



UNITED STATES COURT OF APPEALS
FOR THE NINTH CIRCUIT

125 SOUTH GRAND AVENUE
PASADENA, CALIFORNIA 91105

CHAMBERS OF
MILAN D. SMITH, JR.
U.S. CIRCUIT JUDGE

TEL: 626.229.7245

June 26, 2007

By FedEx

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

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2007 JUN 27 A 11:02
FINANCIAL
DISCLOSURE OFFICE

Gentlepersons:

As required by the Ethics in Government Act of 1978, enclosed are one executed original and three additional copies of my Amended Annual Financial Disclosure Report for Calendar Year 2006, dated June 25, 2007.

The enclosed Amendment is prompted by the letter dated June 20, 2007 I received from the Committee requesting certain clarifications in my original Report, dated May 1, 2007. I have provided all the information requested in the Committee's letter concerning the securities noted.

Please note that the "Blackrock CA Ins. Muni 2008: holding listed on my Nomination Financial Disclosure Report filed in 2006 is already listed on line 14, page 4 of both the Annual and Amended Report. My former "Fidelity Adv. Muni Inc-T" holding is now listed on line 193 on page 15 of the Amended Report.

I trust the enclosed is fully responsive to the Committee's request.

Milan D. Smith, Jr.

Circuit Judge

Encl.

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Smith, Jr., Milan D	2. Court or Organization Ninth Circuit	3. Date of Report 06/25/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
5b. ☒ Amended Report		
7. Chambers or Office Address United States Court of Appeals Suite 205 125 S. Grand Ave. Pasadena, California 91105		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Managing Partner to 6-29-06 and Liquidating Partner from 6-30 to 12-31-06	Smith Crane Robinson & Parker LLP, in dissolution-See Part VIII
2. Escrow holder	Palos Verdes Peninsula Unified School District-Lunada Bay Little League-See Part VIII
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 1998	Smith Crane Robinson & Parker LLP. Partnership dissolved and ceased doing business effective 6-30-06. (See Part VIII)
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Smith Crane Robinson & Parker LLP-Income from law firm	\$ 247,072
2. 2006	Income from service as Trustee for Trust 1	\$ 1,186
3. 2006	Brokerage commission income	\$ 23,078
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Smith Crane Robinson & Parker LLP-Income from law firm
2. 2006	[REDACTED] Income from law firm
3. 2006	[REDACTED] Income from law firm
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. United States District Court of Oregon Historical Society	October 26-27-Portland, Oregon-Spoke to annual dinner (Transportation, Lodging and Meals)
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Smith Crane Robinson & Parker LLP Defined Benefit Pen Plan		None			See Part VIII				
2. Duke Energy Corp	A	Dividend			SBEOD				
3. Alltel Corp (Del)		None	K	T					
4. American Intl Group, Inc.		None			SBEOD				
5. Cendant Corp		None			SBEOD				
6. Chevron Corp	A	Dividend			SBEOD				
7. General Electric Co.	A	Dividend	J	T					
8. Johnson & Johnson	A	Dividend	K	T					
9. JP Morgan Chase & Co.		None			SBEOD				
10. Kimberly Clark Corp	A	Dividend			SBEOD				
11. Microsoft Corporation	A	Dividend	K	T					
12. Neenah Paper Inc.		None			SBEOD				
13. Pfizer Incorporated		None			SBEOD				
14. Blackrock CA Ins Muni 2008	B	Dividend	L	T					
15. VK Muni Inc Trust-Com		None			SBEOD				
16. Coca-Cola Company	A	Dividend	K	T	Sell	7/10	J	A	
17. Tamarack Prime MM		None			SBEOD				

