

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Smith, Rebecca B.	2. Court or Organization Eastern District of Virginia	3. Date of Report 05/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address United States District Court 600 Granby Street Norfolk, Virginia 23510	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	General Douglas MacArthur Foundation, Norfolk, Va
2. Power of Attorney	☒ Account #1
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Self-employed [REDACTED]
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Law Association	March 27, 2009	New York, New York	Annual Dinner	Transportation, lodging, food
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Branch Bank & Trust Co. Bank Account	A	Interest	J	T					
2. Investment Account #1 directing (line 3)									
3. IRA-Brokerage Account #1 holding (lines 4-33)									
4. Schwab Govt. Money Fund	E	Int./Div.	K	T					
5. Capital One Bank - certi. of deposit	A	Interest			Matured	08/27/09	K		
6. Hampton Roads Bankshares Inc	B	Dividend	J	T					
7. The Diamonds Trust		None	K	T					
8. Dow Jones Select Div Index Fund		None	J	T					
9. Standard & Poor's Midcap 400		None	K	T					
10. S & P 500 Growth Index Fund		None	K	T					
11. Tr MSCI EAFE Index Fund		None	K	T					
12. Standard & Poor's Latin American 40 Index Fund	D	Int./Div.	J	T					
13. Standard & Poor's 500 Depository Receipts Trust	B	Int./Div.	K	T					
14. A T & T Inc New	A	Int./Div.	J	T	Buy	12/02/09	J		
15. Verizon Communications	A	Int./Div.	J	T	Buy	12/02/09	J		
16. IShares MSCI Emrg Mkt Fd	D	Int./Div.	K	T	Buy	09/16/09	J		
17. IShares S U P Smcap Value	A	Int./Div.	J	T	Buy	09/24/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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18. IShares TR Barclays Bond Intermediate	A	Int./Div.	K	T	Buy	05/15/09	K		
19. IShares TR Barclays Fund Aggregate	A	Int./Div.	J	T	Buy	05/15/09	J		
20. IShares Tr Cohen & Steer	A	Int./Div.	J	T	Buy	09/24/09	J		
21. SPDR Trust Unit Sr 1		None	K	T	Buy	01/20/09	K		
22. Taxable Bonds:									
23. Gov't Natl Mfg. Assn Pool 5/15/20 Medium Term Notes		None	J	T					
24. U.S. TSY Inflation Index Note 1/15/10		None	J	T					
25. US TSY Inflation Index Notes 7-15-13		None	J	T					
26. U.S. T-Note 5/15/14	B	Int./Div.			Redeemed	05/15/09	J		
27. U.S. T-Note 5/31/13	A	Int./Div.	K	T					
28. U.S. T-Note 8/15/12	A	Int./Div.	J	T					
29. U.S. T-Note 8/15/15	A	Int./Div.	J	T					
30. U.S. T-Note 10/31/11	A	Int./Div.	J	T					
31. Fed Farm CR BK 6/8/17	A	Int./Div.	K	T					
32. Oregon School Board Bond Due 6-30-10	A	Int./Div.	J	T					
33. Conocophillips 10/15/16	A	Int./Div.	J	T					
34. Farm [REDACTED] VA		None	N	W					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. Investment Account #2 directing (line 36)									
36. Brokerage Account #2 holding (lines 37-94)									
37. Schwab Govt Money Fund	A	Int./Div.	K	T					
38. Equities/Stocks:									
39. A T & T Inc.	B	Dividend	K	T					
40. Ace Limited	A	Dividend	J	T					
41. American Capital		None			Sold	06/17/09	J		
42. Auto Data Processing	A	Dividend	J	T					
43. Bank of America Corp.		None			Sold	01/20/09	J		
44. Cameron Intl Corp.		None			Sold	12/02/09	J		
45. Charles & Colvard Ltd.		None			Sold	06/17/09	J		
46. Cisco Systems Inc.		None	J	T					
47. Covidien Ltd.		None			Sold	01/20/09	J		
48. Dell Inc.		None	J	T					
49. Dollar Tree Inc.		None	K	T					
50. DuPont E I De Nemours & Co.	A	Dividend	J	T					
51. Duke Energy Corp.	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)					

