

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WOOD, KIMBA M	2. Court or Organization U.S. District Court, SDNY	3. Date of Report 05/12/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 500 Pearl Street New York, N.Y. 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Board Member	New York City Ballet, Inc.
2. Board Member	CEELI Institute
3.	
4.	
5.	

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DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007 (various)	Rockefeller Brothers Fund - Committee Fees
2. 2007 (various)	Sonic Corp. - various Board & Committee Fees
3. 2007 (various)	Park Avenue Equity, Harding Services, Vestar, Lehman Brothers & Bear Stearns Merchant Bank - Fee Income
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Goldman, Sachs	Margin Account	P2
2.	Citibank	Line of Credit	P2
3.	Citibank	Line of Credit	P2
4.	Citibank	Line of Credit	P1
5.	ENM, Inc.	Escrow Account	J
6.	Vestar	Loans	K
7.	Citibank	Rental Property Mortgage	N
8.	Citibank	Unsecured Term Note	P1
9.	American Express	Credit Card	K
10.	Citibank	Credit Card	J
11.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. See attached									
2.									
3.									
4.									
5.									
6.									
7.									
8.									
9.									
10.									
11.									
12.									
13.									
14.									
15.									
16.									
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
1	EXXON CORP COMMON STOCK	B	DIVIDEND	L	T					
2	CREST FUND LP (IRA) (SEE NOTE 1 IN SECTION VIII)	G	K-1 DISTR	P1	T					
3	NEW YORK URB DEV CORP ZERO COUPON BOND (SEE NOTE 2 IN SECTION VIII)	A	OID			PARTIAL SALE	1/2	J	C	
4						SOLD	2/26	J	D	
5	GOLDMAN SACHS BANK DEPOSIT #1	B	INTEREST	K	T					
6	GOLDMAN SACHS BANK DEPOSIT #2	A	INTEREST	J	T					
7	AMERICA MOVIL COMMON STOCK	B	DIVIDEND	L	T	PURCHASE	2/9	L		
8	BLACKROCK INC COMMON STOCK	B	DIVIDEND	M	T	PARTIAL SALE	12/13	K	D	
9	CONOCOPHILLIPS COMMON STOCK	A	DIVIDEND	K	T					
10	FEDEX CORP COMMON STOCK	A	DIVIDEND	K	T					
11	HEWLETT-PACKARD CO COMMON STOCK	A	DIVIDEND	L	T					
12	HOME DEPOT COMMON STOCK		NONE			SOLD	1/5	K	D	
13	JOHNSON & JOHNSON COMMON STOCK	A	DIVIDEND	J	T	PARTIAL SALE	2/9	J	A	
14	SONIC COMMON STOCK		NONE	L	T					
15	STRYKER CORP COMMON STOCK	A	DIVIDEND	K	T					
16	SUNCOR ENERGY INC COMMON STOCK	A	DIVIDEND	K	T					
17	CMA TAX EXEMPT FUND - ML #1	A	DIVIDEND	K	T					
18	MERRILL LYNCH ACCOUNT - ML #2	A	INTEREST	J	T					

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19	FEDERATED CAPITAL RESERVES MONEY MARKET	A	DIVIDEND			ACCOUNT CLOSED	2/27	M		
20	AMERICAN MUTUAL FUND		NONE			SOLD	1/9	K	D	
21	CAPITAL INCOME BUILDER FUND (MUTUAL FUND)		NONE			SOLD	2/16	K	C	
22	FUNDAMENTAL INVESTORS INC (MUTUAL FUND)	A	DIV/DISTR			PARTIAL SALE	2/16	K	D	
23						SOLD	2/26	J		
24	GROWTH FUND OF AMERICA (MUTUAL FUND)		NONE			SOLD	2/16	L	D	
25	INVESTMENT COMPANY OF AMERICA (MUTUAL FUND)		NONE			SOLD	2/16	K	C	
26	WASHINGTON MUTUAL INVESTORS FUND (MUTUAL FUND)		NONE			SOLD	2/16	L	D	
27	U.S. GOVERNMENT SECURITIES FUND (MUTUAL FUND)	A	DIVIDEND			PARTIAL SALE	1/9	J		
28						SOLD	2/16	J		
<u>BROKERAGE ACCOUNT #1 (NEW) (LINES 29-106)</u>										
29	DREYFUS CASH MNGT INVESTOR MONEY MARKET	C	DIVIDEND	M	T	ACCOUNT OPENED	3/8	M		
30	ABBOTT LABS COMMON STOCK	A	DIVIDEND	K	T	PURCHASE	9/21	J		
31						PURCHASE	10/23	J		
32						PURCHASE	10/26	J		
33	AEGEAN MARINE PETROLEUM NETWORK CMN STOCK	A	DIVIDEND	J	T	PURCHASE	8/2	J		
34	AMERICAN TOWER CORP COMMON STOCK		NONE	J	T	PURCHASE	3/8	J		
35	ARCH CAPITAL GROUP LTD COMMON STOCK		NONE	J	T	PURCHASE	3/8	J		

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36	COMCAST CORP COMMON STOCK		NONE			PURCHASE	3/8	J		
37						PURCHASE	10/25	J		
38						SOLD	11/13	J		
39	DELTA PETROLEUM CORP COMMON STOCK		NONE	J	T	PURCHASE	3/8	J		
40						PURCHASE	4/24	J		
41						PARTIAL SALE	10/16	J		
42						PURCHASE	12/31	J		
43	DENISON MINES CORP COMMON STOCK		NONE	J	T	PURCHASE	6/13	J		
44						PURCHASE	6/28	J		
45	EITZEN CHEMICAL ASA COMMON STOCK		NONE	J	T	PURCHASE	6/14	J		
46	EVEREST REINSURANCE GROUP LTD CMN STOCK		NONE			PURCHASE	3/8	J		
47						SOLD	4/17	J	A	
48	GOLAR LNG LTD COMMON STOCK	B	DIVIDEND	K	T	PURCHASE	3/8	J		
49	HORIZON LINES INC COMMON STOCK	A	DIVIDEND	J	T	PURCHASE	3/8	J		
50	INGERSOLL RAND CO COMMON STOCK		NONE	J	T	PURCHASE	11/12	J		
51	INTL FLAVORS AND FRAGRANCES INC CMN STOCK	A	DIVIDEND	J	T	PURCHASE	4/23	J		
52						PARTIAL SALE	10/26	J	A	