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J=\$15,000 or less N=\$250,001 - \$500,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000	M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	T=Cash Market
3. Value Method Codes (See Column C2)	P3=\$25,000,001 - \$50,000,000 Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	P4=More than \$50,000,000 S=Assessment W=Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. Federated Automated XXX Cash Mgmt Trust-SS		None	J	T					
19. Harrahs Entertainment Inc.		None			SBEOD				
20. Intuitive Surgical Inc.		None	K	T					
21. McCormick and Schmicks Seafood Restaurants Inc.		None	K	T					
22. Somanetics Corp New		None	J	T	BBEOD				
23. Tribune Company New		None			SBEOD				
24. Franklin Multi Income Trust		None			SBEOD				
25. Putnam High Income Bond Fund		None	J	T					
26. Kinder Morgan Energy Partners LP Unit Ltd Partnership		None	K	T					
27. American Tower Corp CL A		None	K	T	Sell	10/19	J	A	
28. Allstate Corp	A	Dividend			SBEOD				
29. Constellation Brands, Inc Cl A		None	K	T					
30. Constellation Energy Group	A	Dividend	K	T					
31. Dell Inc.		None	J	T	Sell	8/3	J		
32. Devon Energy Corp New	A	Dividend	K	T					
33. Dow Chemical Company	A	Dividend			SBEOD				
34. Fortune Brands Inc.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. General Motors Corp		None			SBEOD				
36. Goldman Sachs Group Inc.	A	Dividend			SBEOD				
37. Intl Game Technology	A	Dividend	K	T					
38. L 3 Communications Corp	A	Dividend	K	T					
39. Pepsico Incorporated	A	Dividend	K	T					
40. Public Svc Enterprises Group Inc.		None			SBEOD				
41. Semptra Energy	A	Dividend			SBEOD				
42. Time Warner Inc.	A	Dividend	J	T					
43. Whole Foods Mkt Inc.	B	Dividend	K	T	Buy	8/10	J		
44. BP PLC Spons Adr	A	Dividend			SBEOD				
45. Canadian Natl Ry Co.	C	Dividend	K	T					
46. Koninklijke Philips Electrs NV (New)	A	Dividend	K	T					
47. Morgan Stanley Energ Mkts Fd Inc.		None			SBEOD				
48. Nabors Industries Ltd.		None	K	T					
49. Teco Energy Inc.	B	Dividend	K	T					
50. Boeing Co	A	Dividend	J	T	Sell	7/10	J	C	
51. Walt Disney Co	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. Intel Corp	A	Dividend	J	T					
53. Mellon Finl Corp (PA)	A	Dividend	J	T					
54. Viacom Inc CL A	A	Dividend			Sell	7/10	J		
55. Templeton Growth Fund Class A	B	Dividend	L	T					
56. Fidelity Adv High Sac Muni Port	B	Dividend	K	T					
57. Mojave Water Ag Imp Dist CA Go B/E FGIC-5.300 9/1/06		None			Redemption	9/1	J		
58. Los Angeles CNTY CA Pension Ob 6.770 6/30/05	A	Interest			SBEOD				
59. Stockton CA Pub Fing Rev Ref West Eight Str FSA CPN: 3.000		None			SBEOD				
60. First Colony Life Policy		None	J	T					
61. Aetna Life Policy		None	K	T					
62. McDonalds Corp		None			SBEOD				
63. Sprint Nextel Corp	A	Dividend	K	T	Buy	8/3	J		
64. California Pizza Kitchen		None	J	T					
65. Quanta SVCS Inc		None	K	T	BBEOD				
66. CBS Corp Cl A		None			SBEOD				
67. Encana Corp	A	Dividend	K	T					
68. Petrochina Company Ltd Adr Shs Ser H	A	Dividend	J	T					

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69. Franklin Ltd Mat US Govt Sec A	C	Interest			SBEOD				
70. Ishares Tr Us Treas Infl Protection Secs FD	C	Interest	K	T					
71. AIM Short Term Bd Cl C	C	Interest			SBEOD				
72. Ameritech Cap Fdg Corp 6.150 1/15/08	A	Interest	J	T					
73. CIT Group Inc 4.300 2/15/07	A	Interest	J	T					
74. CNA Financial Corp 6.6000 12/15/08	A	Interest	J	T					
75. Commercial Credit 6.625 11/15/06	A	Int./Div.			Sell	11/15	J		
76. Credit Suisse FB USA Inc. 5.750 4/15/07	A	Interest	J	T					
77. DaimlerChrysler NA Hldg 7.200 9/1/09	A	Interest	J	T					
78. Dow Chemical Company 5.750 12/15/12	A	Interest	J	T					
79. Dow Chemical Company 5.3000 3/15/13	A	Interest	J	T					
80. First Chicago NBD Corp 6.125 2/15/06	A	Interest			SBEOD				
81. Franklin Universal Trust	D	Interest	K	T					
82. General Electric Cap Corp 8.125 5/15/12	A	Interest	J	T					
83. General Electric Cap Corp 4.000 4/15/10	A	Interest	J	T					
84. Goldman Sachs 5.250 10/15/13	A	Interest	J	T					
85. Hartford Life 4.500 6/15/12	A	Interest	J	T					

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86. Household Fin Corp 5.000 11/15/07	A	Interest	J	T					
87. Household Fin Corp 5.875 2/1/09	A	Interest	J	T					
88. Intermendiate Bond Fund America		None			SBEOD				
89. Intl Lease Fin Corp 4.375 11/1/09	A	Interest	J	T					
90. Intl Lease Fin Corp 4.200 2/15/10	A	Interest	J	T					
91. John Hancock Life Ins Co 4.150 2/15/12	A	Interest	J	T					
92. La Salle Fndg LLC Multi 3.000 1/15/11	A	Interest	J	T					
93. McDonald's Corp 6.000 4/15/11	A	Interest	J	T					
94. MFS Charter Income Trust	B	Interest	K	T					
95. MFS Govt Markets Income	B	Interest	K	T					
96. MFS Intermediate Income Trust	C	Interest	K	T					
97. Motorola Inc. 7.625 11/15/10	A	Interest	J	T					
98. National Rural Utilities 4.125 2/15/11	A	Interest	J	T					
99. New York Tel Co. 7.000 6/15/13	A	Interest	J	T					
100. Pitney Bowes Inc 5.875 5/1/06	A	Interest			SBEOD				
101. Putnam Master Intrmd Income Tr	C	Interest	K	T					
102. Solomon Bros Var Rate Strategic Fund	B	Interest			Sell	8/11	K		

