

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) DYK, TIMOTHY B.	2. Court or Organization FEDERAL CIRCUIT	3. Date of Report 05/13/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 717 MADISON PLACE, N.W. WASHINGTON, D.C. 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. JUDICIAL COUNSELOR	GILES RICH INN OF COURT
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	UNIVERSITY OF NEBRASKA - BOARD OF REGENTS (ROYALTY)	\$698.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	UNIVERSITY OF MICHIGAN
2. 2009	SOCIETY FOR RISK ANALYSIS
3. 2009	WASHINGTON UNIVERSITY
4. 2009	THE BROOKINGS INSTITUTION
5. 2009	GEORGE WASHINGTON UNIVERSITY
6. 2009	PODESTA GROUP INC
7.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. WILLIAM C. CONNOR INN	01/15/2009 - 01/16/2009	NEW YORK, NY	INAUGURATION DINNER	TRANSPORTATION, LODGING, MEALS
2. BLOOMINGTON INDIANA UNIVERSITY MAUER SCHOOL OF LAW	03/06/2009 - 03/07/2009	BLOOMINGTON, IN	MOOT COURT COMPETITION	TRANSPORTATION, LODGING, MEALS
3. NEW YORK INTELLECTUAL	03/27/2009 - 03/28/2009	NEW YORK, NY	87TH ANNUAL DINNER	TRANSPORTATION, LODGING, MEALS

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PROPERTY LAW ASSOCIATION (NYIPLA)				
4. FEDERAL CIRCUIT BAR ASSOCIATION (FCBA)	03/18/2009	WASHINGTON, DC	FCBA SYMPOSIUM	MEAL
5. INTELLECTUAL PROPERTY OWNERS EDUCATION FOUNDATION	04/19/2009 - 04/20/2009	WASHINGTON, DC	5TH INTERNATIONAL JUDGES CONFERENCE	MEALS
6. CHICAGO RICHARD LINN INN OF COURT	05/14/2009 - 05/15/2009	CHICAGO IL	INN OF COURT MEETING / PROGRAM	TRANSPORTATION, LODGING, MEALS
7. INTELLECTUAL PROPERTY OWNERS EDUCATION FOUNDATION	05/28/2009	WASHINGTON, DC	IPO AWARDS DINNER	MEAL
8. GEORGE MASON UNIVERSITY	02/07/2009	WASHINGTON, DC	7TH ANNUAL MOOT COURT COMPETITION FOR LAW & ECONOMICS & AWARD CEREMONY	MEAL
9. FEDERAL CIRCUIT BAR ASSOCIATION	06/18/2009 - 06/20/2009	W. SULPHUR SPRINGS, W.VA	11TH ANNUAL CONFERENCE	TRANSPORTATION, LODGING, MEALS
10. CHICAGO INTELLECTUAL PROPERTY LAW ASSOCIATION	09/14/2009 - 09/15/2009	CHICAGO, IL	CHICAGO IPO ANNUAL MEETING	TRANSPORTATION, LODGING, MEALS
11. AMERICAN INTELLECTUAL PROPERTY LAW ASSOCIATION	10/16/2009	WASHINGTON, DC	AIPLA LUNCHEON	MEAL
12. GEORGETOWN UNIVERSITY AND STANFORD UNIVERSITY LAW CENTER	10/23/2009	WASHINGTON, DC	GEORGETOWN & STANFORD LAW CONFERENCE	MEAL
13. AMERICAN UNIVERSITY COLLEGE OF LAW	10/24/2009	WASHINGTON, DC	16TH ANNUAL BURTON D. WECHLER 1ST AMENDMENT MOOT COURT COMPETITION	MEAL
14. LEGAL & INTELLECTUAL PROPERTY BIOTECHNOLOGICAL INDUSTRY ORGANIZATION	10/27/2009	WASHINGTON, DC	BIO IPCC CONFERENCE	MEAL
15. HOUSTON INTELLECTUAL PROPERTY LAW ASSOCIATION	11/03/2009	HOUSTON, TX	ANNUAL DINNER	MEAL
