

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Clevenger, Raymond C. III		2. Court or Organization U.S. Court of Appeals for the Federal Circuit		3. Date of Report 5/15/2010	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Court Judge (Senior)		5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final Date ☐ Final		6. Reporting Period 1/1/2009 to 12/31/2009	
7. Chambers or Office Address 717 Madison Place N.W. Washington, DC 20439		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.					

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1.	
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☒ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

DATE	SOURCE AND TYPE
1. 2009	self-employed (photographer)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1. IP Law Section of State Bar of Georgia	Nov 11-15, 2009	Punta Cana Dominican Republic	Seminars participated at CLE conference	Transportation, meals, hotel
3.				
4.				
5.				

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)☒ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1		
2		
3		
4		
5		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)☒ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1		
2		
3		
4		
5		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1 Bank of America C.S.	D	DIV	P2	T	PART SELL	2/5	0	0	
2 Smith Barney Manager Municipal Fund	E	INT	P1	T					
3 PNC Bank NOW account	D	INT	L	T					
4 Shelter Properties VII units	A	CAP DISTRIB	J	W					
5 Shawnee Village Partners units	A	CAP DISTRIB	J	W					
6 Semurble Transp Co. mineral contract	C	contract payment	J	W					
7 COOP Refining LLP mineral contract	A	contract payment	J	W					
8 Total Petroleum Co. mineral contract	B	contract payment	J	W					
9 CentralCride Co. mineral contract	B	contract payment	J	W					
10 Delaware Group Tax Free Fund	E	INT	N	T					
11 Smith Barney Daily Div. Fund	E	INT	N	T					
12 Kansas City Southern Indus C.S.	A	DIV	F	T					
13 Walter Industries Inc. C.S.	NAME CHANGED				6/9/09	TO	WALTER ENERGY INC		
14 Walter Energy Inc. C.S.	A	DIV	G	T					
15 China Natural Gas Inc. C.S.	A	DIV	E	T					
16 Garmin Ltd. C.S.	A	DIV			SOLD ALL	6/3	M	A	
17 Weatherford Int'l Ltd. C.S.					SOLD ALL	5/26	M	F	

1 Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2 Value Codes
(See Columns C1 and D3)

I = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3 Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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Name of Person Reporting

Cleaver, Raymond C. III

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1 Buckeye Technologies Inc. C.S.	A	DIV			SOLD ALL	7/24	L	(E)	
2 Enzo Biochem Inc. C.S.	A	DIV	F	T					
3 Forestar Group Inc. C.S.		NONE			SOLD ALL	1/29	M	(L)	
4 Lawson Software Inc. C.S.	A	DIV	E	T					
5 National Oilwell Varco Inc. C.S.	A	DIV	F	T					
6 Petrohawk Energy Corp. C.S.	A	DIV	F	T					
7 Pinnacle Entmt. Inc. C.S.		NONE			SOLD ALL	2/4	L	C	
8 Baker Hughes Inc. C.S.		NONE	M	T	BUY	12/17	M		
9 Barrick Gold Corp. C.S.	A	DIV	M	T	BUY	9/21	M		
10 Cloud Peak Energy Inc. C.S.		NONE	K	T	BUY	11/20	K		
11 Ford Motor Company C.S.		NONE	M	T	BUY	4/16	M		
12 Mueller Water Products Inc. C.S.		NONE	L	T	BUY	10/5	L		
13									
14 Merrill Lynch & Co. Inc. C.S.					MERGED INTO BANK OF AMERICA	1/1/2009			*
15 Connecticut State Housing Bond	D	INT	L	T					
16 New Jersey Sports Center Bond	C	INT	L	T					
17 D.C. Howard University Bond	C	INT	L	T					

* SEE BANK OF AMERICA
LISTING, BELOW

1 Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2 Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3 Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
W = Estimated

T = Cash Market

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1 D.C. General Hospital Bond	B	INT	L	T					
2 New Jersey State Refunding Bond	C	INT	L	T					
3 Metropolitan DC Airport Bond	B	INT	L	T					
4 Washington Area Transit Authority Bond	B	INT	L	T					
5 Merrill Lynch Funds ↓									
6 Basic Value Fund	A	DIV	J	T					
7 Global Fund	A	DIV	J	T					
8 Phoenix Fund	A	DIV	J	T					
9 Pacific Fund	A	DIV	J	T					
10 Capital Growth Fund	A	DIV	J	T					
11 G.T. Telecommunications Fund	A	DIV	J	T					
12 Pasadena N.V. Trust Growth Fund	A	DIV	J	T					
13 Scudder Capital Growth Fund	A	DIV	J	T					
14 Odd Lot Fund	A	DIV	J	T					
15 Gilead Sciences Inc. c.s.	A	DIV	J	T					
16 Lorat Space + Communications Inc. c.s.	A	DIV	J	T					
17 Costco Cos. Inc. c.s.	A	DIV	J	T					