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103. TRW Med Term Sr Notes Cpn 6.30% Due 5/15/08	A	Interest	J	T					
104. Van Kampen Income Tr Shs Ben Int	B	Interest			Sell	9/25	J		
105. Ford Motor Credit Co 7.875 6/15/10	A	Interest	J	T					
106. Ford Motor Credit Co 7.250 10/25/11	A	Interest	J	T					
107. Ford Motor Credit Co 5.625 10/1/08	A	Interest	J	T					
108. General Motors Accp Corp 6.125 11/15/08	A	Interest	J	T					
109. General Motors Accp Corp 5.750 9/15/06 Smart Notes	A	Interest			Redemption	9/15	J		
110. General Motors Accp Corp 5.400 2/15/08	A	Interest	J	T					
111. General Motors Accp Corp 5.625 5/15/09	A	Interest	J	T					
112. General Motors Accp Corp 5.500 7/15/07	A	Interest	J	T					
113. JC Penney & Co 6.500 12/15/07	A	Interest	J	T					
114. Teco Energy Inc 7.200 5/1/11	A	Interest	J	T					
115. Toys R Us 6.875 8/1/06	A	Interest			Sell	8/1	J		
116. Solomon 2008 World Term Trust	B	Interest	J	T					
117. Allegheny Cnty PA Hosp 5.400 5/1/06		None			SBEOD				
118. Anaheim CA Pub Fing Auth 5.000 10/1/10	A	Interest	J	T					
119. Blackrock Ins Muni 2008	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Smith, Jr., Milan D

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. California St Trans Dept 0.000% 3/1/08		None	J	T					
121. California St Go 5.000 11/1/07	A	Interest	J	T					
122. California St Pub Wks Brd Lease Rev Cap 9/1/07		None	J	T					
123. East Bay Calif Mun Util 4.800 6/1-8	A	Interest	J	T					
124. Las Vegas-Clark Cnty NV 2.750 6/1/07	A	Interest	K	T					
125. Los Angeles Cnty Calif 6.900 6/30/08		None	J	T					
126. Mohave Water Agency 5.300 9/1/06	A	Interest			SBEOD				
127. Municipal Advantage FD Inc.	A	Interest	L	T	Sell	8/10	K		
128. Parlier Calif Redev Agy 4.650% 8/1/10	A	Interest	J	T					
129. Pasadena CA Electric 5.000 8/1/09 RFD Bonds	A	Interest	J	T					
130. Putnam CA Inv Grade Muni-Com	B	Interest	K	T					
131. Sacramento CA Mun Rev 5.250 8/15/09	A	Interest	K	T					
132. Sacramento Cnty CA Arpt 6.000 7/1/16	A	Interest			Redemption	7/3	K	A	
133. Franklin Income Class A	C	Interest	L	T	Buy	8/4	J		
134. Enterprise Prods Partners LP	B	Distribution	K	T					
135. Kinder Morgan Energy	B	Distribution	K	T					
136. Oceanside CA Cert Rdp 6.100 4/1/05		None			SBEOD				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)
☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Van Kampen Sr. Income		None			SBEOD				
138. Oneok Inc New	A	Dividend	K	T	BBEOD				
139. Active Power Inc.		None	J	T	Sold	10/31	J	A	
140. Spectranetics Corp.		None	J	T					
141. Acco Brands Corp		None			SBEOD				
142. BP PLC Spons Adr	A	Dividend			SBEOD				
143. Mississippi St. Go 5.250 07/01/08	B	Interest	K	T					
144. Franklin Low Diration Total Return CL	B	Interest	M	T	Buy	6/27	L		
145. Franklin/Templeton Hard Cur Cl A	B	Int./Div.	L	T	Buy	9/26	L		
146. Russell Money Market S	C	Dividend	M	T	Buy	7/20	M		
147. Russell Money Market S					Buy	9/26	K		
148. Templeton Global Bond Fd A	B	Dividend	L	T	BBEOD				
149. Century Tel., Inc. Cpn 5.000% Due 2/15/15	A	Interest	J	T	BBEOD				
150. CIT Group, Inc. Sr Unsecured Notes 6.00% 3/15/16	A	Interest	K	T	BBEOD				
151. Countrywide Fin Cpn 4.000% due 7/28/15	A	Interest	J	T	BBEOD				
152. Dean Witter Discover Odd Cpn Med Term Notes 7.07% 2/10/14	A	Interest	K	T	BBEOD				
153. Dow Chemical Co Intermotes Mtn B/E Sr	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
Notes									
154. IBM Corp Internotes Mts. B/E Cpn 5.00% Due 11/15/14	A	Interest	J	T	BBEOD				
155. John Hancock Life Ins Co Sr. Uns 4.15% Due 2/15/12	A	Int./Div.	J	T	BBEOD				
156. Motorola Inc. Notes call @market+25 BP	A	Interest	J	T	BBEOD				
157. AIM Enhanced Sh Bond Cl C	B	Int./Div.			BBEOD				
158. AIM Enhanced Sh Bond Cl C					Sell	10/24	L	A	
159. AIM Enhanced Sh Bond Cl C					Sell	10/25	K	A	
160. Gabelli Gbl Util Inc Tr	B	Int./Div.	L	T	Buy	8/4	K		
161. Tamarack Invst Fds Prime	D	Dividend	J	T	BBEOD				
162. Templeton Global Bond A	B	Dividend	L	T	Buy	8/10	L		
163. Western Asset Bros 2008 WW & Gvt Tr	C	Int./Div.	K	T	BBEOD				
164. Alliance Bernstein Holding LP	B	Distribution	K	T	BBEOD				
165. CIT Group Inc. Internotes Cpn 6.000% due 3/15/16	A	Int./Div.	K	T	BBEOD				
166. Particle Drilling Technology	A	Int./Div.	J	T	BBEOD				
167. Powerwave Technologies Inc		None			BBEOD				
168. Powerwave Technologies Inc.		None			Sell	10/11	J		
169. Vipropharma Inc.		None			BBEOD				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. Vipropharma Inc.					SBEOD				
171. Reddy Ice Holdings Inc	A	Interest	K	T	BBEOD				
172. Ameritech Cap Fdg Corp NT B/E Con 6.150% Due 1/15/08	A	Interest	J	T	BBEOD				
173. Boeing Co. Global Notes Cpn 5.125% Due 2/15/13	A	Int./Div.	J	T	BBEOD				
174. LA Calif Uni Sch Dist Cpn 5.50% Due 7/1/17	B	Interest	K	T	BBEOD				
175. Genzyme Corp Gen Div		None	K	T	BBEOD				
176. Dean Witter Discover Co Med Term Notes Cpn 7.07% Due 2/10/14	A	Int./Div.	J	T	BBEOD				
177. Daimler Chrysler N/P Hldg Global Note Cpn 7.20% Due 9/1/09	A	Int./Div.	J	T	BBEOD				
178. CA St Dept Trans Par East Bay State Rev B/E 4.67% Due 3/1/10	A	Int./Div.	J	T	BBEOD				
179. Fidelity Adv Freedom 2010 Fd Cl A	A	Int./Div.	J	T	BBEOD				
180. GTE Cal Deb B/E Cpn 7.00% Due 5/1/08	A	Dividend	J	T	Buy	8/3	J		
181. Gen Mtrs Accept Corp Smart Notes Med Term		None	J	T	Redemption	9/15	J		
182. Embarq Corp	A	Int./Div.	J	T	BBEOD				
183. Cisco Systems Inc.		None	J	T	BBEOD				
184. Medcohealth Solutions		None	J	T	BBEOD				
185. Merck & Co. Inc.		None	J	T	BBEOD				
186. International Business Machines		None	J	T	BBEOD				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value				
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. Marriott Int Inc Class A		None	J	T	BBEOD				
188. Wal Mart Stores Inc.		None	J	T	BBEOD				
189. Windstream Corp		None			SBEOD				
190. Wachovia Sec Bank Deposit Sweep Option		None	N	T					
191. The Growth Fund of America-R2		None	L	T					
192. The Bond Fund of America-R2		None	K	T					
193. Fidelity Adv. Muni Inc-T		None			SBEOD				