16. FEDERAL CIRCUIT BAR ASSOCIATION	11/20/2009	WASHINGTON, DC	25TH ANNUAL DINNER	MEAL

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
1. LOT - TRURO, MA (PARCEL 1) (\$331,800)		None	N	S					
2. CONDOMINIUM - N. MIAMI BEACH FL (\$125,060) (X)		None	M	S					
3. CARLYLE FUND		None	M	T					
4. CARLYLE FUND		None			Buy (add'l)	02/15/09	J		
5. CARLYLE FUND		None			Buy (add'l)	03/25/09	K		
6. CARLYLE FUND		None			Buy (add'l)	08/05/09	K		
7. CITIBANK BK DPT	A	Interest	J	T					
8. HIGH POINT NC G/O	A	Interest	K	T					
9. UTAH HSG CORP SINGLE FAM	B	Interest	K	T					
10. ORLANDO FLA CAP	A	Interest			Redeemed	10/01/09	K		
11. PHOENIX AZ G/O	A	Interest			Redeemed	07/01/09	K		
12. VANGUARD MM	A	Interest	K	T					
13. U.S. TREASURY BILL 1/15/09	A	Interest			Redeemed	01/15/09	O		
14. BANK OF AMERICA (COMMON STOCK)	A	Dividend	K	T					
15. NUVEEN MUNICIPAL VALUE FUND	D	Int./Div.	M	T					
16. VANGUARD MONEY MARKET FUND T/E	D	Dividend	M	T					
17. VANGUARD SHORT TERM T/E FUND	D	Dividend	P1	T	Buy	07/13/09	O		

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. VANGUARD SHORT TERM T/ E FUND		None			Buy (add'l)	10/19/09	P1		
19. ATLAS AIR WORLDWIDE (COMMON STOCK)		None	J	T					
20. BRIGHT PT INC (COMMON STOCK)		None	J	T					
21. HARRIS INTERACTIVE INC (COMMON STOCK)		None	J	T					
22. OSI SYSTEMS INC (COMMON STOCK)		None	J	T					
23. POWERWAVE TECH INC (COMMON STOCK)		None	J	T					
24. SIRONA DENTAL SYSTEMS INC (COMMON STOCK)		None	J	T					
25. TETRATECH INC (COMMON STOCK)		None	J	T					
26. UNITED NATURAL FOODS (COMMON STOCK)		None	J	T					
27. VALERO ENERGY CORP (COMMON STOCK)		None			Sold	08/31/09	K		
28. CAPMARK BANK CD DUE 07/16/12	B	Dividend	M	T	Buy	07/13/09	M		
29. WATTS WATER TECH (COMMON STOCK)	A	Dividend	J	T					
30. NUVEEN SELECT MATURITY (MUNICIPAL FUND)	A	Interest	J	T					
31. VANGUARD IRA #4	D	Dividend	O	T					
32. - JAPAN FUND									
33. - KAISER ALUMINUM CORP (COMMON STOCK)									
34. - WILLIAMS COM GR INC. NOTES									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - RYDEX INVERSE S&P FUND					Buy	11/24/09	L		
36. - VANGUARD INTER- TERM BOND FUND					Buy	04/14/09	M		
37. - VANGUARD INTER- TERM BOND FUND					Sold	09/23/09	M	E	
38. - 1 SHARES MSCI JAPAN									
39. - 1 SHARES MSCI S. KOREA									
40. - FIDELITY JAPAN SMALL CO. FUND									
41. - VANGUARD MM									
42. - DOW CHEMICAL (COMMON STOCK)					Buy (add'l)	01/05/09	K		
43. - DOW CHEMICAL (COMMON STOCK)					Sold	05/29/09	L		
44. - GANNETT CO (COMMON STOCK)					Sold	10/19/09	L		
45. - GENERAL ELECTRIC CO (COMMON STOCK)									
46. - INTEL CORP (COMMON STOCK)									
