

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) BABCOCK, LEWIS T.	2. Court or Organization U.S. DISTRICT COURT - COLORADO	3. Date of Report 04/19/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) JUDGE - SENIOR STATUS	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 1929 STOUT STREET, C450 DENVER, CO 80294	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Boat Anchors, Inc.
2.	
3.	
4.	

IV. REIMBURSEMENTS *--transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

SOURCE

DESCRIPTION

VALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

CREDITOR

DESCRIPTION

VALUE CODE

1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount	(2) Type (e.g., div., rent, or int.)	(1) Value	(2) Value	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value	(4) Gain	(5) Identity of buyer/seller (if private transaction)
	Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)			Code 2 (J-P)	Code 1 (A-H)	
1. Double Eagle Petroleum Mining Co (Com.)		None	J	T					
2. Prime Energy Corp (Com)		None	J	T					
3. jhi, Inc. (Com		None	J	T					
4. Colorado Public Employees Retirement Association		None	M	T					
5. Brokeridge Account #1 (IRA)									
6. a) AIM Premier aka AIM Charter Fund C/A	A	Dividend	J	T					
7. b) Hartford Fund C1 B	A	Dividend	J	T					
8. Brokeridge Account #2 (IRA)									
9. a) AIM Premier Equity C1B aka AIM Charter Fund C/B	A	Dividend	J	T					
10. Brokerage Account #2									
11. a) AIM Equity Fund Class A	A	Dividend	J	T					
12. Brokeridge Account #3									
13. a) Citibank NA	A	Interest	J	T					
14. b) AT&T Inc	A	Dividend	J	T					
15. c)(i) Pfizer, Inc.	A	Dividend	J	T					
16. d) Alcate10Lucent ADR		None	J	T					
17. e) Viacom B (Com)	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. f) GNMA (12/86)	A	Interest	J	T					
19. g) LSI Corp		None	J	T					
20. h) Comcast Corp (Com)		None	J	T					
21. i) AIM Premier Equity Fund Class A	A	Distribution	K	T					
22. j) Capital World Growth & Income B	A	Dividend	K	T					
23. AIM Invesco Growth Fund		None	K	T					
24. AIM Diversified Div Fund	A	Dividend	K	T					
25. AIM Invesco Free Bond Fund	C	Int./Div.	L	T					
26. Valic (IRA) nka AIG Retirement	A	Interest	J	T					
27. Waddell & Reed Tot. Ret. Fund (IRA)	A	Dividend	J	T					
28. U.S. Consolidated Federal Credit Union nka ENT									
29. a) CD	A	Interest	J	T					
30. b) Account #1	A	Interest	J	T					
31. USbancorp Account #1	A	Interest	J	T					
32. USbancorp Account #2	A	Interest	J	T					
33. Lot Riverside County, Calif (Line 20, 2000 Report)		None	J	W					
34. .333 Int [REDACTED] [REDACTED], Imperial, CA	C	Rent	M	W					

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(See Columns B1 and D4)

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3. Value Method Codes
(See Column C2)

Q = Appraisal
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B = \$1,001 - \$2,500
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S = Assessment
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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Brokeridge Account #1 (IRA)									
36. a) Capital World Growth Income A fka B	A	Dividend	J	T					
37. b) Capital Income Building Fund B	A	Dividend	K	T					
38. American Funds IICA	A	Dividend	K	T					
39. Brokeridge Account #3 r) Legg Mason Value Trust, Inc.	A	Dividend	J	T					
40. Brokeridge Account #3 CBS	A	Dividend	J	T					

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3. Value Method Codes
(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **LEWIS T. BABCOCK**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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