1. Income Gain Codes: (See Columns B1 and D4)	A =\$1,000 or less F =\$50,001 - \$100,000	B =\$1,001 - \$2,500 G =\$100,001 - \$1,000,000	C =\$2,501 - \$5,000 H1 =\$1,000,001 - \$5,000,000	D =\$5,001 - \$15,000 H2 =More than \$5,000,000	E =\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J =\$15,000 or less N =\$250,001 - \$500,000	K =\$15,001 - \$50,000 O =\$500,001 - \$1,000,000	L =\$50,001 - \$100,000 P1 =\$1,000,001 - \$5,000,000	M =\$100,001 - \$250,000 P2 =\$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 =\$25,000,001 - \$50,000,000 Q =Appraisal U =Book Value	R =Cost (Real Estate Only) V =Other	P4 =More than \$50,000,000 S =Assessment W =Estimated	T =Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART I

Filer entered on duty as a circuit judge on June 30, 2006. Prior to that date, filer practiced law as a partner of Smith Crane Robinson & Parker LLP (SCRP).

SCRP ceased doing business effective as of June 29, 2006 and entered into a state of dissolution on June 30, 2006. As liquidating partner of SCRCP, filer remained responsible during reporting period for collection of obligations due SCRCP and payment of debts owed by SCRCP. Filer receives no compensation for performance of his duties as liquidating partner of SCRCP. Only minor duties remain as of the date of this report and the filer presently believes that all remaining obligations of SCRCP will be paid or provided for, the net assets of SCRCP will be distributed to the former partners, and SCRCP will terminated during calendar year 2007.

Except as noted in the following paragraph, filer resigned all positions except liquidating partner of SCRCP effective on or before June 29, 2006.

Filer has acted as escrow holder of \$4,950 since approximately 2002, without compensation, for the benefit of the Lunada Bay Little League (League) and the Palos Verdes Peninsula Unified School District (PVPUSD). Since no activity requiring the involvement of the filer as escrow holder occurred since the escrow was opened, filer inadvertently forgot about the account until he was reminded of the escrow after the current reporting period. With the written agreement of the League and the PVPUSD, filer has now resigned as escrow holder and transferred that responsibility to R. Scott Robinson. The filer has also executed an assignment of the bank account holding the \$4,950 to R. Scott Robinson. As of the date of this report, filer has no further responsibilities under the escrow agreement.