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53	ISTAR FINL INC COMMON STOCK		NONE			PURCHASE	8/3	J		
54						SOLD	8/21	J	A	
55	KEPPEL LTD COMMON STOCK	A	DIVIDEND	J	T	PURCHASE	3/8	J		
56						PURCHASE	3/12	J		
57						PARTIAL SALE	6/22	J	A	
58						PARTIAL SALE	7/10	J	A	
59	LIBERTY MEDIA HLDG CORP COMMON STOCK		NONE			PURCHASE	6/29	J		
60						PURCHASE	7/20	J		
61						SOLD	10/4	J		
62	LIONS GATE ENTMT CORP COMMON STOCK		NONE	J	T	PURCHASE	3/8	J		
63						PURCHASE	6/19	J		
64						PURCHASE	7/9	J		
65						PURCHASE	7/11	J		
66						PURCHASE	7/16	J		
67						PURCHASE	7/24	J		
68						PURCHASE	8/9	J		
69	LOEWS CORPORATION COMMON STOCK		NONE			PURCHASE	9/21	J		
70						SOLD	11/13	J		

A.

B.

C.
GROSS VALUE AT
END OF REPORTING
PERIOD

D.

DESCRIPTION OF ASSETS

INCOME DURING
REPORTING PERIOD

TRANSACTIONS DURING REPORTING PERIOD

		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
		AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
71	MARINE HARVEST ASA COMMON STOCK		NONE			PURCHASE	3/9	J		
72						PURCHASE	3/30	J		
73						PURCHASE	4/30	J		
74						PURCHASE	5/10	J		
75						PARTIAL SALE	10/18	J		
76						PARTIAL SALE	10/22	J		
77						SOLD	11/13	J		
78	MIRANT CORP COMMON STOCK		NONE	J	T	PURCHASE	9/21	J		
79	NEWS CORP COMMON STOCK	A	DIVIDEND	J	T	PURCHASE	3/8	J		
80						PURCHASE	5/1	J		
81						PURCHASE	5/10	J		
82	NOVA CHEMICALS COMMON STOCK	A	DIVIDEND			PURCHASE	3/8	J		
83						PURCHASE	3/13	J		
84						PARTIAL SALE	5/15	J	A	
85						PARTIAL SALE	7/5	J	A	
86						SOLD	10/31	J	A	
87	PETROLEUM DEV CORP COMMON STOCK		NONE	J	T	PURCHASE	7/24	J		

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88						PURCHASE	7/30	J		
89	PIONEER NAT RES CO COMMON STOCK		NONE	J	T	PURCHASE	10/18	J		
90	ROWAN COS INC COMMON STOCK	A	DIVIDEND	J	T	PURCHASE	3/8	J		
91	DOCKWISE LTD/FKA SEALIFT LTD COMMON STOCK		NONE			PURCHASE	6/12	J		
92						PARTIAL SALE	10/19	J		
93						PARTIAL SALE	10/23	J		
94						SOLD	10/24	J		
95	STOLT NIELSEN COMMON STOCK		NONE			PURCHASE	8/6	J		
96						SOLD	11/13	J		
97	TEXTRON INC COMMON STOCK	A	DIVIDEND	J	T	PURCHASE	4/12	J		
98						PARTIAL SALE	10/11	J	B	
99	THERMO FISHER SCIENTIFIC INC COMMON STOCK		NONE	J	T	PURCHASE	3/8	J		
100	TIME WARNER CABLE INC COMMON STOCK		NONE			PURCHASE	8/1	J		
101						SOLD	11/13	J		
102	TYCO INTERNATIONAL LTD COMMON STOCK	A	DIVIDEND			PURCHASE	7/20	J		
103						PURCHASE	8/2	J		
104						SOLD	10/18	J		
105	WILHILHELMSSEN LTD COMMON STOCK	A	DIVIDEND	J	T	PURCHASE	5/10	J		

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106	WILLIS GROUP HOLDINGS LTD COMMON STOCK		NONE	J	T	PURCHASE	12/19	J		
<u>ADDITIONAL COMMON STOCKS/MUTUAL FND\$ (LINES 107-391)</u>										
107	ABBOTT LABORATORIES	E	DIVIDEND	P1	T	PARTIAL SALE	5/9	N	G	
108						PARTIAL SALE	5/9	M	G	
109	ACCENTURE LTD	A	DIVIDEND	J	T	PURCHASE	2/5	J		
110						PURCHASE	2/6	J		
111	AES CORP		NONE			SOLD	3/9	J		
112	AETNA INC	A	DIVIDEND	M	T	PARTIAL SALE	12/17	K	E	
113	AKAMAI TECHNOLOGIES		NONE			SOLD	5/2	J	A	
114	ALCAN INC	C	DIVIDEND			PARTIAL SALE	5/29	M	G	
115						TENDERED	10/29	N	G	
116	ALLEGHENY TECHNOLOGIES INC	A	DIVIDEND	J	T					
117	ALLERGAN INC	A	DIVIDEND			PARTIAL SALE	4/19	J	A	
118						SOLD	5/9	J	A	
119	ALLIANCE DATA SYSTEMS CORP		NONE			PURCHASE	2/9	J		
120						SOLD	5/18	J	A	
121	ALLTELL CORP	B	DIVIDEND			SOLD	6/29	M	F	
122	ALTRIA GROUP (NON-IRA A/C)	E	DIVIDEND	O	T	PURCHASE	3/5	O		

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123						PURCHASE	3/5	L		
124						SPUN OFF KRAFT FOODS (SEE LINE 255 BELOW)	4/2	M		
125						PURCHASE	4/9	K		
126	AMAZON.COM		NONE	J	T	PURCHASE	4/27	J		
127						PURCHASE	5/29	J		
128	AMERICA MOVIL	G	DIVIDEND	P1	T					
129	AMERIPRISE FINANCIAL INC	A	DIVIDEND	J	T	PURCHASE	7/20	J		
130	ANADARKO PETROLEUM CORP	B	DIVIDEND	N	T	PURCHASE	6/6	K		
131	ANHEUSER BUSCH CO	E	DIVIDEND	O	T					
132	AON CORP	A	DIVIDEND	J	T	PURCHASE	8/6	J		
133	APACHE CORP		NONE	J	T	PURCHASE	11/6	J		
134						PURCHASE	12/14	J		
135	APOLLO GROUP		NONE	J	T	PURCHASE	7/30	J		
136						PURCHASE	9/25	J		
137						PURCHASE	11/5	J		
138	APPLE COMPUTER INC		NONE	K	T	PARTIAL SALE	2/28	J	A	
139						PARTIAL SALE	3/23	J	A	

