

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Dawson, Robert T.	2. Court or Organization U.S. District Court	3. Date of Report 04/28/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address P O Box 1624 Fort Smith, AR 72902-1624	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

Dawson, Robert T.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. George Mason University	Oct 15, 2009 thru Oct 18, 2009	San Diego, CA	Education	Hotel and some meals
2. Rene Cassin Seminar	April 6, 2009 thru April 9, 2009	Strasbourg, France	Education	Hotel and some meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TIAA-CREF	C	Interest	M	T					
2. Enterprise Growth Fund CIA 215	A	Dividend	J	T					
3. Stephens Production Company (oil & gas)	A	Royalty	J	W					
4. XTO Energy (oil & gas)	A	Royalty	J	W					
5. Cypress Production (oil & gas)	A	Royalty	J	W					
6. Tellus Operating (oil & gas)	A	Royalty	J	W					
7. ACXIOM	A	Dividend	K	T					
8. Simon Property Group	B	Dividend	K	T					
9. Alltel Corp Del	B	Dividend			Sold	11/19/09	J	A	
10. Motorola Inc.	A	Dividend			Sold	12/15/09	J	A	
11. USA Truck	A	Dividend	J	T	Sold (part)	03/03/09	J	A	
12. Arkansas St College Svgs B	B	Interest	K	T					
13. Arkansas St College Svgs A	B	Interest	K	T					
14. Cisco Sys Inc.	A	Dividend	J	T					
15. Coca Cola	A	Dividend	J	T	Sold (part)	03/09/09	J	A	
16. Wachovia/Wells Fargo	A	Dividend	J	T					
17. General Electric	A	Dividend	J	T	Sold (part)	12/15/09	J	A	

1. Income Class Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting	Date of Report
Dawson, Robert T.	04/28/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Procter & Gamble Co.	A	Dividend	J	T					
19. Johnson & Johnson	A	Dividend	K	T	Sold (part)	03/06/09	J	A	
20. McDonalds Corp	A	Dividend	J	T	Sold (part)	03/03/09	J	C	
21. Southwest Airlines	A	Dividend	J	T					
22. Chevron Texaco Corp	A	Dividend	J	T	Sold (part)	10/14/09	K	A	
23. Intel Corp	A	Dividend	J	T					
24. Walt Disney	A	Dividend	J	T					
25. Alcatel-Lucent Technologies	A	Dividend			Sold	07/03/09	J	A	
26. Sun Microsystems	A	Dividend	J	T					
27. American Small Cap World	A	Dividend	J	T					
28. Vanguard 500 Index Fund	A	Dividend	K	T					
29. NASDAQ 100 Unit Trust Series I/Power Shares QQQ	A	Dividend	M	T					
30. Vanguard 500 Index Fund	A	Dividend	K	T	Sold (part)	07/01/09	K	A	
31. Watson Chapel AR School Dist.	A	Interest			Matured	08/17/09	J	A	
32. Merck & Co.	A	Dividend	J	T					
33. Univ of Ark	A	Interest	J	T					
34. Cinergy Corp/Duke Energy	B	Dividend	J	T	Sold (part)	03/02/09	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Ameren Corp	A	Dividend	J	T	Sold (part)	12/15/09	J	A	
36. Consolidated Edison	A	Dividend			Sold	01/20/09	J	A	
37. Bank of Hapoalim NY	A	Interest			Matured	05/26/09	L	A	
38. Progress Energy Inc.	A	Dividend	J	T					
39. Pub Svc Ent	A	Dividend			Sold	03/06/09	J	A	
40. Little River Cty AR Rev.	A	Interest	J	T					
41. Bank of America	A	Dividend			Sold	12/15/09	J	A	
42. Entergy Corp	A	Dividend	J	T					
43. Phoenix Rising/Virtus Growth	A	Dividend	J	T					
44. Murphy Oil	A	Dividend	J	T					
45. Bank of America	A	Dividend	J	T					
46. Ark St Fed Hwy Grant	A	Interest	K	T					
47. Blackrock Global	A	Interest	J	T					
48. Windstream/Alltel	A	Dividend	J	T	Sold (part)	03/03/09	K	B	
49. Little Rock AR New Pub	A	Interest	J	T					
50. Amer Funds Cap Inc.	A	Dividend	J	T					
51. Amer Funds Euro Pacific	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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52. Amer funds Washington Mutual	A	Dividend	J	T					
53. Janus Worldwide Fund (IRA)	E	Int./Div.	L	T					
54. Spectra	A	Dividend	J	T					
55. Goldman Sachs CD	A	Interest	J	T					
56. Bentonville, AR Sch	A	Interest	K	T					
57. Hartford Life Ins. Bond	A	Interest	K	T					
58. Crittenden County Bond	A	Interest	J	T					
59. Conway AR Sch Bond	A	Interest	J	T					
60. Nuveen Prem Ins.	A	Interest	J	T					
61. Kinder Morgan	A	Interest	J	T					
62. Ark St Higher Educ	A	Interest	K	T					
63. J P Morgan Chase	A	Interest	J	T					
64. Hartford Growth bond	A	Interest	J	T					
65. Fed Kaufman Small Cap	A	Interest	J	T					
66. Matthews Asia	A	Interest			Sold	04/15/09	J	A	
67. John Hancock Large Cap	A	Interest			Sold	04/15/09	J	A	
68. Allianz NFJ	A	Interest			Sold	04/15/09	J	A	