PART II

Filer is entitled to 39.91% of all net proceeds from the wind up of SCRCP, if any. As of the date of this report, SCRCP has approximately \$20,000 of remaining assets and still has some ongoing obligations to discharge before final distribution of net assets to former partners.

SCRCP's ERISA based retirement plans were terminated during the reporting period and assets therein were distributed to the filer and other interest holders pursuant to a formula calculated by the plan administrator and actuary. All assets of filer [REDACTED] previously held in SCRCP retirement plans are now held in four IRAs and are individually listed in Part VII.

Part III

All income shown in Part III was earned prior to 6-30-06, but a portion thereof was received after 6-30-06.

PART VII

SCRCP Defined Benefit Plan assets attributable to filer were distributed in kind to filer during reporting period and are reported individually in Part VII.

All securities listed on filer's Initial Financial Disclosure Report that were sold in whole or in part before the date filer took his oath of office and entered on duty are shown in Part D as "SBEOD," which means "sold before entry on duty."

All securities listed on filer's Financial Disclosure Report that were purchased in whole or in part before the date filer took his oath of office and entered on duty are shown in Part D as "BBEOD," which means "bought before entry on duty."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Date

6-25-07

NOT A PERSON WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Smith, Jr., Milan D	2. Court or Organization Ninth Circuit	3. Date of Report 05/01/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States Court of Appeals Suite 205 125 S. Grand Ave. Pasadena, California 91105	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

- | | |
|--|---|
| 1. Managing Partner to 6-29-06 and Liquidating Partner from 6-30 to 12-31-06 | Smith Crane Robinson & Parker LLP, in dissolution-See Part VIII |
| 2. Escrow holder | Palos Verdes Peninsula Unified School District-Lunada Bay Little League-See Part VIII |
| 3. | |
| 4. | |
| 5. | |

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

- | | |
|---------|---|
| 1. 1998 | Smith Crane Robinson & Parker LLP. Partnership dissolved and ceased doing business effective 6-30-06. (See Part VIII) |
| 2. | |
| 3. | |

