

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2011

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duval, Stanwood R.	2. Court or Organization U. S. District Court, Eastern District of Louisiana	3. Date of Report 05/04/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Senior Status	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address U. S. District Court 500 Poydras St., Rm. C-368 New Orleans, LA 70130		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	Covenant House, Non-Profit Organization
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New Orleans Bar Association	April 15-17, 2011	Biloxi, MS	Bench Bar Conference	Food and lodging
2. Donald "Boysie" Bollinger	August 15-20, 2011	Argentina	Hunting trip	Travel, food and lodging
3. Arlen "Benny" Cenac, Jr.	May 2 and 5, 2011	To/From New Orleans, LA and San Antonio, TX	Travel to 5th Circuit Conference	Travel only
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Parcel #2, Terrebonne Parish, LA		None	J	W					
2. Whitney Bank (Accounts)	A	Interest	K	T					
3. Judicial & Justice Fed. Credit Union (Accounts)	A	Interest	J	T					
4. Mineral Interest #1, Terrebonne Parish, LA	B	Rent	J	W					
5. Mineral Interest #2, Terrebonne Parish, LA		None	J	W					
6. IRA #1	D	Distribution	N	T					
7. -Wells Fargo Sweep Account									
8. -HIO									
9. -CIBFX									
10. -EAIFX (formerly EAAFX)									See Part VIII
11. -FSMXX					Sold (part)	6/14/11	J		
12. -USB*L									
13. -AGG									
14. -T									
15. -PCY									
16. -BND									
17. -JPM PRZ									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H11 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H12 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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18. - WRS									
19. - EEM					Sold	08/15/11	J		
20. - QQQQ					Sold	08/15/11	J	A	
21. - GWX					Sold	08/15/11	J		
22. - MDY					Sold	08/15/11	J		
23. IRA#2	B	Int./Div.	N	T					
24. -Blackrock Fundamental Growth									
25. -Blackrock Global Allocation C+									
26. -Blackrock Global Smallcap C+									
27. -Van Kampen Comstock Fund+Class C									
28. Merrill Lynch CMA Account	A	Int./Div.	M	T					
29. -Blackrock Global Allocation FD Inc C									

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(See Columns B1 and D4)

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C =\$2,501 - \$5,000
H =\$1,000,001 - \$5,000,000

D =\$5,001 - \$15,000
I =\$15,001 - \$50,000

E =\$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

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L =\$50,001 - \$100,000
P1 =\$1,000,001 - \$5,000,000
P4 =More than \$50,000,000

M =\$100,001 - \$250,000
P2 =\$5,000,001 - \$25,000,000

3. Value Method Codes
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Q =Appraisal
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

PART IV. REIMBURSEMENTS

Line 1 - Donald "Boysie" Bollinger is a life long friend of mine. I have always recused myself from any cases involving Donald Bollinger or any of his companies.

Line 3 - Arlen "Benny" Cenac, Jr. is a life long friend of mine. Benny provided his private plane for my travel from New Orleans to San Antonio, TX on May 2, 2011 and return flight on May 5, 2011. I have always recused myself from any cases involving Arlen Cenac, Jr. or any of his companies.

PART VII. INVESTMENTS AND TRUSTS

Line 10 - EAIFX - Prior year report reflected EAAFX and is now EAIFX. Wells Fargo Advantage Funds-Asset Allocation FD were exchanged from Class A to Admin CL, but no change in the value. Therefore, nothing was reported in Column D.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Stanwood R. Duval**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
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