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140						PARTIAL SALE	5/25	J	B	
141						PARTIAL SALE	6/29	J	B	
142						PARTIAL SALE	7/26	J	B	
143						PARTIAL SALE	10/29	J	C	
144	APPLERA CORP	A	DIVIDEND			SOLD	2/23	J	A	
145	AT&T INC	A	DIVIDEND			SOLD	2/8	J	A	
146	AUTODESK		NONE	J	T	PURCHASE	10/8	J		
147	AVNET INC		NONE	J	T	PURCHASE	3/7	J		
148	AXIS CAPITAL HOLDINGS LTD	A	DIVIDEND			PARTIAL SALE	2/8	L	D	
149						SOLD	3/12	L	D	
150	BABCOCK & BROWN AIR LTD		NONE	L	T	PURCHASE	10/2	K		
151						PURCHASE	12/17	L		
152	BAKER HUGHES INC	A	DIVIDEND			SOLD	2/1	J		
153	BANK OF AMERICA	F	DIVIDEND	O	T					
154	BECTON DICKINSON & CO	A	DIVIDEND	J	T	PURCHASE	3/2	J		
155	BELDEN INC	A	DIVIDEND	J	T	PURCHASE	3/19	J		
156	BERKLEY WR CORP	D	DIVIDEND			PARTIAL SALE	11/19	O	G	

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157						SOLD	11/20	L	E	
158	BERKSHIRE HATHAWAY		NONE	N	T					
159	BIOGEN IDEC		NONE	J	T	PURCHASE	9/24	J		
160	BLACKROCK INC	E	DIVIDEND	P1	T	PARTIAL SALE	5/9	N	G	
161	BURGER KING HOLDINGS INC	A	DIVIDEND	J	T	PURCHASE	6/4	J		
162	CAMERON INTL		NONE	K	T					
163	CAREMARK RX INC	A	DIVIDEND			SOLD	1/16	J	B	
164	CARPENTER TECHNOLOGY CORP	A	DIVIDEND	L	T	PURCHASE	2/1	L		
165	CELGENE CORP		NONE	J	T					
166	CEMEX S A	A	DIVIDEND			PARTIAL SALE	5/8	K	C	
167						SOLD	10/25	L		
168	CF INDUSTRIES HOLDINGS INC	A	DIVIDEND	J	T	PURCHASE	7/18	J		
169	CHICAGO MERCANTILE EXCH/AKA CME GROUP INC	A	DIVIDEND	O	T	PARTIAL SALE	6/15	J	B	
170						PURCHASE	11/30	O		
171	CHIPOTLE MEXICAN GRILL		NONE	J	T	PURCHASE	10/10	J		
172	CISCO SYSTEMS		NONE	J	T	PURCHASE	1/16	J		
173	CIT GROUP	B	DIVIDEND	M	T	PARTIAL SALE	3/9	L	E	
174						PURCHASE	7/23	K		

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		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
175						PARTIAL SALE	10/22	L		
176						PURCHASE	10/23	L		
177						PURCHASE	12/17	M		
178						PARTIAL SALE	12/17	L		
179	CITIGROUP		NONE			PURCHASE	11/30	L		
180						SOLD	12/14	L		
181	COACH INC		NONE			PARTIAL SALE	6/8	J	C	
182						SOLD	9/5	J	C	
183	COCA COLA CO	A	DIVIDEND	J	T	PURCHASE	10/5	J		
184	COGNIZANT TECHNOLOGY SOLUTIONS		NONE	J	T					
185	COMCAST CORP		NONE			PARTIAL SALE	3/2	J		
186						SOLD	5/9	J		
187	CONOCOPHILLIPS	E	DIVIDEND	P1	T	PARTIAL SALE	5/8	K	E	
188	CROCS INC		NONE	J	T	PURCHASE	6/18	J		
189	DANAHER CORP	A	DIVIDEND			SOLD	5/21	J		
190	DENTSPLY INTERNATIONAL		NONE	J	T	PURCHASE	11/8	J		
191	DIRECTV GROUP INC		NONE			PARTIAL SALE	1/8	M	G	

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		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
192						PARTIAL SALE	6/15	J	A	
193						PARTIAL SALE	7/25	J	A	
194						SOLD	8/9	J	A	
195	DISCOVER HOLDINGS		NONE	J	T	PURCHASE	9/25	J		
196	DUN & BRADSTREET CORP	A	DIVIDEND	J	T	PURCHASE	7/19	J		
197	ELECTRONIC ART		NONE	J	T	PURCHASE	12/13	J		
198	EMBRAER-EMPRESA BRASILEIRA DE AERONAUTICA	C	DIVIDEND	M	T	PARTIAL SALE	8/20	L	D	
199	EMC CORP		NONE	J	T	PURCHASE	7/26	J		
200						PURCHASE	11/5	J		
201	ENSCO INTERNATIONAL INC	A	DIVIDEND			PURCHASE	1/4	J		
202						PURCHASE	4/30	J		
203						PURCHASE	5/29	M		
204						PARTIAL SALE	8/23	M		
205						SOLD	11/7	J	A	
206	EXPEDITORS INTERNATIONAL		NONE			SOLD	2/8	J		
207	EXPRESS SCRIPTS INC		NONE	J	T	SOLD	1/16	J		
208						PURCHASE	11/6	J		
209	FACTSET RESH SYS INC	A	DIVIDEND	J	T	PURCHASE	6/28	J		