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Smith Crane Robinson & Parker LLP-Income from law firm	\$ \$247,072
2. 2006	Income from service as Trustee for Trust 1	\$ 1,186
3. 2006	Brokerage commission income	\$ 23,078
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Smith Crane Robinson & Parker LLP-Income from law firm
2. 2006	[REDACTED] Income from law firm
3. 2006	[REDACTED] Income from law firm
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. United States District Court of Oregon Historical Society	October 26-27-Portland, Oregon-Spoke to annual dinner (Transportation, Lodging and Meals)
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Smith Crane Robinson & Parker LLP Defined Benefit Pen Plan		None			See Part VIII				
2. Duke Energy Corp	A	Dividend			SBEOD				
3. Alltel Corp (Del)		None	K	T					
4. American Intl Group, Inc.		None			SBEOD				
5. Cendant Corp		None			SBEOD				
6. Chevron Corp	A	Dividend			SBEOD				
7. General Electric Co.	A	Dividend	J	T					
8. Johnson & Johnson	A	Dividend	K	T					
9. JP Morgan Chase & Co.		None			SBEOD				
10. Kimberly Clark Corp	A	Dividend			SBEOD				
11. Microsoft Corporation	A	Dividend	K	T					
12. Neenah Paper Inc.		None			SBEOD				
13. Pfizer Incorporated		None			SBEOD				
14. Blackrock CA Ins Muni 2008	B	Dividend	L	T					
15. VK Muni Inc Trust-Com		None			SBEOD				
16. Coca-Cola Company	A	Dividend	K	T	Sell	7/10	J	A	
17. Tamarack Prime MM		None			SBEOD				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Federated Automated XXX Cash Mgmt Trust-SS		None	J	T					
19. Harrahs Entertainment Inc.		None			SBEOD				
20. Intuitive Surgical Inc.		None	K	T					
21. McCormick and Schmicks Seafood Restaurants Inc.		None	K	T					
22. Somanetics Corp New		None	J	T	BBEOD				
23. Tribune Company New		None			SBEOD				
24. Franklin Multi Income Trust		None			SBEOD				
25. Putnam High Income Bond Fund		None	J	T					
26. Kinder Morgan Energy Partners LP Unit Ltd Partnership		None	K	T					
27. American Tower Corp CL A		None	K	T	Sell	10/19	J	A	
28. Allstate Corp	A	Dividend			SBEOD				
29. Constellation Brands, Inc Cl A		None	K	T					
30. Constellation Energy Group	A	Dividend	K	T					
31. Dell Inc.		None	J	T	Sell	8/3	J		
32. Devon Energy Corp New	A	Dividend	K	T					
33. Dow Chemical Company	A	Dividend			SBEOD				
34. Fortune Brands Inc.	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. General Motors Corp		None			SBEOD				
36. Goldman Sachs Group Inc.	A	Dividend			SBEOD				
37. Intl Game Technology	A	Dividend	K	T					
38. L 3 Communications Corp	A	Dividend	K	T					
39. Pepsico Incorporated	A	Dividend	K	T					
40. Public Svc Enterprises Group Inc.		None			SBEOD				
41. Sempra Energy	A	Dividend			SBEOD				
42. Time Warner Inc.	A	Dividend	J	T					
43. Whole Foods Mkt Inc.	B	Dividend	K	T	Buy	8/10	J		
44. BP PLC Spons Adr	A	Dividend			SBEOD				
45. Canadian Natl Ry Co.	C	Dividend	K	T					
46. Koninklijke Philips Electrs NV (New)	A	Dividend	K	T					
47. Morgan Stanley Energ Mkts Fd Inc.		None			SBEOD				
48. Nabors Industries Ltd.		None	K	T					
49. Teco Energy Inc.	B	Dividend	K	T					
50. Boeing Co	A	Dividend	J	T	Sell	7/10	J	C	
51. Walt Disney Co	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Intel Corp	A	Dividend	J	T					
53. Mellon Finl Corp (PA)	A	Dividend	J	T					
54. Viacom Inc CL A	A	Dividend			Sell	7/10	J		
55. Templeton Growth Fund Class A	B	Dividend	L	T					
56. Fidelity Adv High Sac Muni Port	B	Dividend	K	T					
57. Mojave Water Ag Imp Dist CA Go B/E FGIC-5.300 9/1/06		None			Redemption	9/1	J		
58. Los Angeles CNTY CA Pension Ob 6.770 6/30/05	A	Interest			SBEOD				
59. Stockton CA Pub Fing Rev Ref West Eight Str FSA CPN: 3.000		None			SBEOD				
60. First Colony Life Policy		None	J	T					
61. Aetna Life Policy		None	K	T					
62. McDonalds Corp		None			SBEOD				
63. Sprint Nextel Corp	A	Dividend	K	T	Buy	8/3	J		
64. California Pizza Kitchen		None	J	T					
65. Quanta SVCS Inc		None	K	T	BBEOD				
66. CBS Corp Cl A		None			SBEOD				
67. Encana Corp	A	Dividend	K	T					
68. Petrochina Company Ltd Adr Shs Ser H	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Franklin Ltd Mat US Govt Sec A	C	Interest			SBEOD				
70. Ishares Tr Us Treas Infl Protection Secs FD	C	Interest	K	T					
71. AIM Short Term Bd Cl C	C	Interest			SBEOD				
72. Ameritech Cap Fdg Corp 6.150 1/15/08	A	Interest	J	T					
73. CIT Group Inc 4.300 2/15/07	A	Interest	J	T					
74. CNA Financial Corp 6.6000 12/15/08	A	Interest	J	T					
75. Commercial Credit 6.625 11/15/06	A	Int./Div.			Sell	11/15	J		
76. Credit Suisse FB USA Inc. 5.750 4/15/07	A	Interest	J	T					
77. DaimlerChrysler NA Hldg 7.200 9/1/09	A	Interest	J	T					
78. Dow Chemical Company 5.750 12/15/12	A	Interest	J	T					
79. Dow Chemical Company 5.3000 3/15/13	A	Interest	J	T					
80. First Chicago NBD Corp 6.125 2/15/06	A	Interest			SBEOD				
81. Franklin Universal Trust	D	Interest	K	T					
82. General Electric Cap Corp 8.125 5/15/12	A	Interest	J	T					
83. General Electric Cap Corp 4.000 4/15/10	A	Interest	J	T					
84. Goldman Sachs 5.250 10/15/13	A	Interest	J	T					
85. Hartford Life 4.500 6/15/12	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000 R=Cost (Real Estate Only) V=Other	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000 S=Assessment W=Estimated	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000 T=Cash Market	E=\$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Household Fin Corp 5.000 11/15/07	A	Interest	J	T					
87. Household Fin Corp 5.875 2/1/09	A	Interest	J	T					
88. Intermendiate Bond Fund America		None			SBEOD				
89. Intl Lease Fin Corp 4.375 11/1/09	A	Interest	J	T					
90. Intl Lease Fin Corp 4.200 2/15/10	A	Interest	J	T					
91. John Hancock Life Ins Co 4.150 2/15/12	A	Interest	J	T					
92. La Salle Fndg LLC Multi 3.000 1/15/11	A	Interest	J	T					
93. McDonald's Corp 6.000 4/15/11	A	Interest	J	T					
94. MFS Charter Income Trust	B	Interest	K	T					
95. MFS Govt Markets Income	B	Interest	K	T					
96. MFS Intermediate Income Trust	C	Interest	K	T					
97. Motorola Inc. 7.625 11/15/10	A	Interest	J	T					
