston Intellectual Property Law Association

October 7-8: Galveston, Texas; speaker at University of Houston/Houston Intellectual Property Law Assn. Conf. (mileage, meals, hotel)

2. American College of Trial Lawyers

April 23-24: San Antonio, Texas; panel moderator on program at Texas state meeting (registration, hotel)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-F)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Condominium (10%), [REDACTED]		None	K	T					
2.									
3. CASH ACCOUNTS:									
4. American Bank of Commerce	A	Interest	L	T					
5. Chase Bank of Texas Accounts	D	Interest	M	T					
6. Dain Rauscher account (Great Hall Investment Funds)					Converted	04-01	M	A	Market; See Part VIII
7. Dain Rauscher account (Tarmack Investment FDS)	B	Dividend	M	T		04-01	M		Market; See Part VIII
8.									
9. STOCKS:									
10. EOG Resources Inc.	A	Dividend			Sold	06-16	N	M	Market
11. Forest Oil Corp.		None	M	T					
12. Hilton Hotels Corp.	A	Dividend	K	T					
13. Kirby Corp.		None	N	T					
14. Occidental Petroleum	A	Dividend	J	T					
15.									
16. BONDS:									
17. Allen TX ISD GO	B	Interest	K	T					
18. Allen TX ISD GO	A	Interest	J	T					

1. Income/Gain Codes: A = \$1,000 or less; B = \$1,001-\$2,500; C = \$2,501-\$5,000; D = \$5,001-\$15,000; E = \$15,001-\$50,000; F = \$50,001-\$100,000; G = \$100,001-\$1,000,000; H1 = \$1,000,001-\$5,000,000; H2 = More than \$5,000,000
(See Columns B1 and D4)

2. Value Codes: J = \$15,000 or less; K = \$15,001-\$50,000; L = \$50,001-\$100,000; M = \$100,001-\$250,000; N = \$250,001-\$500,000; O = \$500,001-\$1,000,000; P1 = \$1,000,001-\$5,000,000; P2 = \$5,000,001-\$25,000,000; P3 = \$25,000,001-\$50,000,000; P4 = More than \$50,000,000
(See Columns C1 and D3)

3. Value Method Codes: Q = Appraisal; R = Cost (Real Estate Only); S = Assessment; T = Cash/Market; U = Book Value; V = Other; W = Estimated
(See Column C2)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. Arlington TX ISD	A	Interest	K	T					
20. Austin TX Community College	B	Interest	K	T	Buy	06-17	K		Market
21. Austin TX Higher Ed Auth	A	Interest	J	T					
22. Austin Tx Utility Sys	A	Interest	J	T					
23. Baytown Tx GO	B	Interest	K	T	Buy	06-17	K		Market
24. Beeville Tx ISD	A	Interest			Redeemed	08-15	K	A	Market
25. Canadian River Mun. Tx. Rv.	B	Interest	K	T					
26. Canyon TX ISD GO	A	Interest	K	J					
27. Carrollton TX GO	A	Interest	K	T					
28. Cedar Hill TX ISD	A	Interest	J	T	Buy	02-10	J		Market
29. Cedar Park TX Util Sys	B	Interest	K	T					
30. Columbia & Brazoria GO	B	Interest	K	T					
31. Columbia & Brazoria Co. GO	B	Interest	K	T	Buy	02-19	K		Market
32. Comal TX ISD	A	Interest	J	T					
33. Collin Co. TX GO	B	Interest	K	T	Buy	02-19	K		Market
34. Conroe TX ISD	B	Interest			Redeemed	02-02	K	A	Market
35. Crowley TX ISD GO	A	Interest	K	T					
36. Crowley TX ISO GO	A	Interest	K	T					

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(See Columns B1 and D4) F = \$50,001-\$100,000; G = \$100,001-\$1,000,000; H1 = \$1,000,001-\$5,000,000; H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less; K = \$15,001-\$50,000; L = \$50,001-\$100,000; M = \$100,001-\$250,000;
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P3 = \$25,000,001-\$50,000,000; P4 = More than \$50,000,000

