

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) VRATIL, KATHRYN H	2. Court or Organization DISTRICT OF KANSAS	3. Date of Report 02/28/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address UNITED STATES DISTRICT COURT 500 STATE AVENUE, SUITE 511 KANSAS CITY, KANSAS 66101-2435	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	AT&T pension
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Citibank	Student Loans	K
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Prudential Life Ins. Policies	A	Dividend	J	T					
2. Massachusetts Mutual Life Ins. Policy	C	Dividend	L	T					
3. Kansas Municipal Bond Fund	A	Dividend			Buy	01-31	J		
4.					Buy	02-28	J		
5.					Redeem-all	03-23	J		
6. Oppenheimer Municipal Bond Fund Class A	A	Dividend			Buy	01-24	J		
7.					Buy	02-21	J		
8.					Redeem-pt	03-21	J	A	
9.					Redeem-all	03-27	K	B	
10. Schwab Money Market Fund	A	Dividend			Sell-all	05-02	J		
11. Schwab Money Market Fund (IRA)	A	Dividend			Sell-all	05-02	J		
12. Columbia Acorn Fund (IRA)	C	Dividend	K	T	Sell-pt	02-27	K	D	
13.					Buy	06-06	J		
14.					Buy	12-08	J		
15. Schwab Money Market Fund	A	Dividend	J	T					
16. Schwab Money Market Fund	A	Dividend			Sell-all	05-22	J		
17. AmCent Income & Growth (IRA)	A	Dividend			Sell-pt	02-27	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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18.					Buy	03-07	J		
19.					Buy	06-13	J		
20.					Buy	09-12	J		
21.					Sell-all	11-08	K	D	
22. AmCent Income & Growth	C	Dividend	L	T	Buy	03-07	J		
23.					Buy	03-14	J		
24.					Buy	06-13	J		
25.					Buy	09-12	J		
26.					Buy	12-19	J		
27.					Buy	12-27	J		
28. American Century Income & Growth	A	Dividend	J	T					
29. Columbia Acorn Fund	A	Dividend	J	T					
30. Banc One Corp Notes	B	Interest	K	T					
31. GNMA PL	A	Interest	J	T	Repayment	01-17	J		
32.					Repayment	02-15	J		
33.					Repayment	03-15	J		
34.					Repayment	04-15	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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35.					Repayment	05-16	J		
36.					Repayment	06-15	J		
37.					Repayment	07-15	J		
38.					Repayment	08-15	J		
39.					Repayment	09-15	J		
40.					Repayment	10-16	J		
41.					Repayment	11-15	J		
42.					Repayment	12-15	J		
43. Ameristock Fund		None			Sell-all	05-04	J	A	
44. Artisan International Fund		None			Sell-all	05-06	K	D	
45. Julius Baer International Equity Fund	C	Dividend	K	T	Sell-pt	05-04	J	B	
46.					Sell-pt	10-13	J	B	
47. Royce Low Priced Stock Fund	B	Dividend	J	T	Sell-pt	05-03	K	D	
48.					Sell-pt	10-12	J	B	
49. Schwab 1000 Index Fund		None			Sell-all	05-03	K	D	
50. Schwab International Index Fund	A	Dividend	K	T	Sell-pt	10-12	J	B	
51. Schwab Small Cap Index Fund		None			Sell-all	05-03	K	D	

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2. Value Codes (See Columns C1 and D3)	U = Book Value				
3. Value Method Codes (See Column C2)					