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52. Exxon Mobil Corp.	A	Dividend	K	T					
53. General Electric Co.	A	Dividend	K	T					
54. Hampton Roads Bankshares	A	Dividend	J	T					
55. Hewlett-Packard Company	A	Dividend	K	T					
56. Honeywell International	A	Dividend	K	T					
57. International Paper Co.	A	Dividend			Sold	06/17/09	J		
58. Johnson & Johnson	A	Dividend	K	T					
59. J.P. Morgan Chase & Co.	A	Dividend	K	T					
60. Kraft Foods, Inc.	A	Dividend	J	T					
61. Medtronic Inc.	A	Dividend	J	T					
62. Microsoft Corp.	A	Dividend	K	T					
63. PepsiCo Inc.	A	Dividend	K	T					
64. Raytheon Company	A	Dividend	J	T					
65. St. Joe Co.		None	J	T					
66. Tyco Electronics Ltd.	A	Dividend			Sold	04/06/09	J		
67. Tyco International Ltd.	A	Dividend	J	T					
68. Verizon Communications	A	Dividend	J	T					

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69. 1 Shares Trust MSCI Eafe Index Fund		None	K	T					
70. Canadian Natl Ry Co	A	Dividend	J	T	Buy	05/15/09	J		
71. Chesapeake Energy Corp	A	Dividend	J	T	Buy	12/02/09	J		
72. Harris Corporation	A	Dividend	J	T	Buy	08/28/09	J		
73. Home Depot	A	Dividend	J	T	Buy	04/06/09	J		
74. Intel Corp	A	Dividend	J	T	Buy	05/15/09	J		
75. Marathon Oil Corp	A	Dividend	J	T	Buy	12/02/09	J		
76. Proctor & Gamble	A	Dividend	J	T	Buy	09/24/09	J		
77. Travelers Companies	A	Dividend	J	T	Buy	08/28/09	J		
78. Rydex SGI MGD Futures		None	K	T	Buy	12/02/09	K		
79. Vanguard Div Appreciation		None	J	T	Buy	01/20/09	J		
80. Tax Exempt Bonds:									
81. Alexandria VA 6/15/15	A	Interest	K	T					
82. Arlington Cnty VA 2/1/13	A	Interest	K	T					
83. Arlington Cnty VA 6/1/09 General Obligation Refunding	A	Interest			Redeemed	06/01/09	J		
84. Bristol, VA 11/1/16	A	Interest	J	T					
85. Chesterfield Cnty 1/15/14	A	Interest	J	T					

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86. Fairfax Cnty VA 10/1/11	A	Interest	K	T					
87. Henrico Cnty, VA 5/1/10	A	Interest			Redeemed	05/01/09	K		
88. Middle Riv. Regl. 5/15/12	A	Interest	K	T					
89. Montgomery Cnty, VA 1/15/11	A	Interest	K	T					
90. Prince William County VA CTF Partn 6/1/15	A	Interest	J	T					
91. Prince William County VA 7/1/12	A	Interest			Redeemed	07/01/09	K		
92. Virginia College Bl. 9/1/15	A	Interest	J	T					
93. Virginia College Bl. 4/1/16	A	Interest	K	T					
94. VA Res Auth Infrastructure Rev. Pooled Loan Bond 11/1/14	A	Interest	K	T					
95. Wells Fargo	A	Interest	K	T					
96. [REDACTED]	F	Dividend	N	W					
97. Rental Property #1, Norfolk, VA	E	Rent	N	W					
98. C.A. Associates, 2%, Norfolk, VA	D	Dividend	K	W					
99. GJF Currituck Beach Associates, NC		None	J	W					
100. IRA - Equi-Vest		None	K	T					
101. Deferred Annuity - Equitable Life Ins. Co.		None	K	T					
102. IRA-Brokerage Acct #3 directing and holding (lines 103-148)									