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69. Julius Baer Int'l/Artio Int'l	A	Interest	J	T					
70. Mutual Discovery	A	Interest			Sold	10/08/09	J	A	
71. Davis New York	A	Interest			Sold	04/15/09	J	A	
72. Neuberg & Bernn	A	Interest	J	T					
73. AIM Int'l	A	Interest			Sold	10/08/09	J	A	
74. Crocs	A	Interest	J	T					
75. Hillcrest CD	B	Interest	L	T					
76. Bank of Rogers CD	B	Interest			Matured	05/06/09	L	A	
77. Colonial Bank CD	B	Interest			Matured	08/25/09	L	A	
78. Van Kampen	A	Interest			Sold	02/02/09	J	A	
79. Buckeye Ohio Bond	A	Interest	J	T					
80. New York City Bond	A	Interest	J	T					
81. Bentonville AR Sales	A	Interest	K	T					
82. Little Rock AR Sewer	A	Interest	J	T					
83. Baron Partners	A	Interest	J	T					
84. Cambiar Opp.	A	Int/Div.	J	T					
85. Ivy Asset	A	Interest	J	T					

1. Income Gain Codes: (See Columns B) and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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86. DN Lehman Bro CD	A	Interest	K	T					
87. General Electric Cap	A	Interest	K	T					
88. Verizon	A	Interest	J	T					
89. Amer Exp CD	B	Dividend	J	T					
90. SunAmerica	A	Dividend	J	T					
91. Citigroup 5.125%	C	Interest	L	T	Buy	09/30/09	L		
92. Franklin Mutual Growth	D	Dividend	J	T	Buy	11/27/09	J		
93. Third Ave Value Fund	D	Dividend	J	T	Buy	10/08/09	J		
94. Thornburg Int'l	D	Dividend	J	T	Buy	10/08/09	J		
95. Baxter County Bond	D	Interest	J	T	Buy	07/07/09	J		
96. Ark State Univ Rev	D	Interest	J	T	Buy	07/07/09	J		
97. Beaver Water Bond	D	Interest	J	T	Buy	01/13/09	J		
98. Little Rock Ark Libr	D	Interest	J	T	Buy	01/13/09	J		
99. White Hall Bond	D	Interest	J	T	Buy	10/30/09	J		
100.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Rev) Estate Only V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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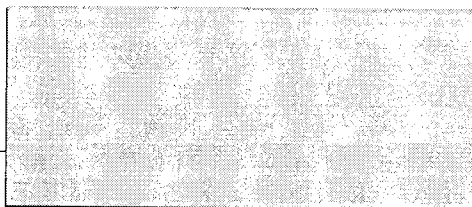
04/28/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544