

United States Court of Appeals
for the Ninth Circuit
Richard H. Chambers Courthouse
125 South Grand Avenue
Pasadena, California 91105

Chambers of
Kim McName Wardlaw
Circuit Judge

October 6, 2004

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FINANCIAL
DISCLOSURE OFFICE

Ms. Mary M. Lisi, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2003 Filing

Dear Ms. Lisi:

In response to your letter dated August 9, 2004, please find the following responses:

1. Page 1, lines 9 and 10. The 2002 report inadvertently omitted that Travelers Property Casualty CRP A and B were received in a stock dividend on August 20, 2002. The value code for each of the dividends was J.

Page 1, line 13. The 2003 Report should read:
Time Warner None K T Buy 1/13 K

Page 16, line 282, and page 17, line 290. The 2003 report should read:
[REDACTED] Active Money Trust A Dividend M T Opened 9/10 M

2. Page 2, line 31. The 2003 report should read:
[REDACTED] Money Market Fund A Interest K T

Ms. Mary M. Lisi, Chair
Judicial Conference of the United States
October 5, 2004
Page 2

Page 5, line 82. The 2003 report should read:

[REDACTED] Active Asset Money Trust Fund B
Dividend P1 T

Page 13, line 227. The 2003 report should read:

[REDACTED] Liquid Asset Account Trust Fund A
Dividend J T

Page 17, line 295. The 2003 Report should read:

[REDACTED] Money Market Fund B Interest M T

Should you have any questions or need additional information, please do not hesitate to call.

Very truly yours,

[REDACTED]

The Honorable Kim M. Wardlaw
United States Circuit Judge

KMW:ll

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) WARDLAW, KIM M	2. Court or Organization NINTH CIRCUIT COURT OF APPEALS	3. Date of Report 5/15/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE - ACTIVE	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☒ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address U.S. COURT OF APPEALS 125 S. GRAND AVE. PASADENA, CA 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Director	[REDACTED]
2. Board of Trustees	[REDACTED]
3. Director	[REDACTED]

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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Date of Report

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III. NON-INVESTMENT INCOME.

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐ **NONE** - (No reportable non-investment income.)

DATE _____

SOURCE AND TYPE

1.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

WARDLAW, KIM M

Date of Report

5/15/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE1.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting
WARDLAW, KIM M

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. ret. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. (IRA)	D	Div/Int	P1	T					
2. Coupon Treasury Receipt		None	K	T					
3. Boeing common stock	A	Dividend	K	T					
4. Coca-Cola common stock	A	Dividend	K	T					
5. Ford Motor common stock	A	Dividend	K	T					
6. General Electric common stock	B	Dividend	L	T					
7. Motorola common stock	A	Dividend	J	T					
8. Sara Lee common stock	B	Dividend	K	T					
9. Travelers Property Casualty CRP A	A	Dividend			Sold	11/10	J	A	
10. Travelers Property Casualty CRP B	A	Dividend			Sold	11/10	J	A	
11. Telefonos de Mexico common stock					Sold	6/11	J	C	
12. Eurofund					Sold	6/11	K	A	
13. Time Warner		None	K	T					
14. Templeton Foreign	A	Dividend	K	T	Buy	6/11	K		
15. East Cameron Block		None	J	U					
16. Michael T. Jackson Investment	A	Int/Dividend	K	U					
17. Alliance Growth & Income Fund Class C	A	Dividend	M	T	Buy	6/11	K		
18. Federated Kaufmann (fr. Federated Small Cap Strategies)		None	M	T	Buy	6/11	K		