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. Denton Tx Elec Rev	A	Interest	J	T					
38. Dickinson TX ISD GO	B	Interest	K	T					
39. El Paso Tx New Pub	A	Interest	J	T					
40. Forney TX ISD GO	A	Interest	J	T					
41. Ft. Bend TX ISD	B	Interest	K	T					
42. Ft. Worth TX ISD		None	K	T					
43. Ft. Worth TX ISD GO	A	Interest	K	T					
44. Frisco Tx ISD GO	A	Interest	K	T					
45. Grand Prairie ISD GO	B	Interest	K	T					
46. Grapevine TX GO	A	Interest	J	T					
47. Grapevine TX Wtr Swr	A	Interest	J	T					
48. Harlandale TX ISD GO	A	Interest	J	T					
49. Highland Park TX ISD	A	Interest	J	T					
50. Houston Tx Wtr Sys	B	Interest	K	T					
51. Houston Tx Wtr Sys	A	Interest	J	T					
52. Huntsville TX Ind GO	A	Interest	J	T					
53. Hurst-Bulless-Bedford TX	A	Interest	K	T					
54. Irving TX Wtr Wk Swr	A	Interest	K	T	Buy	03-08	K		Market

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2. Value Codes: J = \$15,000 or less; K = \$15,001-\$50,000; L = \$50,001-\$100,000; M = \$100,001-\$250,000;
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P3 = \$25,000,001-\$50,000,000; P4 = \$50,000,001-\$100,000,000; P5 = More than \$100,000,000;
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	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
55. Judson TX ISD	A	Interest			Redeemed	02-02	J	A	Market
56. Judson TX ISD	A	Interest	J	T					
57. Katy TX ISD	A	Interest	K	T					
58. Katy TX ISD	A	Interest			Redeemed	02-17	K	A	Market
59. Katy TX ISD GO	A	Interest	J	T					
60. Leander TX ISD GO	A	Interest	J	T					
61. Lewisville TX ISD	A	Interest	J	T					
62. Lewisville TX ISD	A	Interest	J	T					
63. Labbock TX GO	A	Interest	K	T					
64. North East TX ISD GO	A	Interest	K	T					
65. North Texas Mun Water		None			Redeemed	06-01	J	B	Market
66. Pflugerville TX GO	A	Interest	K	T					
67. Pleasant Grove ISD	A	Interest	K	T					
68. Prosper TX ISD GO	A	Interest	K	T					
69. Round Rock Tx	A	Interest	J	T					
70. Sam Rayburn TX Mun Pwr	A	Interest	J	T					
71. San Angelo TX GO	A	Interest	K	T					
72. San Antonio Tx Elec. & Gas	A	Interest	J	T					

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73. San Marcos TX GO	B	Interest	K	T					
74. Sealy TX ISD GO	A	Interest	K	T					
75. Seguin TX GO	B	Interest	K	T	Buy	06-17	K		Market
76. Southlake TX GO	A	Interest	K	T					
77. Spring TX ISD GO	A	Interest	J	T					
78. Tx St Pub Fin Auth		None	J	T					
79. Travis Co TX GO	A	Interest	J	T					
80. Travis Co. TX	A	Interest	J	T	Buy	06-17	J		Market
81. Washington State G.O.		None	J	T					
82. Waxahachie TX ISD		None	J	T					
83. Weatherford TX Util. Sys.	B	Interest	K	T					
84. Whitehouse TX ISD GO Rev	A	Interest	K	T					
85. Willis ISD		None	J	T					
86. Willis TX ISD GO	B	Interest	K	T					
87. Willis TX ISD GO	A	Interest	J	T					
88. UT Austin Rev.	B	Interest	K	T					
89. UT Austin Rev.	B	Interest	K	T					
90. Upper Trinity Reg. Auth.	A	Interest	K	T					