47. - NY TIMES CO (COMMON STOCK)									
48. - PACKAGING CORP OF AMERICA (COMMON STOCK)					Sold	07/24/09	L		
49. - WORTHINGTON INDUSTRIES (COMMON STOCK)					Sold	04/21/09	K		
50. - CAPITAL TRUST INC (COMMON STOCK)									
51. - SEQUOIA FUND					Sold	09/01/09	L		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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52. - EATON VANCE FLOATING RATE INCOME TRUST					Sold	12/03/09	K		
53. - DOW CHEMICAL BOND DUE 05/15/14					Buy	06/05/09	L		
54. - WELLS FARGO (COMMON STOCK)					Buy	01/21/09	K		
55. - WELLS FARGO (COMMON STOCK)					Sold	03/23/09	L	D	
56. VANGUARD IRA - #1	C	Dividend	O	T					
57. -ARTIO INTL EQUITY FUND II					Sold	11/18/09	K		
58. - DODGE & COX INT FUND					Sold	11/18/09	L	D	
59. - FIDELITY JAPAN SM CO FUND									
60. - CHESAPEAKE ENERGY (COMMON STOCK)					Sold	10/22/09	K	D	
61. - CHEVERON (COMMON STOCK)					Buy	03/02/09	L		
62. - CHEVERON (COMMON STOCK)					Sold	06/10/09	L	D	
63. - I SHARES JAPAN INDEX FUND									
64. - JAPAN FUND									
65. -AMERICAN HOME MORTGAGE (COMMON STOCK)					Sold	09/21/09	J		
66. - THIRD AVE FOCUSED CREDIT FUND					Buy	08/31/09	L		
67. - N Y TIMES (COMMON STOCK)									
68. - EMERSON ELECTRIC (COMMON STOCK)					Buy	03/10/09	L		

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69. - EMERSON ELECTRIC (COMMON STOCK)					Sold	06/08/09	L	E	
70. - RYDEX INVERSE S&P 500 FUND					Buy	11/20/09	L		
71. - RYDEX INVERSE S&P 500 FUND					Buy	12/01/09	L		
72. - RYDEX INVERSE S&P 500 FUND					Sold	12/31/09	M		
73. - VANGUARD ADMIRAL TREAS MM									
74. -LUMINENT (COMMON STOCK)									
75. VANGUARD IRA #2	D	Dividend	N	T					
76. -VANGUARD MONEY MARKET									
77. - FIDELITY INV TRUST JAPAN SMALL CO FUND									
78. - DODGE & COX INT FUND					Buy (add'l)	09/21/09	J		
79. -VALERO ENERGY (COMMON STOCK)					Sold	08/31/09	K		
80. - AMERICAN HOME MORTGAGE (COMMON STOCK)					Sold	09/21/09	J		
81. -WHOLE FOODS (COMMON STOCK)					Sold	03/13/09	K		
82. - CITIGROUP (COMMON STOCK)									
83. -LUMINENT (COMMON STOCK)									
84. - INTEL (COMMON STOCK)					Buy	05/13/09	K		
85. - N Y TIMES (COMMON STOCK)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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86. -ARKANSAS BEST (COMMON STOCK)					Sold	06/08/09	K	D	
87. - DODGE & COX STOCK FUND					Sold	08/31/09	K		
88. - SEQUOIA FUND					Sold	09/01/09	L		
89. - FAIRHOLME FUND					Sold	11/13/09	L		
90. - EATON VANCE FLOATING RATE INC TRUST FUND					Sold	12/03/09	K		
91. - EMERSON ELECTRIC (COMMON STOCK)					Sold	06/08/09	K		
92. - GOOGLE (COMMON STOCK)					Sold	09/23/09	M		