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52. Orthologic Corp. (IRA)		None	J	T					
53. California Amplifier (IRA)		None	J	T					
54. Mass Mutual Fixed Account Annuity	A	Dividend			Redeem-all	04-27	K		
55. Schwab Muni Money Fund	A	Dividend	J	T					
56. FAM Value Fund	A	Dividend	J	T	Buy	12-28	J		
57. Julius Baer Int'l Equity Fund II CL A	A	Dividend	J	T	Buy	12-27	J		
58. Schwab Yield Plus Inv Shares	A	Dividend	J	T	Buy	01-31	J		
59.					Buy	02-28	J		
60.					Buy	03-31	J		
61.					Buy	04-28	J		
62.					Buy	05-31	J		
63.					Buy	06-30	J		
64.					Buy	07-31	J		
65.					Buy	08-31	J		
66.					Buy	09-29	J		
67.					Buy	10-31	J		
68.					Buy	11-30	J		

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69.					Buy	12-29	J		
70. Schwab Yield Plus Inv	B	Dividend	K	T	Buy	01-31	J		
71.					Buy	02-28	J		
72.					Buy	03-31	J		
73.					Buy	04-28	J		
74.					Buy	05-31	J		
75.					Buy	06-30	J		
76.					Buy	07-31	J		
77.					Buy	08-31	J		
78.					Buy	09-29	J		
79.					Buy	10-31	J		
80.					Buy	11-30	J		
81.					Buy	12-29	J		
82. Vanguard Total Stock Mkt Stk Mkt Vipers Index Fund	A	Dividend			Sell-all	01-27	J	A	
83. Excelsior Value and Restructuring Fund (IRA)	A	Dividend	K	T	Buy	04-11	J		
84.					Buy	06-27	J		
85.					Buy	10-10	J		

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86.					Buy	12-19	J		
87. iShares MSCI Emrg Mkt Fd Emerging Markets Indx (IRA)	A	Dividend	J	T	Buy	01-03	J		
88.					Sell-pt	07-31	J	A	
89. Janus Growth & Income Fd (IRA)	A	Dividend	K	T	Buy	02-28	K		
90.					Buy	03-31	J		
91.					Buy	06-30	J		
92.					Buy	09-29	J		
93.					Sell-pt	11-07	K	A	
94.					Buy	12-15	J		
95. Lauder Intl Mktmstrs Fund Select Shares (IRA)	D	Dividend	L	T	Buy	12-21	J		
96. NB Intl Fund Inv Class Neuberger Berman (IRA)	C	Dividend	K	T	Buy	12-15	J		
97. Northern Small Cap Value Fund (IRA)	D	Dividend	L	T	Buy	11-09	K		
98.					Buy	12-20	J		
99. RS Value Fund (IRA)		None			Sell-all	11-08	K	C	
100. Schwab Core Equity Fund (IRA)		None			Sell-pt	02-27	K	B	
101.					Sell-all	11-08	K	C	
102. UMB Scout Worldwide Fund (IRA)		None			Buy	06-23	J		

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103.					Sell-all	11-08	L	D	
104. Schwab Premier Equity Select Shares (IRA)	A	Dividend	M	T	Buy	02-28	K		
105.					Buy	11-09	K		
106.					Buy	12-21	J		
107. U.S. Global Investors Eastern European Fund (IRA)		None			Sell-pt	07-27	J	A	
108.					Sell-all	11-08	J	B	
109. William Blair Small Cap Growth Fund Class N (IRA)	B	Dividend	K	T	Sell-pt	07-27	J		
110.					Buy	12-20	J		
111. MB Financial Bk CD due 07/27/07	A	Dividend	K	T					
112. Schwab Cash Reserves	A	Dividend	J	T	Buy	05-02	J		
113. Blackrock Health Sciences Fund Inv CL A (IRA)	A	Dividend	K	T	Buy	02-28	K		
114.					Buy	12-07	J		
115. FBR Small Cap Financial Fund (IRA)	B	Dividend	K	T	Buy	02-28	J		
116.					Buy	07-31	J		
117.					Buy	12-15	J		
118.					Buy	12-29	J		
119. Schwab Cash Reserves (IRA)	A	Dividend	J	T	Buy	05-02	J		