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		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
210	FEDEX CORP	B	DIVIDEND			SOLD	7/26	O		
211	FIRST SOLAR		NONE	J	T	PURCHASE	11/19	J		
212	FLIR SYSTEM INC		NONE	J	T	PURCHASE	5/14	J		
213	FLUOR CORP	A	DIVIDEND	J	T	PURCHASE	7/26	J		
214	FOCUS MEDIA HOLDINGS		NONE	J	T	PURCHASE	10/10	J		
215	FOMENTO ECONOMICO MEXICANO	A	DIVIDEND			PURCHASE	2/5	J		
216						PURCHASE	2/13	J		
217						SOLD	9/19	J		
218	FOREST LABORATORIES		NONE			PARTIAL SALE	7/12	J		
219						SOLD	7/16	J		
220	FOSSIL		NONE	J	T	PURCHASE	11/27	J		
221	FRANKLIN RESOURCES INC	A	DIVIDEND			SOLD	11/15	J	C	
222	GAMESTOP CORP		NONE	J	T	PURCHASE	6/29	J		
223	GARMIN LTD	A	DIVIDEND			SOLD	10/8	K	D	
224	GENERAL CABLE CORP		NONE	J	T	PURCHASE	6/19	J		
225	GENERAL DYNAMICS CORP	A	DIVIDEND			SOLD	6/21	J	A	
226	GEN PROBE		NONE	J	T	PURCHASE	10/12	J		

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		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
227	GILEAD SCIENCES INC		NONE	K	T					
228	GLOBAL SANTAFE CORP	A	DIVIDEND			PURCHASE	5/8	M		
229						TENDERED	11/27	M	E	
230	GOLDMAN SACHS GROUP (INCLUDES CALL OPTION PURCHASED PRE-2007)	C	DIVIDEND	P1	T	PURCHASE	3/8	O		
231						PURCHASE	6/15	M		
232						PARTIAL SALE	9/7	J		
233						PURCHASE	9/18	M		
234	GOOGLE INC		NONE	K	T	PURCHASE	7/17	J		
235	HEALTH NET INC		NONE	J	T	PURCHASE	4/25	J		
236	HEWLETT-PACKARD CO	C	DIVIDEND	O	T					
237	HOME DEPOT INC	D	DIVIDEND			PARTIAL SALE	1/5	O	G	
238						SOLD	9/26	O	F	
239	HONEYWELL INTL INC	A	DIVIDEND	J	T	PURCHASE	5/9	J		
240	HUGOTON ROYALTY TRUST	A	DIVIDEND			SOLD	5/9	J		
241	IAC/INTERACTIVECORP		NONE			PURCHASE	2/20	J		
242						SOLD	8/9	J		
243	INTERNATIONAL BUSINESS MACHINES	C	DIVIDEND	M	T	PURCHASE	4/27	L		
244						PURCHASE	6/6	K		

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245	INTUITIVE SURGICAL INC		NONE			SOLD	1/10	J		
246	INVERNESS MEDICAL INNOVATIONS		NONE	J	T	PURCHASE	12/17	J		
247	ITT EDUCATIONAL SYSTEMS		NONE	J	T	PURCHASE	11/30	J		
248	JACOBS ENGINEERING GROUP		NONE	J	T	PURCHASE	8/10	J		
249	J CREW GROUP INC		NONE			PURCHASE	6/20	J		
250						SOLD	11/20	J		
251	JOHNSON & JOHNSON	F	DIVIDEND	P1	T	PARTIAL SALE	3/26	O	G	
252						PARTIAL SALE	3/26	J		
253						PARTIAL SALE	5/9	P1	G	
254	KENNAMETAL INC	A	DIVIDEND	J	T	PURCHASE	9/20	J		
255	KRAFT FOODS	A	CIL			SPUN OFF FROM ALTRIA (SEE LINE 124 ABOVE)	4/2	M		
256						PARTIAL SALE	4/9	K	B	
257						SOLD	5/9	M	E	
258	KOHL'S CORP		NONE			PARTIAL SALE	7/25	J		
259						SOLD	9/13	J		
260	KYPHON INC		NONE			PURCHASE	7/23	J		
261						SOLD	11/6	J	B	

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262	LAM RESEARCH CORP		NONE			SOLD	2/2	J		
263	LAS VEGAS SANDS CORP		NONE			SOLD	5/31	J	A	
264	LENNAR CORP	A	DIVIDEND			SOLD	2/27	L	C	
265	LEVEL 3 COMMUNICATIONS INC		NONE			PURCHASE	1/11	O		
266						SOLD	7/26	N		
267	LEXMARK INTERNATIONAL		NONE			SOLD	3/5	J	A	
268	LINCOLN NATIONAL CORP	C	DIVIDEND	M	T					
269	LSI LOGIC CORP		NONE			SOLD	1/4	J	A	
270	LUBRIZOL CORP	A	DIVIDEND	J	T	PURCHASE	5/10	J		
271	LUCENT TECHNOLOGIES CONV PREFD		NONE	J	T					
272	MANITOWOC INC (MANITOWOC CO INC)	A	DIVIDEND	J	T					
273	MANOR CARE INC	A	DIVIDEND			SOLD	7/19	J	B	
274	MANPOWER INC	A	DIVIDEND			SOLD	11/30	J		
275	MATTELL INC		NONE			PARTIAL SALE	8/9	J		
276						SOLD	9/14	J		
277	MEDCO HEALTH SOLUTIONS		NONE	J	T	PURCHASE	9/14	J		
278	MEDTRONIC INC	A	DIVIDEND			SOLD	2/22	N	E	

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279	MEMC ELECTR MATLS INC		NONE	K	T	PARTIAL SALE	4/19	J	C	
280	MERRILL LYNCH & CO	A	DIVIDEND			PARTIAL SALE	7/9	J		
281						SOLD	7/24	J		
282	MGM MIRAGE		NONE			PURCHASE	2/8	J		
283						SOLD	7/26	J		
284	MICROSOFT	A	DIVIDEND	J	T					
285	MILlicom INTERNATIONAL		NONE	J	T	PURCHASE	10/31	J		
286						PURCHASE	11/9	J		
287	MOBILE TELESYSTEMS		NONE	M	T	PURCHASE	11/20	M		
288	MSCI		NONE	M	T	PURCHASE	11/20	L		
289	NASDAQ STOCK MARKET		NONE	J	T	PURCHASE	11/7	J		
290	NATIONAL OILWELL VARCO		NONE	J	T	PURCHASE	5/9	J		
291	NAVTEQ CORP		NONE			PURCHASE	6/25	J		
292						SOLD	11/6	J	C	
293	NCR CORP		NONE	J	T	PURCHASE	3/12	J		
294						PURCHASE	3/13	J		
295	NEWS CORP	A	DIVIDEND			SOLD	11/5	J	A	
296	NIKE	A	DIVIDEND	K	T					