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
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3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. General Mills common stock	B	Dividend	K	T					
20. Johnson & Johnson common stock	B	Dividend	L	T					
21. McDonalds Corp. common stock	A	Dividend	J	T					
22. Walt Disney Company common stock	A	Dividend	K	T					
23. Microsoft common stock	A	Dividend	L	T					
24. Ensc0 Intl common stock	A	Dividend	K	T					
25. Fannie Mae (USA)	A	Dividend			Sold	6/11	K	A	
26. Freddie Mac	A	Dividend			Sold	6/11	K	A	
27. U.S. Treasury Strips		None	M	T					
28. Indymac Mtg. Hldg. Inc.		None			Sold	6/11	J	D	
29. Hewlett Packard	A	Dividend	K	T					
30. Staples, Inc.		None	K	T					
31. Money Market Fund	A	Interest	K	T					
32. Agilent Technologies, Inc.		None	J	T					
33. Pinnacle Entertainment, Inc.		None	J	T					
34. Amer. Movil Ser. ADR	A	Dividend	J	T					
35. Northrup Grumman	A	Dividend	K	T					
36. Citigroup	A	Dividend	J	T					

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. [REDACTED] Fund	D	Dividend	N	T					
38. Trust #1	B	Dividend/Int	M	T					
39. Nations Gov. Reserve Trust Fund	A	Dividend	J	T					
40. Nations Florida Inter Muni Fund	B	Dividend	L	T	Buy	4/4	K		
41. Nations Florida Inter Muni Fund					Buy	7/23	J		
42. Nations Marsico Growth Fund		None	L	T	Buy	4/4	L		
43. Bellsouth Corp. common stock	A	Dividend			Sold	4/7	K	D	
44. Eaton Corp. common stock	A	Dividend			Sold	4/7	K	E	
45. Rohm Haas Co.	A	Dividend			Sold	4/2	J	A	
46. Wyeth common stock	A	Dividend			Sold	4/7	K	E	
47. [REDACTED] (IRA)	C	Dividend	N	T					
48. Caterpillar common stock	A	Dividend	K	T					
49. Isramco common stock		None	J	T					
50. Maxwell Tech common stock		None	J	T					
51. Elan Corp. ADR		None	J	T					
52. Microchip Tech, formerly Telecom Semiconductor com stk	A	Dividend	K	T					
53. Gillette Co. common stock	A	Dividend	J	T					
54. Pfizer common stock	B	Dividend	L	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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(See Columns C1 and D5)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
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55. Gannett Co. common stock	A	Dividend	L	T					
56. [REDACTED] Pension Account	E	Dividend	P1	T					
57. AT&T common stock	A	Dividend	J	T					
58. Coca-Cola common stock	A	Dividend	K	T					
59. Intel common stock	A	Dividend	L	T					
60. Johnson & Johnson common stock	B	Dividend	L	T	Buy	11/19	J		
61. Altria Group, Inc (formerly Philip Morris common stock)	D	Dividend	M	T	Buy	1/14	J		
62. Sara Lee common stock	B	Dividend	L	T	Buy	11/19	J		
63. [REDACTED] Growth Mutual Fund		None	K	T					
64. New Perspective Mutual Fund	A	Dividend	K	T					
65. JTS Corp. common stock		None	J	T					
66. General Electric	D	Dividend	M	T					
67. Applied Material common stock		None	K	T					
68. Clear Channel common stock	A	Dividend	K	T					
69. Dell Corp. common stock		None	K	T					
70. Safeway common stock		None	J	T					
71. Sun Microsystems common stock		None	J	T					
72. Tyco common stock	A	Dividend	K	T					

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73. Oracle Corp.		None	J	T					
74. Public Storage	B	Dividend	K	T					
75. AT&T Wireless		None	J	T					
76. Nokia	A	Dividend	J	T					
77. Comcast Corp.		None	K	T					
78. Elan		None	J	T					
79. [REDACTED] Asset [REDACTED]	G	Interest/Div	P2	T					
80. Riverside County California Asset Leasing Municipal Bond	B	Interest			Redempt	10/1	L	A	
81. Santa Clara County CTFS Partnership Municipal Bond	B	Interest			Redempt	2/3	L	A	
82. Active Asset Money Trust Fund	B	Dividend	P1	T					
83. [REDACTED] Growth Fund		None	L	T					
84. Alameda Co. CA CTFS	B	Interest	L	T					
85. California St. General Obligation	E	Interest	O	T					
86. California St. General Obligation					Buy	9/5	M		
87. California Health Facs.	E	Interest	N	T					
88. Cisco Systems common stock		None	M	T					
89. [REDACTED] S&P Index	A	Dividend	M	T					
90. Du Pont common stock	B	Dividend	L	T	Buy	9/12	K		