98. National Rural Utilities 4.125 2/15/11	A	Interest	J	T					
99. New York Tel Co. 7.000 6/15/13	A	Interest	J	T					
100. Pitney Bowes Inc 5.875 5/1/06	A	Interest			SBEOD				
101. Putnam Master Intrmd Income Tr	C	Interest	K	T					
102. Solomon Bros Var Rate Strategic Fund	B	Interest			Sell	8/11	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. TRW Med Term Sr Notes Cpn 6.30% Due 5/15/08	A	Interest	J	T					
104. Van Kampen Income Tr Shs Ben Int	B	Interest			Sell	9/25	J		
105. Ford Motor Credit Co 7.875 6/15/10	A	Interest	J	T					
106. Ford Motor Credit Co 7.250 10/25/11	A	Interest	J	T					
107. Ford Motor Credit Co 5.625 10/1/08	A	Interest	J	T					
108. General Motors Accp Corp 6.125 11/15/08	A	Interest	J	T					
109. General Motors Accp Corp 5.750 9/15/06 Smart Notes	A	Interest			Redemption	9/15	J		
110. General Motors Accp Corp 5.400 2/15/08	A	Interest	J	T					
111. General Motors Accp Corp 5.625 5/15/09	A	Interest	J	T					
112. General Motors Accp Corp 5.500 7/15/07	A	Interest	J	T					
113. JC Penney & Co 6.500 12/15/07	A	Interest	J	T					
114. Teco Energy Inc 7.200 5/1/11	A	Interest	J	T					
115. Toys R Us 6.875 8/1/06	A	Interest			Sell	8/1	J		
116. Solomon 2008 World Term Trust	B	Interest	J	T					
117. Allegheny Cnty PA Hosp 5.400 5/1/06		None			SBEOD				
118. Anaheim CA Pub Fing Auth 5.000 10/1/10	A	Interest	J	T					
119. Blackrock Ins Muni 2008	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. California St Trans Dept 0.000% 3/1/08		None	J	T					
121. California St Go 5.000 11/1/07	A	Interest	J	T					
122. California St Pub Wks Brd Lease Rev Cap 9/1/07		None	J	T					
123. East Bay Calif Mun Util 4.800 6/1-8	A	Interest	J	T					
124. Las Vegas-Clark Cnty NV 2.750 6/1/07	A	Interest	K	T					
125. Los Angeles Cnty Calif 6.900 6/30/08		None	J	T					
126. Mohave Water Agency 5.300 9/1/06	A	Interest			SBEOD				
127. Municipal Advantage FD Inc.	A	Interest	L	T	Sell	8/10	K		
128. Parlier Calif Redev Agy 4.650% 8/1/10	A	Interest	J	T					
129. Pasadena CA Electric 5.000 8/1/09 RFD Bonds	A	Interest	J	T					
130. Putnam CA Inv Grade Muni-Com	B	Interest	K	T					
131. Sacramento CA Mun Rev 5.250 8/15/09	A	Interest	K	T					
132. Sacramento Cnty CA Arpt 6.000 7/1/16	A	Interest			Redemption	7/3	K	A	
133. Franklin Income Class A	C	Interest	L	T	Buy	8/4	J		
134. Enterprise Prods Partners LP	B	Distribution	K	T					
135. Kinder Morgan Energy	B	Distribution	K	T					
136. Oceanside CA Cert Rdp 6.100 4/1/05		None			SBEOD				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Van Kampen Sr. Income		None			SBEOD				
138. Oneok Inc New	A	Dividend	K	T	BBEOD				
139. Active Power Inc.		None	J	T	Sold	10/31	J	A	
140. Spectranetics Corp.		None	J	T					
141. Acco Brands Corp		None			SBEOD				
142. BP PLC Spons Adr	A	Dividend			SBEOD				
143. Mississippi St. Go 5.250 07/01/08	B	Interest	K	T					
144. Franklin Low Diration Total Return CL	B	Interest	M	T	Buy	6/27	L		
145. Franklin/Templeton Hard Cur Cl A	B	Int./Div.	L	T	Buy	9/26	L		
146. Russell Money Market S	C	Dividend	M	T	Buy	7/20	M		
147. Russell Money Market S					Buy	9/26	K		
148. Templeton Global Bond Fd A	B	Dividend	L	T	BBEOD				
149. Century Tel., Inc. Cpn 5.000% Due 2/15/15	A	Interest	J	T	BBEOD				
150. CIT Group, Inc. Sr Unsecured Notes 6.00% 3/15/16	A	Interest	K	T	BBEOD				
151. Countrywide Fin Cpn 4.000% due 7/28/15	A	Interest	J	T	BBEOD				
152. Dean Witter Discover Odd Cpn Med Term Notes 7.07% 2/10/14	A	Interest	K	T	BBEOD				
153. Dow Chemical Co Internotes Mtn B/E Sr	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
Notes									
154. IBM Corp Internotes Mts. B/E Cpn 5.00% Due 11/15/14	A	Interest	J	T	BBEOD				
155. John Hancock Life Ins Co Sr. Uns 4.15% Due 2/15/12	A	Int./Div.	J	T	BBEOD				
156. Motorola Inc. Notes call @market+25 BP	A	Interest	J	T	BBEOD				
157. AIM Enhanced Sh Bond Cl C	B	Int./Div.			BBEOD				
158. AIM Enhanced Sh Bond Cl C					Sell	10/24	L	A	
159. AIM Enhanced Sh Bond Cl C					Sell	10/25	K	A	
160. Gabelli Gbl Util Inc Tr	B	Int./Div.	L	T	Buy	8/4	K		
161. Tamarack Invst Fds Prime	D	Dividend	J	T	BBEOD				
162. Templeton Global Bond A	B	Dividend	L	T	Buy	8/10	L		
163. Western Asset Bros 2008 WW & Gvt Tr	C	Int./Div.	K	T	BBEOD				
164. Alliance Bernstein Holding LP	B	Distribution	K	T	BBEOD				
165. CIT Group Inc. Internotes Cpn 6.000% due 3/15/16	A	Int./Div.	K	T	BBEOD				
166. Particle Drilling Technology	A	Int./Div.	J	T	BBEOD				
167. Powerwave Technologies Inc					BBEOD				
168. Powerwave Technologies Inc.					Sell	10/11	J		
169. Vipropharma Inc.					BBEOD				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
170. Vipropharma Inc.					SBEOD				
171. Reddy Ice Holdings Inc	A	Interest	K	T	BBEOD				
172. Ameritech Cap Fdg Corp NT B/E Con 6.150% Due 1/15/08	A	Interest	J	T	BBEOD				
173. Boeing Co. Global Notes Cpn 5.125% Due 2/15/13	A	Int./Div.	J	T	BBEOD				
174. LA Calif Uni Sch Dist Cpn 5.50% Due 7/1/17	B	Interest	K	T	BBEOD				
175. Genzyme Corp Gen Div			K	T	BBEOD				
176. Dean Witter Discover Co Med Term Notes Cpn 7.07% Due 2/10/14	A	Int./Div.	J	T	BBEOD				
177. Daimler Chrysler N/P Hldg Global Note Cpn 7.20% Due 9/1/09	A	Int./Div.	J	T	BBEOD				
178. CA St Dept Trans Par East Bay State Rev B/E 4.67% Due 3/1/10	A	Int./Div.	J	T	BBEOD				
179. Fidelity Adv Freedom 2010 Fd Cl A	A	Int./Div.	J	T	BBEOD				
180. GTE Cal Deb B/E Cpn 7.00% Due 5/1/08	A	Dividend	J	T	Buy	8/3	J		
181. Gen Mtrs Accept Corp Smart Notes Med Term			J	T	Redemption	9/15	J		
182. Embarq Corp	A	Int./Div.	J	T	BBEOD				
183. Cisco Systems Inc.			J	T	BBEOD				
184. Medcohealth Solutions			J	T	BBEOD				
185. Merck & Co. Inc.			J	T	BBEOD				
186. International Business Machines			J	T	BBEOD				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
187. Marriott Int Inc Class A			J	T	BBEOD				
188. Wal Mart Stores Inc.			J	T	BBEOD				
189. Windstream Corp					SBEOD				
190. Wachovia Sec Bank Deposit Sweep Option			N	T					
191. The Growth Fund of America-R2			L	T					
192. The Bond Fund of America-R2			K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)***PART I**