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2. Value Codes	J1 = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
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91.									
92. DAIN, RAUSCHER IRA:									
93. American Balance	B	Dividend	M	T					
94. Bristol Myers Squibb Co.	A	Dividend	K	T	Buy	03-23	K		Market
95. Chevron-Texaco Corp.	D	Dividend	M	T					
96. E Trade Group		None	J	T					
97. EOG Resources Inc.	A	Dividend			Sold	04-19	M	F	Market
98. Forest Oil Corp.		None			Sold	04-27	N	D	Market
99. Great Hall Money Mkt					Converted	04-01	N	A	Market; See Part VIII
100. Tamarack Investment FDS	A	Interest	M	T	Partial Sale	08-20	K	A	Market
101.				T	Partial Sale	10-22	K	A	Market
102.				T	Partial Sale	12-20	K	A	Market
103. Earthlink		None	J	T					
104. Friede Goldman Halter		None	J	T					
105. Genhpe Corp.		None	K	T	Buy	01-21	J		Market
106. Govt Nalt MTG Assn II Pool #1	A	Interest	J	T					
107. Govt Nalt MTG Assn II Pool #2	A	Interest	J	T					
108. Govt Nalt MTG Assn II Pool #3	A	Interest	K	T	Buy	10-22	K		Market

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109. Govt Nalt MTG Assn II Pool #4	A	Interest	K	T	Buy	12-20	K		Market
110. Govt Nalt MTG Assn II Pool #5	B	Interest	N	T	Buy	06-16	N		Market
111. Govt Nalt MTG Assn II Pool #6	A	Interest	M	T	Buy	08-20	M		Market
112. Halliburton	A	Dividend	K	T	Buy	03-24	K		Market
113. Hilton Hotels Corp.	A	Dividend	K	T					
114. Kirby Corp		None	N	T					
115. MDU Resources Gp. Inc.	A	Dividend	K	T	Buy	02-19	K		Market
116. Perot Sys Corp CDT		None	K	T					
117. Schlotsky's, Inc.		None			Sold	04-19	B	A	Market
118. Smallcap World Fd Inc.	B	Dividend	M	T					
119. Steris Corp.		None	K	T					
120. Trinity Industries Inc.	A	Dividend	L	T					
121. Spanish Broadcasting, Inc.		None	J	T					
122.									
123. MISCELLANEOUS:									
124. LRF Limited Pship	A	Royalty	J	W					
125.									
126. SPOUSE - SEPARATE PROPERTY									

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127. EDWARD JONES --									
128. Capital Income Builder Fund	B	Dividend	L	T					
129. Goldman Sachs Cap-A		None	J	T					
130. Growth Fund of Am.	A	Dividend	K	T					
131. Tax Exempt Bond Fd of Am	B	Interest	K	T					
132. Van Kampen Comstock		None	J	T					
133. BENEFICIARY-CB&T Trustee IRA of Spouse's Dec'd Parent	C	Distribution							
134. American Mutual Fund Inc	A	Dividend	K	T					
135. Bond Fund of American Inc	A	Dividend	K	T					
136. Capital World Growth	A	Dividend	K	T					
137. IRA-Edward Jones Custodian (Spouse's IRA)									
138. Hartford Cap. Appreciation CLA	A	Dividend	J	T					
139. Hartford Global Leaders CLA	A	Dividend	J	T					
140. Hartford Stock Fund CLA	A	Dividend	J	T					
141. Annuity-Sunamerican Life Insurance Polaris Variable Annuity	A	Dividend	K	T					
142. Property - DeBaca Co, New Mexico		None	J	W					
143. Property - Brewster Co, TX		None	J	W					
144. Royalty Interest - Upton Co, TX	A	Royalty	J	W					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Date of Report
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VII. INVESTMENTS and TRUSTS

A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A - H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
145. Royalty interest - Palo Pinto Co, TX	A	Royalty	J	W					

1. Income/Gain Codes	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SPARKS, SAM

Date of Report

5/10/2005

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

04-01-04 Dain Rauscher account, Great Hall Investment Funds, was converted to Tarmack Investment FDS. Change is noted under Cash Accounts (page 1) and under Dain Rauscher IRA (page 6).

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SPARKS, SAM

Date of Report

5/10/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date May 10, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544