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	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)		(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
91. El Centro California Fin. Auth.	B	Interest	L	T					
92. Foothill De Anza CA	B	Interest	L	T					
93. La Canada CA Unified	C	Interest	L	T					
94. Los Angeles Cnty CA Pub Wks	B	Interest	L	T					
95. Los Angeles CA CTFS	B	Interest	L	T					
96. Los Angeles CA Dept. Water	B	Interest			Redempt	8/15	K	A	
97. Northern CA Power Agency	C	Interest	L	T					
98. Sacramento CA Municipal	C	Interest			Redempt	11/17	L	A	
99. San Francisco CA City & County Gen Oblig	B	Interest	L	T					
100. San Francisco CA CY CO ARPTS	C	Interest	L	T					
101. Schlumberger Ltd. common stock	A	Dividend	L	T					
102. Time Warner (formerly AOL Time Warner)		None	K	T					
103. Cal Corn Devel Corp	C	Interest	L	T					
104. Public Storage	E	Dividend	N	T	Buy	2/28	K		
105. Target Corp.	A	Dividend	L	T					
106. Fresno Unified School District	B	Interest	L	T					
107. Hanford California Special Tax	C	Interest	L	T					
108. Los Angeles CA WSTWTR System	B	Interest			Redempt	6/2	L	A	

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109. Monterey County CA CTFS	B	Interest	L	T					
110. Orange California CTFS Partnership	C	Interest	M	T					
111. Orange County California Water District	B	Interest			Redempt	8/15	L	A	
112. Palm Springs CA Fing Auth	C	Interest	M	T					
113. Palos Verdes CA General Oblig	C	Interest	M	T					
114. Riverside CA Elec Rev Rfdg	B	Interest			Redempt	6/2	L	A	
115. Riverside Cnty CA Ctfs Partn	B	Interest	L	T					
116. San Diego Co CA CTF Partn	B	Interest	L	T					
117. San Diego Cnty CA Ctfs	C	Interest	M	T					
118. San Francisco CA City & County Redev.	B	Interest	L	T					
119. San Francisco CA Cy Cnty Airport	B	Interest	L	T					
120. Southern CA Pub Power Au Mead	B	Interest	L	T					
121. Sunnyvale CA Ctfs Partn	B	Interest	L	T					
122. Tyco International	A	Dividend	L	T					
123. Univ. California Rev Ref Ser	D	Interest	L	T	Part Redempt	9/1	J	A	
124. Univ. California Rev Ref Ser					Part Redempt	11/6	K	A	
125. Costa Mesa CA Pub Fing Auth Rev Ref Pub	C	Interest	M	T					
126. General Electric	B	Dividend	L	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

	(1)	(2) Type	(1)	(2)	(1)				
						(2)	(3)	(4)	(5)
128. Altria Group Inc. (formerly Philip Morris)	C	Dividend	L	T					
129. CitiGroup	B	Dividend	L	T					
130. Clear Channel Comm	A	Dividend	K	T					
131. Microsoft	A	Dividend	M	T	Buy	9/12	K		
132. Microsoft					Buy	11/25	K		
133. Qualcomm Communications Int'l Inc.	A	Dividend	K	T					
134. San Buenaventura CTFS	D	Interest	M	T					
135. Los Angeles County Met. Trans.	C	Interest	N	T	Buy	11/28	M		
136. Mount Diablo, CA School District	D	Interest	M	T					
137. California St. Dept. Water	C	Interest	L	T					
138. East Side Uni High School	C	Interest	M	T					
139. Travelers Property	A	Dividend	J	T	Part Tender	11/15	J	B	
140. Intel	A	Dividend	K	T					
141. J. P. Morgan	B	Dividend	K	T					
142. Edison International		None	K	T					
143. [REDACTED] High Yield	C	Interest	L	T					
144. Anaheim Pub. Fin. Auth.	B	Interest	L	T	Buy	1/3	L		