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120. Transamerica Premier Equity Fund Inv CL (IRA)	A	Dividend	K	T	Buy	07-27	J		
121.					Buy	12-28	J		
122. American Century Equity Income Fund Inv (IRA)	C	Dividend	K	T	Buy	11-09	K		
123.					Buy	12-12	J		
124.					Buy	12-27	J		
125. Janus Overseas Fund (IRA)	A	Dividend	K	T	Buy	11-09	K		
126.					Buy	12-15	J		
127. Julius Baer Intl Equity Fund II CL A (IRA)	A	Dividend	K	T	Buy	11-09	K		
128.					Buy	12-27	J		
129. Schwab Yield Plus Inv (IRA)	A	Dividend	J	T	Buy	11-16	J		
130.					Buy	11-30	J		
131.					Buy	12-29	J		
132. Schwab 1 Cash Acct	A	Interest	J	T	Buy	11-07	J		
133. Schwab Value Advantage	A	Dividend			Buy	05-04	J		
134.					Sell-all	09-20	J		
135. Fed Home Ln Bk 5.15% 06 Notes	B	Interest			Buy	05-03	K		
136.					Redeem-all	11-22	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VRATIL, KATHRYN H

Date of Report

02/28/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Accessor Small to Mid Cap Fund Adv CL	A	Dividend	K	T	Buy	05-05	J		
138.					Buy	09-26	J		
139. Excelsior Value and Restructuring Fund	A	Dividend	K	T	Buy	05-05	K		
140.					Buy	06-26	J		
141.					Buy	10-10	J		
142.					Buy	12-19	J		
143. Janus Growth & Income Fund	A	Dividend	K	T	Buy	05-05	K		
144.					Buy	06-30	J		
145.					Buy	09-29	J		
146.					Sell-pt	10-12	J		
147.					Buy	12-15	J		
148. NB Guardian Fd Investor Class Neuberger Berman	B	Dividend	K	T	Buy	05-05	J		
149.					Buy	12-15	J		
150. Schwab Health Care Fund	A	Dividend	J	T	Buy	05-05	J		
151.					Buy	12-21	J		
152. Charles Schwab Bank, N.A.	A	Interest			Buy	04-18	J		
153.					Sell-all	09-20	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VRATIL, KATHRYN H

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Schwab Cash Reserves	A	Dividend	J	T	Buy	09-21	J		
155. Schwab Yield Plus Inv Shares	A	Dividend			Buy	10-16	K		
156.					Buy	10-31	J		
157.					Sell-all	11-27	K	A	
158. Schwab Yield Plus Select Shares	A	Dividend	L	T	Buy	11-29	L		
159.					Buy	11-30	J		
160.					Buy	12-29	J		
161. Citigrp Cap XVI 6.45% 66 due 12/31/66	A	Interest	K	T	Buy	11-30	K		
162. Schwab Value Advantage Money Fund	A	Dividend			Buy	04-19	L		
163.					Sell-all	05-04	L		
164. Schwab Yield Plus Inv Shares	A	Dividend			Buy	05-05	J		
165.					Sell-pt	05-16	J		
166.					Buy	05-31	J		
167.					Buy	06-30	J		
168.					Buy	07-31	J		
169.					Buy	08-31	J		
170.					Buy	09-29	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

VRATIL, KATHRYN H

Date of Report

02/28/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171.					Sell-all	10-12	J		
172. Vanguard Total Stock Mkt Stk Mkt Vipers	A	Dividend	K	T	Buy	05-08	L		
173.					Buy	07-03	J		
174.					Buy	10-02	J		
175.					Sell-pt	12-21	J	A	
176.					Buy	12-29	J		
177.									
178.									
179.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

VRATIL, KATHRYN H

Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Note to Section I:

During 2006, Trust #1 included the assets listed on lines 15, 22, 29, 70 and 82.

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Name of Person Reporting

VRATIL, KATHRYN H

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

February 28, 2007

NOTE: ANY PERSON WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544