93. - THIRD AVENUE INTERNATIONAL VALUE FUND					Sold	12/07/09	K		
94. - AFLAC INC (COMMON STOCK)					Buy	02/06/09	K		
95. - AFLAC INC (COMMON STOCK)					Sold	04/17/09	L	D	
96. - BP INC (COMMON STOCK)					Buy	02/19/09	K		
97. - BP INC (COMMON STOCK)					Buy (add'l)	02/27/09	K		
98. - BP INC (COMMON STOCK)					Sold	06/10/09	M	E	
99. VANGUARD IRA # 3	C	Dividend	N	T					
100. - VANGUARD MM FUND									
101. - YRC WORLDWIDE (COMMON STOCK)					Sold	05/07/09	J		
102. - RYDEX INVERSE S&P 500 FUND					Buy	12/01/09	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. - RYDEX INVERSE S&P 500 FUND					Sold	12/31/09	L		
104. -EATON VANCE FLOATING RATE FD					Sold	12/03/09	K		
105. - ARKANSAS BEST CORP (COMMON STOCK)					Sold	06/08/09	K	D	
106. - THIRD AVE FOCUS CREDIT FUND					Buy	09/22/09	L		
107. - McCLATCHY CO (COMMON STOCK)					Sold	10/19/09	K		
108. - SPIDER REGIONAL BANK FUND					Sold	05/07/09	K		
109. - FAIR POINT COMMUNICATIONS (COMMON STOCK)									
110. - CBS, INC (COMMON STOCK)					Sold	10/19/09	K	D	
111. - INTEL CORP (COMMON STOCK)									
112. TD WATERHOUSE IRA #1	A	Dividend	N	T					
113. - SPEC SIT EQUITY FUND					Sold	12/31/09	N	E	
114. TD WATERHOUSE IRA #2	A	Dividend	N	T					
115. -SPEC SIT LIFE SCIENCES FUND									
116. CHARLES SCHWAB IRA	B	Dividend			Closed	03/23/09	N		
117. -FIRST EAGLE OVERSEAS FUND					Sold	02/09/09	J		
118. -FIRST EAGLE US VALUE FUND					Buy (add'l)	01/08/09	K		
119. - FIRST EAGLE US VALUE FUND					Sold	02/09/09	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. - MATTHEWS ASIAN GROWTH & INCOME FUND					Sold	02/09/09	J		
121. -TROWE PRICE CAPITAL APP FUND					Sold	02/09/09	K		
122. - RYDEX MANAGED FUTURES FUND					Buy	01/09/09	K		
123. - RYDEX MANAGED FUTURES FUND					Sold	02/09/09	K		
124. - SCHWAB GOVT MONEY FUND					Sold	03/23/09	N		
125. - JP MORGAN HIGHBRIDGE STATISTICAL MKT NEUTRAL SELE					Sold	02/09/09	K		
126. - SPDR TRUST UNIT SR1 EXPIRING ON 01/22/2118					Sold	01/20/09	K		
127. MASS MUTUAL LIFE INS	A	Dividend	K	W					
128. ING LIFE INS	C	Dividend	M	T					
129. PNC BANK WASH DC	A	Interest	K	T					
130. US BONDS	C	Interest	M	T					
131. J.J. GUMBERG LIMITED PARTNERSHIP (X)	B	Distribution	J	W					
132.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- 1) TOTAL INCOME FOR IRA ACCOUNTS IS TOTAL INCOME AS REPORTED BY CUSTODIAN OF ACCOUNT.
- 2) TRANSFERS FROM IRA ACCOUNTS TO THRIFT SAVINGS PLAN ACCOUNTS NOT SHOWN.
- 3) LINE 2 & 131 ARE ASSETS THAT WERE INHERITED FROM
- 4) LINE 57 - ARTIO INTL EQUITY FUND II WAS FORMALLY JULIUS BAER INTL EQUITY FUND II.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544