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297	NOKIA		NONE	J	T	PURCHASE	5/25	J		
298						PURCHASE	6/6	J		
299	NUANCE COMMUNICATIONS		NONE	J	T	PURCHASE	2/21	J		
300	NUCOR CORP		NONE	M	T	PURCHASE	10/25	L		
301						PURCHASE	11/16	L		
302	NVIDIA CORP		NONE	J	T	PURCHASE	9/24	J		
303	OCCIDENTAL PETE CORP	B	DIVIDEND	M	T	PURCHASE	6/6	L		
304	OLSTEIN ALL CAP VALUE FUND (MUTUAL FUND)	E	DIVIDEND	M	T	PURCHASE	12/11	K		
305	OMNICOM GROUP	A	DIVIDEND			PARTIAL SALE	8/2	J	A	
306						SOLD	10/2	J		
307	ORACLE CORP		NONE	N	T					
308	PACKAGING CORP	C	DIVIDEND	M	T	PURCHASE	3/12	L		
309						PURCHASE	6/6	K		
310	PALL CORP		NONE			PURCHASE	6/15	J		
311						SOLD	9/18	J		
312	PARAMETRIC TECHNOLOGY CORP		NONE			PURCHASE	3/6	J		
313						SOLD	9/24	J		

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314	PEPSICO	D	DIVIDEND	O	T					
315	PETROLEO BRASILEIRO	D	DIVIDEND			PARTIAL SALE	4/3	L	E	
316						SOLD	6/6	M	F	
317	PIONEER NATURAL RESOURCES	A	DIVIDEND	K	T					
318	PRAXAIR INC	A	DIVIDEND	J	T	PURCHASE	11/8	J		
319	ALTRIA GROUP - IRA ACCOUNT	C	DIVIDEND	L	T	PURCHASE	1/4	J		
320						SPUN OFF KRAFT FOODS (SEE LINE 321 BELOW)	4/2	K		
321	KRAFT FOODS INC - IRA ACCOUNT	A	DIV/CIL	K	T	SPUN OFF FROM ALTRIA (SEE LINE 320 ABOVE)	4/2	K		
322	PRECISION CASTPARTS CORP	A	DIVIDEND	J	T	PURCHASE	8/10	J		
323						PURCHASE	10/8	J		
324	PROSHARES TR ULTRASHORT FINANCIAL	B	DIVIDEND			PURCHASE	7/30	N		
325						SOLD	11/13	N	E	
326	RESEARCH IN MOTION		NONE	K	T	PURCHASE	2/6	J		
327						PURCHASE	7/26	J		
328	ROCKWELL AUTOMATION INC	B	DIVIDEND	M	T	PURCHASE	2/14	M		
329	ROCKWELL COLLINS INC	A	DIVIDEND	J	T	PURCHASE	3/13	J		
330						PURCHASE	4/17	J		

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331	ROWAN COMPANIES	A	DIVIDEND	M	T	PURCHASE	8/23	M		
332	SCHERING-PLOUGH	A	DIVIDEND	J	T	PARTIAL SALE	11/7	J	B	
333	SCHLUMBERGER		NONE	M	T	PURCHASE	11/30	M		
334	SEARS HOLDINGS CORP		NONE			SOLD	9/26	O		
335	SEI INVESTMENT CO	A	DIVIDEND	J	T	PARTIAL SALE	7/17	J		
336	SIRTRIS PHARMAEUTICALS		NONE	K	T	SPUN OFF FROM RED ABBEY (SEE LINE 972 BELOW)	12/13	J		
337	SMITH INTERNATIONAL	A	DIVIDEND	J	T					
338	SMITHTOWN BANCORP	A	DIVIDEND	L	T	PURCHASE	3/8	L		
339						PURCHASE	5/16	K		
340	SMURFIT-STONE CONTAINER CORP		NONE	M	T	PURCHASE	5/8	K		
341						PURCHASE	8/23	J		
342	SONIC CORP		NONE	P3	T					
343	SONY CORP	A	DIVIDEND			PURCHASE	5/30	J		
344						SOLD	11/15	J		
345	SOVEREIGN BANCORP		NONE	K	T	PURCHASE	12/17	K		
346	SPRINT NEXTEL CORP (FKA SPRINT CORP)	A	DIVIDEND	M	T	PURCHASE	12/17	K		
347	STRYKER CORP	B	DIVIDEND	O	T					

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348	SUNCOR ENERGY	D	DIVIDEND	P1	T					
349	SUNPOWER		NONE	J	T	PURCHASE	11/6	J		
350	SUPERVALU INC	A	DIVIDEND			PURCHASE	5/30	J		
351						SOLD	12/14	J		
352	TELEFONOS DE MEXICO	A	DIVIDEND			PURCHASE	5/29	J		
353						SOLD	11/21	J		
354	TELETECH HOLDINGS INC		NONE			PURCHASE	3/8	J		
355						SOLD	10/3	J		
356	TERADATA CORP		NONE	J	T	PURCHASE	10/1	J		
357	TEXTRON		NONE	J	T	PURCHASE	11/7	J		
358						PURCHASE	11/30	J		
359	THERMO FISHER SCIENTIFIC		NONE			PURCHASE	1/16	J		
360						SOLD	11/2	J	A	
361	THIRD AVENUE INTL VALUE FUND (MUTUAL FND)	E	DIV/DISTR	M	T	PURCHASE	12/19	K		
362	TRANSOCEAN INC	A	CIL	M	T	PURCHASE	5/17	J		
363						PURCHASE	8/30	J		
364						TENDERED ORIGINAL SHARES	11/27	J	A	