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103. UBS Pace Money Market Investment Fund	A	Int./Div.	J	T					
104. American Funds New World Fund		None			Sold	02/03/09	J		
105. Blackrock Small Cap Growth Fund		None			Sold	02/03/09	J		
106. Blackrock Latin America Fund		None			Sold	02/03/09	J		
107. Diamond Hill Long-Short Fund		None			Sold	02/03/09	J		
108. First Eagle Sogen Global Fund		None	J	T					
109. First Eagle Overseas Fund	A	Int./Div.	J	T					
110. Ing Corporate Leaders Trust Fund		None			Sold	02/03/09	J		
111. Ing International Value Fund		None			Sold	02/03/09	J		
112. Ing Russia Fund		None			Sold	02/03/09	J		
113. Ivy Global Natural Resources Fund	A	Int./Div.			Sold	02/03/09	J		
114. Janus Adviser Intl	A	Int./Div.			Sold	02/03/09	J		
115. John Hancock Large Cap Equity Fund	A	Int./Div.			Sold	02/03/09	J		
116. MFS Utilities Fund		None			Sold	02/03/09	J		
117. Oakmark International Small Cap Fund		None			Sold	02/03/09	J		
118. Oppenheimer Commodity Strategy Fund		None			Sold	02/03/09	J		
119. Pimco Real Estate Real Return Fund		None			Sold	02/03/09	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Royce Micro-Cap Fund	A	Int./Div.	J	T					
121. Royce Pennsylvania Mutual Fund		None			Sold	02/03/09	J		
122. Rydex Basic Materials		None			Sold	02/03/09	J		
123. Victory Small Company Opportunity Fund		None			Sold	02/03/09	J		
124. Washington Real Estate Investment Fund	A	Int./Div.	J	T					
125. AFBA 5 Star Science & Technology Fund	B	Int./Div.	J	T	Buy	04/17/09	J		
126. Allianz NFJ Small-Cap Fund	A	Int./Div.	J	T	Buy	02/03/09	J		
127. Allianz OCC Growth Fund	B	Int./Div.	J	T	Buy	04/17/09	J		
128. Dryden Financial Services Fund	B	Int./Div.	J	T	Buy	04/17/09	J		
129. Eaton Vance Traditional Worldwide Health Sciences	A	Int./Div.	J	T	Buy	02/03/09	J		
130. Federated Prudent Bear Fund		None	J	T	Buy	02/03/09	J		
131. ING Global Value Choice Fund	B	Int./Div.	J	T	Buy	04/17/09	J		
132. Ivy Small Cap Value Fund	B	Int./Div.	J	T	Buy	04/17/09	J		
133. Matthews China Fund	B	Int./Div.	J	T	Buy	04/17/09	J		
134. Nuveen Tradewinds Global	B	Int./Div.	J	T	Buy	04/17/09	J		
135. Oppenheimer Global Opportunities Fund	B	Int./Div.	J	T	Buy	04/17/09	J		
136. Rydex Retailing Fund	A	Int./Div.	J	T	Buy	04/17/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000 J = More than \$50,000,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Rydex S & P Midcap 400 Growth Fund	B	Int./Div.	J	T	Buy	04/17/09	J		
138. Seligman Large Cap Value Fund	B	Int./Div.	J	T	Buy	04/17/09	J		
139. Nuveen Multi-Strategy Income Fund	A	Int./Div.	J	T	Buy	04/17/09	J		
140. JP Morgan Highbridge Stat Market Fund		None	J	T	Buy	02/03/09	J		
141. Rydex/SGI Managed Futures Fund		None	J	T	Buy	02/03/09	J		
142. First Eagle Gold Fund	A	Int./Div.	J	T	Buy	04/17/09	J		
143. Federated Capital Income Fund	A	Int./Div.	J	T	Buy	02/03/09	J		
144. Janus Balanced Fund	A	Int./Div.	J	T	Buy	02/03/09	J		
145. Tax Exempt Bonds:									
146. VA St Pub Sch Auth 8/1/20	A	Interest	K	T					
147. Prince William County, Va 7/1/29		None			Sold	07/01/09	K		
148. Virginia Beach Va Pub Impt 7/15/23	A	Interest	J	T					
149. Brokerage Account #4 directing and holding (lines 150-191)									
150. Resource Management Tax-Free Fund, Inc.	A	Interest	L	T					
151. Equities/Stocks:									
152. Abbott Labs		None			Sold	01/07/09	J		
153. Baxter Intl Inc.		None			Sold	04/30/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) Y = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting
Smith, Rebecca B.