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2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
 (See Columns C1 and D3) N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
 P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
 (See Column C2) U = Book Value V = Other W = Estimated

		reporting period								
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		Amount	Type	Code 2						
149.	San Mateo County Trans. Dist.	D	Interest	M	T	Buy	2/27	M		
150.	San Francisco City Redev-Moscone	B	Interest	M	T	Buy	2/27	L		
151.	San Francisco City Redev-Moscone					Buy	9/8	L		
152.	West Sacto Redev. Tax Alloc.	B	Interest	L	T	Buy	2/27	L		
153.	North of the River San. Dist.	B	Interest	L	T	Buy	6/12	L		
154.	Hillsborough Sch. Dist.		None	L	T	Buy	7/9	M		
155.	Sacto Fin. Auth. Rev.	C	Interest	M	T	Buy	9/3	M		
156.	So. Cal. Rev.		None	M	T	Buy	9/3	M		
157.	California State Public Works	C	Interest	M	T	Buy	9/3	M		
158.	Santa Cruz Co. Redev.		None	L	T	Buy	9/4	L		
159.	Univ. California Gen. Rev.		None	M	T	Buy	9/9	M		
160.	La Canada CTFS-City Hall	C	Interest	M	T	Buy	9/16	M		
161.	Oakland School District		None	M	T	Buy	9/16	M		
162.	San Diego Redev. Agency Tax Alloc.		None	M	T	Buy	9/16	M		

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VI. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
163. California Ed. FACS Auth.		None	M	T	Buy	9/17	M		
164. Cucamonga Water District CTFS		None	M	T	Buy	9/17	M		
165. Orange County Water District CTFS		None	M	T	Buy	9/17	M		
166. Sacto Mun. Unl. Dist.	B	Interest	M	T	Buy	9/17	M		
167. Santa Barbara Redevel. Tax Alloc.		None	M	T	Buy	11/19	M		
168. Contra Costa County Pub. Fin.	B	Interest	L	T	Buy	11/19	L		
169. California Water Res. Dev.		None	L	T	Buy	11/24	L		
170. El Segundo School Dist.		None	M	T	Buy	11/24	M		
171. Los Angeles DWP - Power Syst.		None	L	T	Buy	11/26	L		
172. Oceanside CFTS Waste Wtr.		None	L	T	Buy	12/2	L		
173. Murrieta Vy. Sch. Dist.	A	Interest	L	T	Buy	6/12	L		
174. DPL Inc.	A	Dividend	L	T	Buy	6/30	K		
175. DPL Inc.					Buy	9/12	K		
176. Exxon Mobile		None	K	T	Buy	11/25	K		
177. Wal Mart	A	Dividend	L	T	Buy	9/12	L		
178. Freeman Spogli & Co.		None			Dissolved	12/31	J	A	
179. FS Equity Partners III	A	Interest	P1	U					
180. AV Partnership; Partnership Interest		None	K	U					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
181. AV Development common stock		None	K	U					
182. CB Commercial common stock		None	N	U					
183. The Pantry, Inc. common stock		None	N	U					
184. AFC Enterprises common stock		None	M	U					
185. Asbury Automotive common stock		None	M	U					
186. Miller Publishing llc interests		None	N	U					
187. Hudson RCI common stock		None	L	U					
188. FS Management Co., L.P. (L.P. Interest)	A	Interest	J	U					
189. FS & Co. Management, L.P. (L.P. Interest)	B	Interest	L	U					
190. Freeman Spogli & Co., Incorp. common stock	A	Interest	J	U					
191. FS Equity Partners IV	F	Dividend/int	P1	U					
192. Freeman Spogli & Co. LLC	A	Interest	L	U					
193. Hudson RCI common stock		None	O	U					
194. Advance Auto common stock		None	K	U					
195. Advance Auto					Partial Sale	6/10	●	G	
196. Advance Auto					Partial Sale	8/28	P1	H1	
197. Advance Auto					Partial Sale	9/10	P1	H1	
198. Advance Auto					Partial Sale	11/24	P1	H1	