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365						RECEIVED NEW SHARES FROM MERGER	11/27	M		
366						PARTIAL SALE	12/12	J	A	
367						PURCHASE	12/20	J		
368	T ROWE PRICE		NONE	J	T	PURCHASE	11/20	J		
369	TUI AG		NONE	M	T	PURCHASE	6/28	M		
370	UNITED TECH CORP	E	DIVIDEND	P1	T					
371	UNITEDHEALTH GROUP	A	DIVIDEND	M	T					
372	UNUM GROUP (FKA UNUMPROVIDENT)	C	DIVIDEND	M	T					
373	VALERO ENERGY	B	DIVIDEND	N	T					
374	VERIFONE HOLDINGS INC		NONE			PURCHASE	9/20	J		
375						SOLD	12/6	J		
376	VERIGY LTD		NONE			SOLD	1/16	J	A	
377	WAL MART STORES	E	DIVIDEND	P1	T	PARTIAL SALE	5/9	O	G	
378						PARTIAL SALE	11/13	N	G	
379						PARTIAL SALE	11/13	N	G	
380	WARREN RESOURCES INC		NONE	L	T					
381	WCI COMMUNITIES INC		NONE			PURCHASE	2/8	L		

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382						SOLD	5/8	L		
383	WELLS FARGO	D	DIVIDEND	M	T					
384	WESTERN DIGITAL CORP		NONE	J	T	PURCHASE	11/16	J		
385	WEYERHAEUSER CO	A	DIVIDEND			PARTIAL SALE	1/24	L	C	
386						SOLD	2/14	M	E	
387	WYNN RESORTS LTD	A	DIVIDEND	J	T	PURCHASE	5/2	J		
388	XEROX		NONE	M	T	PURCHASE	12/17	L		
389	YUM! BRANDS		NONE	J	T	PURCHASE	12/13	J		
390	ZIMMER HOLDINGS INC		NONE			PURCHASE	2/15	J		
391						SOLD	11/15	J		
	<u>CORPORATE BOND</u>									
392	GENERAL ELECTRIC BOND	G	INTEREST	P2	T					
	<u>OPTIONS (NEW) (LINES 393-666) (SEE NOTE 4 IN SECTION VII)</u>									
393	S&P 500 INDEX - PUT		NONE			PURCHASE	2/16	J		
394						PARTIAL SALE	3/13	J		
395						SOLD	3/14	J		
396	S&P 500 INDEX - PUT		NONE			SOLD SHORT	2/16	K		
397						PURCHASE TO COVER PART	3/13	J		
398						PURCHASE TO COVER	3/14	K		

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399	S&P 500 INDEX - PUT		NONE			PURCHASE	2/20	J		
400						SOLD	3/5	K	E	
401	S&P 500 INDEX - PUT		NONE			SOLD SHORT	2/20	J		
402						PURCHASE TO COVER	3/5	L		
403	S&P 500 INDEX - PUT		NONE			PURCHASE	2/21	J		
404						SOLD	3/2	K	E	
405	S&P 500 INDEX - PUT		NONE			SOLD SHORT	2/21	J		
406						PURCHASE TO COVER	3/2	K		
407	S&P 500 INDEX - PUT		NONE			PURCHASE	2/22	J		
408						PARTIAL SALE	3/2	J	C	
409						SOLD	3/5	K	E	
410	S&P 500 INDEX - PUT		NONE			SOLD SHORT	2/22	J		
411						PURCHASE TO COVER	3/5	K		
412	S&P 500 INDEX - PUT		NONE			PURCHASE	2/23	J		
413						SOLD	3/5	K	E	
414	S&P 500 INDEX - PUT		NONE			SOLD SHORT	2/23	J		
415						PURCHASE TO COVER	3/5	L		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
416	S&P 500 INDEX - PUT		NONE			PURCHASE	3/5	J		
417						OPTION EXPIRED	3/17			
418	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/5	J		
419						OPTION EXPIRED	3/17		D	
420	S&P 500 INDEX - PUT		NONE			PURCHASE	3/6	J		
421						OPTION EXPIRED	3/17			
422	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/6	J		
423						OPTION EXPIRED	3/17		D	
424	S&P 500 INDEX - PUT		NONE			PURCHASE	3/7	J		
425						OPTION EXPIRED	4/21			
426	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/7	J		
427						OPTION EXPIRED	4/21		C	
428	S&P 500 INDEX - PUT		NONE			PURCHASE	3/8	J		
429						OPTION EXPIRED	4/21			
430	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/8	J		
431						OPTION EXPIRED	4/21		B	
432	S&P 500 INDEX - PUT		NONE			PURCHASE	3/12	J		
433						OPTION EXPIRED	4/21			

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
434	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/12	J		
435						OPTION EXPIRED	4/21		B	
436	S&P 500 INDEX - PUT		NONE			PURCHASE	3/14	K		
437						OPTION EXPIRED	4/21			
438	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/14	K		
439						OPTION EXPIRED	4/21		E	
440	S&P 500 INDEX - PUT		NONE			PURCHASE	3/20	J		
441						OPTION EXPIRED	4/21			
442	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/20	J		
443						OPTION EXPIRED	4/21		C	
444	S&P 500 INDEX - PUT		NONE			PURCHASE	3/21	J		
445						OPTION EXPIRED	4/21			
446	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/21	J		
447						OPTION EXPIRED	4/21		C	
448	S&P 500 INDEX - PUT		NONE			PURCHASE	3/22	J		
449						OPTION EXPIRED	4/21			
450	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/22	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
451						OPTION EXPIRED	4/21		C	
452	S&P 500 INDEX - PUT		NONE			PURCHASE	3/26	J		
453						OPTION EXPIRED	4/21			
454	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/26	J		
455						OPTION EXPIRED	4/21		C	
456	S&P 500 INDEX - PUT		NONE			PURCHASE	3/27	J		
457						OPTION EXPIRED	4/21			
458	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/27	J		
459						OPTION EXPIRED	4/21		B	
460	S&P 500 INDEX - PUT		NONE			PURCHASE	3/28	J		
461						OPTION EXPIRED	4/21			
462	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/28	J		
463						OPTION EXPIRED	4/21		B	
464	S&P 500 INDEX - PUT		NONE			PURCHASE	3/29	J		
465						OPTION EXPIRED	4/21			
466	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/29	J		
467						OPTION EXPIRED	4/21		C	
468	S&P 500 INDEX - PUT		NONE			SOLD SHORT	3/30	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
469						OPTION EXPIRED	4/21		C	
470	S&P 500 INDEX - PUT		NONE			PURCHASE	3/30	J		
471						OPTION EXPIRED	4/21			
472	S&P 500 INDEX - PUT		NONE			SOLD SHORT	4/12	J		
473						OPTIONS EXPIRED	5/19		C	
474	S&P 500 INDEX - PUT		NONE			PURCHASE	4/12	J		
475						OPTIONS EXPIRED	5/19			
476	S&P 500 INDEX - PUT		NONE			SOLD SHORT	4/13	J		
477						OPTIONS EXPIRED	5/19		B	
478	S&P 500 INDEX - PUT		NONE			PURCHASE	4/13	J		
479						OPTIONS EXPIRED	5/19			
480	S&P 500 INDEX - PUT		NONE			SOLD SHORT	4/17	J		
481						OPTIONS EXPIRED	5/19		B	
482	S&P 500 INDEX - PUT		NONE			PURCHASE	4/17	J		
483						OPTIONS EXPIRED	5/19			
484	S&P 500 INDEX - PUT		NONE			SOLD SHORT	4/18	J		
485						OPTIONS EXPIRED	5/19		B	