Date of Report
05/12/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Chevron Corp.		None			Sold	03/03/09	J		
155. Dominion Resources		None			Sold	02/23/09	J		
156. Johnson & Johnson		None			Sold	02/27/09	J		
157. Kinder Morgan Energy		None			Sold	03/03/09	J		
158. McDonalds Corp.		None			Sold	03/05/09	J		
159. National Bank of AZ		None			Sold	01/08/09	K		
160. Nestle	B	Dividend			Sold	11/20/09	J		
161. Powershares Global Exchange		None			Sold	02/24/09	J		
162. Procter & Gamble Co.	A	Dividend			Sold	11/20/09	J		
163. Rydex Series Fund		None			Sold	10/29/09	J		
164. Southern Co.		None			Sold	06/04/09	J		
165. Teva Pharmaceuticals	B	Dividend			Sold	11/20/09	J		
166. Verizon Comm.	A	Dividend			Sold	07/28/09	J		
167. Wal Mart Stores		None			Sold	02/04/09	J		
168. Wells Fargo & Co.		None			Sold	01/20/09	J		
169. iShares Trust Russell 1000 Value Index Fund	A	Dividend	J	T	Buy	11/20/09	J		
170. iShares Trust Russell 1000 Growth Index Fund	A	Dividend	J	T	Buy	11/20/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Smith, Rebecca B.

Date of Report

05/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset except from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. iShares Trust DJ U.S. Basic Materials	A	Dividend	J	T	Buy	11/20/09	J		
172. iShares Trust Russell Midcap Value Fund	A	Dividend	J	T	Buy	11/20/09	J		
173. iShares Trust Russell Midcap Index Fund	A	Dividend	J	T	Buy	11/20/09	J		
174. iShares MSCI Emerging Market Fund	A	Dividend	J	T	Buy	11/20/09	J		
175. iShares MSCI EAFE Index Fund	A	Dividend	J	T	Buy	11/20/09	J		
176. Rydex ETF Trust S & P 500	A	Dividend	J	T	Buy	11/20/09	J		
177. Vanguard Index Funds	A	Dividend	J	T	Buy	11/20/09	J		
178. Vanguard Intl Equity Index Fd		None	J	T	Buy	11/20/09	J		
179. Vanguard Divid Appreciation ETF	A	Dividend	J	T	Buy	11/20/09	J		
180. iShares Iboxx \$ Invt Gra De Corporate Bond Fund		None	J	T	Buy	11/20/09	J		
181. iShares Barclays 1-3 yr Treas Bond Fund		None	J	T	Buy	11/20/09	J		
182. iShares Barclays Tips Bond Fund		None	J	T	Buy	11/20/09	J		
183. iShares Barclays MBS Bond Fd		None	J	T	Buy	11/20/09	J		
184. SPDR Barclays Capital Int Term Treasury ETF		None	J	T	Buy	11/20/09	J		
185. SPDR Barclays Capital Tips		None	J	T	Buy	11/20/09	J		
186. SPDR DB Intl Govt Inflation Protected Bond		None	J	T	Buy	11/20/09	J		
187. SPDR Lehman Intl Treas Bond		None	J	T	Buy	11/20/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting Smith, Rebecca B.	Date of Report 05/12/2010
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. iShares S & P GSCI Commodity Ind	A	Dividend	K	T	Buy	11/20/09	K		
189. Powershares DB Multi Section Commodity	B	Dividend	K	T	Buy	11/20/09	K		
190. Energy Transfer Partners LP	A	Dividend			Buy	03/20/09	J		
191.					Sold	11/25/09	J	B	
192. Heritage Bank Account	B	Interest	M	T					
193. [REDACTED] Account #1 (line 194)									
194. Branch Bank & Trust Co. Bank Account	B	Interest	N	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting
Smith, Rebecca B.

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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Name of Person Reporting	Date of Report
Smith, Rebecca B.	05/12/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544