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WARDLAW, KIM M

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. ret. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
199. Advance Auto					Partial Sale	12/11	N	G	
200. Advance Auto					Partial Sale	12/29	O	G	
201. Asbury Automotive common stock		None	M	U					
202. The Pantry common stock		None	M	U					
203. Century Maintenance common stock		None			Sale	12/23	P1	H1	
204. AFC Enterprises common stock		None	K	U					
205. Miller Pub common stock		None	K	U					
206. Galyan's Co. common stock		None	M	U					
207. Micro Warehouse		None	N	U					
208. Sur La Table		None	M	U	Buy	4/17	K		
209. Ross-Simmons		None	N	U					
210. Riordan Venture Assoc. (L.P. Int.)	B	Int/Divid	M	U					
211. Financial Pacific Inc. stock		None	J	U					
212. RHL Learm preferred stock, sub debt (Store of Knowledge)		None	K	U					
213. Elkay common stock		None			Sell	12/16	K	E	
214. Corda Med common notes		None	K	U					
215. RLH Silverado Dividend Pref. stock debt		None	K	U					
216. Physical Rehabilitation		None	J	U					

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217. Axcelant		None	J	U					
218. Foundstone		None	J	U	Buy	12/22	J		
219. Ascolta		None	J	U					
220. Bradshaw		None	J	U	Buy	5/15	J		
221. Intri-Plex Tech.		None	J	U	Buy	9/25	J		
222. Systems Management		None	J	U	Buy	11/19	J		
223. ITS Corp.		None	J	T	Buy	7/3	J		
224. Bisco 45 common stock	A	Dividend	J	U					
225. [REDACTED] RetireAccts 401k-profit sh	C	Dividend	N	T					
226. Pacific Growth Fund (Mutual Fund)		None	J	T					
227. Liquid Asset Account Trust Fund	A	Dividend	J	T					
228. Philips Elect NV	A	Dividend	K	T					
229. Hewlett Packard (formerly Compaq Computer Corp.)	A	Dividend	J	T					
230. Procter & Gamble	B	Dividend	L	T	Buy	1/21	J		
231. Cendant Corporation		None	K	T					
232. Safeway common stock		None	J	T					
233. Sun Microsoft common stock		None	K	T					
234. CVS Corp. common stock	A	Dividend	J	T					

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A.						from disclosure			
		(2)	(1)	(2)			(2)	(3)	Gain (A-F)
237.	Altria Group (formerly Philip Morris)	Dividend	K	T					
238.	Cisco Sys common stock	None	J	T					
239.	Clear Channel common stock	Dividend	J	T					
240.	Smuckers	Dividend	J	T					
241.	Agere Systems	None	J	T					
242.	Time Warner	None	K	T	Buy	1/21	K		
243.	Charles Schwab	Dividend	M	T					
244.	American Century Income and Growth	Dividend	K	T					
245.	Gabelli Small Cap Growth	Dividend	K	T					
246.	Sound Shore Fund	Dividend	J	T					
247.	Strong Schafer Value	Dividend	J	T					
248.	Hotchkiss & Wiley Small Cap Fund	Dividend	K	T					
249.	Baron Asset Fund	None	J	T					
250.	Gabelli Growth Fund	None	J	T					
251.	Wells Fargo Int'l Equity (formerly Montgomery Int'l Growth)	None	J	T					
252.	Northeast Investors	Dividend	J	T					