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
486	S&P 500 INDEX - PUT		NONE			PURCHASE	4/18	J		
487						OPTIONS EXPIRED	5/19			
488	S&P 500 INDEX - PUT		NONE			SOLD SHORT	4/20	J		
489						OPTIONS EXPIRED	5/19		C	
490	S&P 500 INDEX - PUT		NONE			PURCHASE	4/20	J		
491						OPTIONS EXPIRED	5/19			
492	S&P 500 INDEX - PUT		NONE			PURCHASE	4/23	J		
493						OPTIONS EXPIRED	5/19			
494	S&P 500 INDEX - PUT		NONE			SOLD SHORT	4/23	J		
495						OPTIONS EXPIRED	5/19		C	
496	S&P 500 INDEX - PUT		NONE			PURCHASE	4/24	J		
497						OPTIONS EXPIRED	5/19			
498	S&P 500 INDEX - PUT		NONE			SOLD SHORT	4/24	J		
499						OPTIONS EXPIRED	5/19		D	
500	S&P 500 INDEX - PUT		NONE			PURCHASE	4/25	J		
501						OPTIONS EXPIRED	5/19			
502	S&P 500 INDEX - PUT		NONE			SOLD SHORT	4/25	J		
503						OPTIONS EXPIRED	5/19		C	

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
504	S&P 500 INDEX - PUT		NONE			PURCHASE	4/26	J		
505						OPTIONS EXPIRED	5/19			
506	S&P 500 INDEX - PUT		NONE			SOLD SHORT	4/26	J		
507						OPTIONS EXPIRED	5/19		D	
508	S&P 500 INDEX - PUT		NONE			PURCHASE	4/27	J		
509						OPTIONS EXPIRED	5/19			
510	S&P 500 INDEX - PUT		NONE			SOLD SHORT	4/27	J		
511						OPTIONS EXPIRED	5/19		C	
512	S&P 500 INDEX - PUT		NONE			PURCHASE	5/1	J		
513						OPTIONS EXPIRED	5/19			
514	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/1	J		
515						OPTIONS EXPIRED	5/19		D	
516	S&P 500 INDEX - PUT		NONE			PURCHASE	5/14	J		
517						OPTIONS EXPIRED	6/16			
518	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/14	J		
519						OPTIONS EXPIRED	6/16		D	
520	S&P 500 INDEX - PUT		NONE			PURCHASE	5/15	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
521						OPTIONS EXPIRED	6/16			
522	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/15	J		
523						OPTIONS EXPIRED	6/16		B	
524	S&P 500 INDEX - PUT		NONE			PURCHASE	5/16	J		
525						OPTIONS EXPIRED	6/16			
526	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/16	J		
527						OPTIONS EXPIRED	6/16		B	
528	S&P 500 INDEX - PUT		NONE			PURCHASE	5/21	J		
529						OPTIONS EXPIRED	6/16			
530	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/21	J		
531						OPTIONS EXPIRED	6/16		C	
532	S&P 500 INDEX - PUT		NONE			PURCHASE	5/21	J		
533						OPTIONS EXPIRED	6/16			
534	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/21	J		
535						OPTIONS EXPIRED	6/16		D	
536	S&P 500 INDEX - PUT		NONE			PURCHASE	5/22	J		
537						OPTIONS EXPIRED	6/16			
538	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/22	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
539						OPTIONS EXPIRED	6/16		C	
540	S&P 500 INDEX - PUT		NONE			PURCHASE	5/23	J		
541						PARTIAL SALE	6/7	J		
542						OPTIONS EXPIRED	6/16			
543	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/23	J		
544						PURCHASE TO COVER PART	6/7	J	B	
545						OPTIONS EXPIRED	6/16		C	
546	S&P 500 INDEX - PUT		NONE			PURCHASE	5/23	J		
547						OPTIONS EXPIRED	6/16			
548	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/23	J		
549						OPTIONS EXPIRED	6/16		B	
550	S&P 500 INDEX - PUT		NONE			PURCHASE	5/23	J		
551						OPTIONS EXPIRED	6/16			
552	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/23	J		
553						OPTIONS EXPIRED	6/16		A	
554	S&P 500 INDEX - PUT		NONE			PURCHASE	5/24	J		
555						OPTIONS EXPIRED	6/16			

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
556	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/24	J		
557						OPTIONS EXPIRED	6/16		D	
558	S&P 500 INDEX - PUT		NONE			PURCHASE	5/25	J		
559						OPTIONS EXPIRED	6/16			
560	S&P 500 INDEX - PUT		NONE			SOLD SHORT	5/25	J		
561						OPTIONS EXPIRED	6/16		B	
562	S&P 500 INDEX - PUT		NONE			PURCHASE	6/12	J		
563						OPTIONS EXPIRED	7/21			
564	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/12	J		
565						OPTIONS EXPIRED	7/21		D	
566	S&P 500 INDEX - PUT		NONE			PURCHASE	6/13	J		
567						OPTIONS EXPIRED	7/21			
568	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/13	J		
569						OPTIONS EXPIRED	7/21		C	
570	S&P 500 INDEX - PUT		NONE			PURCHASE	6/14	J		
571						OPTIONS EXPIRED	7/21			
572	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/14	J		
573						OPTIONS EXPIRED	7/21		D	