Filer entered on duty as a circuit judge on June 30, 2006. Prior to that date, filer practiced law as a partner of Smith Crane Robinson & Parker LLP (SCRP).

SCRP ceased doing business effective as of June 29, 2006 and entered into a state of dissolution on June 30, 2006. As liquidating partner of SCRCP, filer remained responsible during reporting period for collection of obligations due SCRCP and payment of debts owed by SCRCP. Filer receives no compensation for performance of his duties as liquidating partner of SCRCP. Only minor duties remain as of the date of this report and the filer presently believes that all remaining obligations of SCRCP will be paid or provided for, the net assets of SCRCP will be distributed to the former partners, and SCRCP will terminated during calendar year 2007.

Except as noted in the following paragraph, filer resigned all positions except liquidating partner of SCRCP effective on or before June 29, 2006.

Filer has acted as escrow holder of \$4,950 since approximately 2002, without compensation, for the benefit of the Lunada Bay Little League (League) and the Palos Verdes Peninsula Unified School District (PVPUSD). Since no activity requiring the involvement of the filer as escrow holder occurred since the escrow was opened, filer inadvertently forgot about the account until he was reminded of the escrow after the current reporting period. With the written agreement of the League and the PVPUSD, filer has now resigned as escrow holder and transferred that responsibility to R. Scott Robinson. The filer has also executed an assignment of the bank account holding the \$4,950 to R. Scott Robinson. As of the date of this report, filer has no further responsibilities under the escrow agreement.

PART II

Filer is entitled to 39.91% of all net proceeds from the wind up of SCRCP, if any. As of the date of this report, SCRCP has approximately \$20,000 of remaining assets and still has some ongoing obligations to discharge before final distribution of net assets to former partners.

SCRCP's ERISA based retirement plans were terminated during the reporting period and assets therein were distributed to the filer and other interest holders pursuant to a formula calculated by the plan administrator and actuary. All assets of filer [REDACTED] previously held in SCRCP retirement plans are now held in four IRAs and are individually listed in Part VII.

Part III

All income shown in Part III was earned prior to 6-30-06, but a portion thereof was received after 6-30-06.

PART VII

SCRCP Defined Benefit Plan assets attributable to filer were distributed in kind to filer during reporting period and are reported individually in Part VII.

All securities listed on filer's Initial Financial Disclosure Report that were sold in whole or in part before the date filer took his oath of office and entered on duty are shown in Part D as "SBEOD," which means "sold before entry on duty."

All securities listed on filer's Financial Disclosure Report that were purchased in whole or in part before the date filer took his oath of office and entered on duty are shown in Part D as "BBEOD," which means "bought before entry on duty."

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5-1-07

NOTE: ANYONE WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL PENALTIES (5 U.S.C. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544