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253. Oak Value Fund		None	J	T					
254. Scudder Emerging Markets	B	Dividend	J	T					
255. Scudder Growth & Income	A	Dividend	J	T					
256. Scudder Greater Europe Growth	A	Dividend	J	T					
257. Scudder Hi Yield Tax Free		None	J	T					
258. Columbia Growth (formerly Liberty Growth)	A	Dividend	J	T					
259. Schwab Int. Mkt.	A	Dividend	J	T					
260. R&M Encelle Partners (Partnership Interest)		None	J	U					
261. Trust #2		None	J	T					
262. Growth Fund of America		None	J	T					
263. Trust #3	B	Dividend	L	T					
264. [REDACTED] Global Advantage Fund [REDACTED] Competitive Edge Fund)		None	K	T					
265. [REDACTED] Dividend Growth	A	Dividend	K	T					
266. High Yield Securities	A	Dividend	J	T					
267. General Electric	A	Dividend	K	T					
268. [REDACTED] Fund	A	Dividend	K	T	Buy	7/21	K		
269. Trust #4	B	Dividend	L	T					
270. [REDACTED] Global Advantage Fund [REDACTED] Competitive Edge Fund)		None	K	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
271. [REDACTED] Dividend Growth	A	Dividend	K	T					
272. High Yield Securities	A	Dividend	J	T					
273. General Electric	A	Dividend	J	T					
274. [REDACTED] Fund	A	Dividend	K	T	Buy	7/21	K		
275. Trust #5	A	Dividend	N	T					
276. [REDACTED] Dividend Growth	A	Dividend	M	T	Buy	9/12	L		
277. [REDACTED] Dividend Growth					Buy	11/25	K		
278. [REDACTED] Small-Mid. Val. Fund		None	L	T	Buy	9/16	K		
279. [REDACTED] Small-Mid Val. Fund					Buy	11/25	K		
280. Amer. Inv. Co. of Amer.	A	Dividend	M	T	Buy	9/12	L		
281. Amer. Inv. Co. of Amer.					Buy	12/17	K		
282. [REDACTED] Active Money Trust	A	Dividend	M	T					
283. Trust #6	A	Dividend	N	T					
284. [REDACTED] Dividend Growth	A	Dividend	N	T	Buy	9/12	L		
285. [REDACTED] Dividend Growth					Buy	11/25	K		
286. [REDACTED] Small-Mid. Val. Fund		None	L	T	Buy	9/16	K		
287. [REDACTED] Small-Mid Val. Fund					Buy	11/25	K		
288. Amer. Inv. Co. of Amer.	A	Dividend	M	T	Buy	9/12	L		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P = \$1,000,001-\$5,000,000	Q = \$5,000,001-\$25,000,000	
	R = \$25,000,001-\$50,000,000		S = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

WARDLAW, KIM M

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-F)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
289. Amer. Inv. Co. of Amer.					Buy	12/17	K		
290. █████ Active Money Trust	A	Dividend	M	T					
291. Real Estate Partnership		None	M	U					
292. Real Estate Partnership		None			Sale	2/17	M	G	
293. Real Estate Partnership					Buy	12/22	O		
294. Dorset Capital Partnership Invest	A	Interest	L	U	Buy	1/3	J		
295. Cash	B	Interest	M	T					
296. █████ Checking	A	Interest	K	T					
297. █████ Checking	B	Interest	P1	T					
298. Fargo Investment Partnership		None	L	U	Buy	6/11	J		
299.					Buy	10/19	J		
300.					Partial Sale	7/22	J	B	
301. R & M Catalytic Solutions		None	L	U	Buy	5/8	K		
302. Building Partners	A	Interest	L	U					
303. Regents Banshares		None	L	U	Buy	8/15	K		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	Q = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

WARDLAW, KIM M

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VII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

WARDLAW, KIM M

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5/15/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date 6-14-04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544