1 Income Gain Codes
(See Columns B1 and D4)

2 Value Codes
(See Columns C1 and D3)

3 Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000

J = \$15,000 or less
N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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¹ Berkshire Hathaway Co. C.S.	A	DIV	J	T					
² General Electric Co. C.S.	A	DIV	J	T					
³ Level 3 Communications Inc. C.S.	A	DIV	J	T					
⁴ Nokia Corp. C.S.	A	DIV	J	T					
⁵ Echelon Corp. C.S.	A	DIV	J	T					
⁶ Siebel Systems Inc. C.S.	A	DIV	J	T					
⁷ Human Genome Sciences Inc. C.S.	A	DIV	J	T					
⁸ SunGuard Security Systems Inc. C.S.	A	DIV	J	T					
⁹ Tyco Inc. C.S.	A	DIV	J	T					
¹⁰ Walt Disney Co. C.S.	A	DIV	J	T					
¹¹ Europacific Growth Fund	A	DIV	J	T					
¹² Global High Income Dollar Fund	A	DIV	J	T					
¹³ Bluefly Inc. C.S.	A	DIV	J	T					
¹⁴ Citadel Security Software Inc. C.S.					name changed to CDD Wind Down Inc.				6/1/09
¹⁵ CDD Wind Down Inc. C.S.	A	DIV	J	T					
¹⁶ St. Paul Companies, Inc. C.S.	A	DIV	J	T					
¹⁷ Bank of America C.S.	A	DIV	J	T					

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(See Columns B1 and D4)

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C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2 Value Codes
(See Columns C1 and D1)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3 Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

P4 = More than \$50,000,000
S = Assessment
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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¹ Blackrock Trust Co. c.s.	A	DIV	J	T					
² Canadian Oil Sands Trust Co.	A	DIV	J	T					
³ Chevron-Texaco Co. c.s.	A	DIV	J	T					
⁴ Cisco Corp. c.s.	A	DIV	J	T					
⁵ Dominion Reserve Inc. c.s.	A	DIV	J	T					
⁶ Exxon Mobil Corp. c.s.	A	DIV	J	T					
⁷ Ing International Small Cap. fund	A	DIV	J	T					
⁸ J.P. Morgan Chase & Co. Inc. c.s.	A	DIV	J	T					
⁹ Kayne Anderson Midstream Investment Co. c.s.	A	DIV	J	T					
¹⁰ Lowe's Cos Inc. c.s.	A	DIV	J	T					
¹¹ MBNA Corp. c.s.	A	DIV	J	T					
¹² PHLO Corp. c.s.	A	DIV	J	T					
¹³ Plum Creek Timber Co. Inc. c.s.	A	DIV	J	T					
¹⁴ Royal Dutch Petroleum Corp. c.s.	A	DIV	J	T					
¹⁵ Sbc Communications Inc. c.s.	A	DIV	J	T					
¹⁶ Star Scientific Inc. c.s.	A	DIV	J	T					
¹⁷ Superconductor Technologies Inc. c.s.	A	DIV	J	T					

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D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000
I = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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¹ Verizon Inc. C.S.	A	DIV	J	T					
² Keeley Small Cap Value Fund	A	DIV	J	T					
³ Oppenheimer Int'l Small Co. Fund	A	DIV	J	T					
⁴ Putnam International Inc. C.S.	A	DIV	J	T					
⁵ Rayonier REIT Inc. C.S.	A	DIV	J	T					
⁶ Snow Capital Management Fund	A	DIV	J	T					
⁷ International Game Technology Inc. C.S.	A	DIV	J	T					
⁸ iShares DJ Select Div Fund	A	DIV	J	T					
⁹ iShares MSCI EAFE Index Fund	A	DIV	J	T					
¹⁰ Njord Corp. Inc. C.S.	A	DIV	J	T					
¹¹ Smuckers Inc. C.S.	A	DIV	J	T					
¹² SRA International Inc. C.S.	A	DIV	J	T					
¹³ Dodge & Cox Int'l Stock C.S.	A	DIV	J	T					
¹⁴ Fairholme Fund shares	A	DIV	J	T					
¹⁵ G Market Inc ADR shares	A	DIV	J	T					
¹⁶ Hewlett Packard Co Inc. C.S.	A	DIV	J	T					
¹⁷ Lazard Energy Markets PDL shares	A	DIV	J	T					

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P1 = \$1,000,001 - \$5,000,000

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3 Value Method Codes
(See Column C2)

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1 Monsanto Co. c.s.	A	DIV	J	T					
2 Powershares Water Reserve Portfolio shares	A	DIV	J	T					
3 Reelfine Rentals Inc. c.s.	A	DIV	J	T					
4 Ascentic Software Corp. c.s.	A	DIV	J	T					
5 Apple Inc. c.s.		NONE	J	T					
6 Citadel Broadcasting Inc. c.s.		NONE	J	T	BUY	6/12	J		
7 Google Inc. c.s.		NONE	J	T	BUY	10/23	J		
8 Ishare S&P Latin America Fund	A	DIV	J	T	BUY	10/16	J		
9 Petroleo Brasileiro SA c.s.	A	DIV	J	T	BUY	10/16	J		
10									
11									
12									
13									
14									
15									
16									
17									

1 Income Gain Codes
(See Columns B1 and B4)

2 Value Codes
(See Columns C1 and C3)

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H1 = \$1,000,001 - \$5,000,000
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P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Marker

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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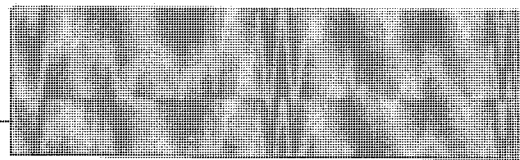
5/15/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544