

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Dalzell, Stewart	2. Court or Organization U.S. District Court	3. Date of Report 05/04/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 15613 U.S. Courthouse 601 Market Street Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ **NONE** (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Secretary of the Vestry	Saint Mark's Church, Philadelphia, PA
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ **NONE** (No reportable agreements.)

DATE

PARTIES AND TERMS

1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Teaching Fees
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Cape Cod Five Cent Savings	A	Interest	J	T					
2. Bear, Stearns/JP Morgan Fed. Fund	A	Interest	J	T					
3. PNC Bank	A	Interest	J	T					
4. RMA/Smith Barney Tax Free Fund	A	Dividend	J	T					
5. BRE Properties, Inc.	C	Dividend	K	T					
6. Vanguard PA Tax Free Money Fund	A	Dividend	J	T					
7. Claude Resources		None			Sold	04/23/09	J		sell on Tornoto SE
8. Prime Fund-Cash Reserve Trust	A	Dividend	J	T					
9. Pepsico	A	Dividend	K	T					
10. Merck & Co.	A	Dividend	K	T	Buy	05/13/09	J		buy on NYSE
11. J.P. Morgan Bonds	B	Interest			Redeemed	01/15/09	K	A	matured
12. Cisco System Notes	A	Interest	K	T					
13. General Electric Capital Notes	A	Interest	K	T					
14. Pfizer, Inc.	A	Dividend	J	T					
15. General Electric	A	Dividend			Sold	03/25/09	J		sale on NYSE
16. Bank America Notes	A	Interest	J	T					
17. General Electric Cap. Notes	A	Interest	K	T	Buy	02/09/09	J		buy in offering

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$30,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 Q = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 111 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 112 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Exxon Mobil	A	Dividend	K	T	Sold (part)	12/17/09	J	C	partial sale on NYSE
19. SEI Daily Income Trust	A	Dividend	K	T					
20. Waste Management	A	Dividend	K	T	Buy	05/12/09	J		buy on NYSE
21. Chevron	B	Dividend	L	T					
22. Johnson & Johnson	A	Dividend	K	T					
23. Goldman Sachs Group Notes	D	Interest			Redeemed	10/01/09	K	A	matured
24. Odyssey Re Holdings	A	Dividend			Redeemed	09/08/09	J	D	merger
25. General Dynamics	A	Dividend			Sold	08/25/09	J	D	sale on NYSE
26. Intel	A	Dividend	K	T					
27. AT&T	A	Dividend	K	T	Buy (add'l)	05/12/09	J		buy on NYSE
28. McDonalds Corp.	A	Dividend	K	T	Buy	10/02/09	J		buy on NYSE
29. Powershares ETF	A	Dividend	J	T	Buy	10/02/09	J		buy on NASDAQ
30. Dominion Resources	B	Dividend	K	T	Buy (add'l)	10/02/09	J		buy on NYSE
31. Nat'l Rural Utility Notes	A	Interest	J	T	Buy	01/15/09	J		buy in offering
32. Diageo PLC.	A	Dividend	J	T	Buy	05/12/09	J		buy ADRs on NYSE
33. Chubb Corp.	A	Dividend	J	T	Buy	05/12/09	J		buy on NYSE
34. Windstream	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessed W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Bank of America	A	Dividend			Sold	08/15/09	J	A	sale on NYSE
36. Conoco Phillips	A	Dividend	K	T					
37. Int'l Business Machines	A	Dividend	K	T					
38. Bank New York Notes	A	Interest	K	T					
39. Fed. Home Loan Bank Bonds	A	Interest			Redeemed	07/17/09	J	A	full call
40. Federal Farm Credit Bank Notes	A	Interest			Redeemed	03/11/09	J	A	full call
41. Korea Electric Power Corp.	A	Dividend	K	T					
42. Southwestern Bell Tel. Bonds	A	Interest	J	T					
43. Fairfax Financial Holdings	A	Dividend	K	T					
44. Helmerich & Payne	A	Dividend	K	T					
45. Henderson Global Equity	A	Dividend	J	T					
46. Plum Creek Timber	A	Dividend	J	T	Sold (part)	03/10/09	J	A	partial sale on NYSE
47. Chesapeake Energy	A	Dividend			Sold	11/16/09	J	A	sale on NYSE
48. BP Plc	A	Dividend	J	T	Buy	05/12/09	J		buy ADRs on NYSE
49. FFCB Bonds	B	Interest	K	T					
50. FHLB Bonds	B	Interest	K	T					
51. Dow Chemical Notes	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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52. 3M Co.	A	Dividend	J	T	Buy	05/12/09	J		buy on NYSE
53. Automatic Data Processing	A	Dividend	J	T	Buy	05/12/09	J		buy on NYSE
54. DuPont	A	Dividend	J	T	Buy	05/12/09	J		buy on NYSE
55. National Fuel Gas	A	Dividend	J	T	Buy	05/12/09	J		buy on NYSE
56. Goldman Sachs Group Notes	A	Interest	K	T	Buy	05/22/09	K		buy in offering
57. iShares Barclays Agg. Bond Fund	A	Dividend	K	T	Buy	07/31/09	K		buy on market
58. Kraft Foods	A	Dividend	J	T	Buy	09/22/09	J		buy on NYSE
59. Simon Properties Group	A	Dividend	J	T	Buy	09/22/09	J		buy on NYSE
60. Emerson Elec. Co.	A	Dividend	J	T	Buy	09/22/09	J		buy on NYSE
61. Caterpillar Financial Notes	A	Interest	K	T					
62. 3M Co.	A	Dividend	J	T	Buy	05/12/09	J		buy on NYSE
63. Automatic Data Processing	A	Dividend	J	T	Buy	05/12/09	J		buy on NYSE
64. DuPont	A	Dividend	J	T	Buy	05/12/09	J		buy on NYSE
65. National Fuel Gas	A	Dividend	J	T	Buy	05/12/09	J		buy on NYSE
66. Goldman Sachs Group Notes	A	Interest	K	T	Buy	05/22/09	K		buy in offering
67. iShares Barclays Agg. Bond Fund	A	Interest	K	T	Buy	07/31/09	K		buy on market
68. Kraft Foods	A	Dividend	J	T	Buy	09/22/09	J		buy on NYSE

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Simon Properties Group	A	Dividend	J	T	Buy	09/22/09	J		buy on NYSE
70. Emerson Elec. Co.	A	Dividend	J	T	Buy	09/22/09	J		buy on NYSE

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$30,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H11 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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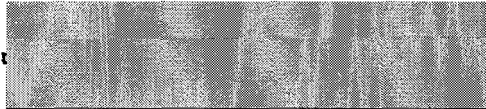
Name of Person Reporting Dalzell, Stewart	Date of Report 05/04/2010
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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544