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
574	S&P 500 INDEX - PUT		NONE			PURCHASE	6/15	J		
575						OPTIONS EXPIRED	7/21			
576	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/15	J		
577						OPTIONS EXPIRED	7/21		C	
578	S&P 500 INDEX - PUT		NONE			PURCHASE	6/15	J		
579						OPTIONS EXPIRED	7/21			
580	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/15	J		
581						OPTIONS EXPIRED	7/21		C	
582	S&P 500 INDEX - PUT		NONE			PURCHASE	6/18	J		
583						OPTIONS EXPIRED	7/21			
584	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/18	J		
585						OPTIONS EXPIRED	7/21		D	
586	S&P 500 INDEX - PUT		NONE			PURCHASE	6/19	J		
587						OPTIONS EXPIRED	7/21			
588	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/19	J		
589						OPTIONS EXPIRED	7/21		D	
590	S&P 500 INDEX - PUT		NONE			PURCHASE	6/20	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
591						OPTIONS EXPIRED	7/21			
592	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/20	J		
593						OPTIONS EXPIRED	7/21		D	
594	S&P 500 INDEX - PUT		NONE			PURCHASE	6/21	J		
595						OPTIONS EXPIRED	7/21			
596	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/21	J		
597						OPTIONS EXPIRED	7/21		C	
598	S&P 500 INDEX - PUT		NONE			PURCHASE	6/22	J		
599						OPTIONS EXPIRED	7/21			
600	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/22	J		
601						OPTIONS EXPIRED	7/21		B	
602	S&P 500 INDEX - PUT		NONE			PURCHASE	6/25	J		
603						OPTIONS EXPIRED	7/21			
604	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/25	J		
605						OPTIONS EXPIRED	7/21		C	
606	S&P 500 INDEX - PUT		NONE			PURCHASE	6/28	J		
607						OPTIONS EXPIRED	7/21			
608	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/28	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
609						OPTIONS EXPIRED	7/21		B	
610	S&P 500 INDEX - PUT		NONE			PURCHASE	6/29	J		
611						OPTIONS EXPIRED	7/21			
612	S&P 500 INDEX - PUT		NONE			SOLD SHORT	6/29	J		
613						OPTIONS EXPIRED	7/21		B	
614	S&P 500 INDEX - PUT		NONE			PURCHASE	7/17	J		
615						SOLD	8/15	J		
616	S&P 500 INDEX - PUT		NONE			SOLD SHORT	7/17	J		
617						PURCHASE TO COVER	8/15	J	B	
618	S&P 500 INDEX - PUT		NONE			PURCHASE	7/20	J		
619						PARTIAL SALE	8/15	J		
620						OPTIONS EXPIRED	8/18			
621	S&P 500 INDEX - PUT		NONE			SOLD SHORT	7/20	J		
622						PURCHASE TO COVER PART	8/15	J	A	
623						OPTIONS EXPIRED	8/18		B	
624	S&P 500 INDEX - PUT		NONE			PURCHASE	7/23	J		
625						OPTIONS EXPIRED	8/18			

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
626	S&P 500 INDEX - PUT		NONE			SOLD SHORT	7/23	J		
627						OPTIONS EXPIRED	8/18		D	
628	S&P 500 INDEX - PUT		NONE			PURCHASE	7/24	J		
629						OPTIONS EXPIRED	8/18			
630	S&P 500 INDEX - PUT		NONE			SOLD SHORT	7/24	J		
631						OPTIONS EXPIRED	8/18		C	
632	S&P 500 INDEX - PUT		NONE			PURCHASE	7/25	J		
633						OPTIONS EXPIRED	8/18			
634	S&P 500 INDEX - PUT		NONE			SOLD SHORT	7/25	J		
635						OPTIONS EXPIRED	8/18		C	
636	S&P 500 INDEX - PUT		NONE			PURCHASE	7/26	J		
637						PARTIAL SALE	8/6	J		
638						OPTIONS EXPIRED	8/18			
639	S&P 500 INDEX - PUT		NONE			SOLD SHORT	7/26	J		
640						PURCHASE TO COVER PART	8/6	J	A	
641						OPTIONS EXPIRED	8/18		A	
642	S&P 500 INDEX - PUT		NONE			PURCHASE	7/27	J		
643						OPTIONS EXPIRED	8/18			

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
644	S&P 500 INDEX - PUT		NONE			SOLD SHORT	7/27	J		
645						OPTIONS EXPIRED	8/18		D	
646	S&P 500 INDEX - PUT		NONE			PURCHASE	7/30	J		
647						OPTIONS EXPIRED	8/18			
648	S&P 500 INDEX - PUT		NONE			SOLD SHORT	7/30	J		
649						OPTIONS EXPIRED	8/18		B	
650	S&P 500 INDEX - PUT		NONE			PURCHASE	7/31	J		
651						SOLD	8/6	J		
652	S&P 500 INDEX - PUT		NONE			SOLD SHORT	7/31	J		
653						PURCHASE TO COVER	8/6	J	B	
654	S&P 500 INDEX - PUT		NONE			PURCHASE	8/2	J		
655						SOLD	8/6	J		
656	S&P 500 INDEX - PUT		NONE			SOLD SHORT	8/2	J		
657						PURCHASE TO COVER	8/6	J	A	
658	OSI RESTAURANT - CALL		NONE			PURCHASE	2/23	J		
659						PURCHASE	2/26	K		
660						PURCHASE	2/27	K		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
661						SOLD	5/18	K		
662	NABORS INDS LTD - CALL		NONE	K	T	PURCHASE	3/8	L		
663	LEVEL 3 - CALL		NONE	J	T	PURCHASE	3/8	K		
664						PURCHASE	11/8	J		
665	GOLDMAN, SACHS - CALL		NONE			SOLD SHORT	11/13	K		
666						OPTIONS EXPIRED	12/24		E	
<u>CASH/INVESTMENT/MONEY MARKET ACCOUNTS (LINES 667-688) :</u>										
667	BEAR STEARNS TEMPFUND (BEAR STEARNS - IRA CASH)	A	DIVIDEND	J	T					
668	GOLDMAN SACHS BANK DEPOSIT #3	F	INTEREST	M	T					
669	CITIBANK CHECKING ACCOUNT - FOUNDATION		NONE	K	T					
670	CITIBANK INTEREST CHECKING A/C	A	INTEREST	J	T					
671	MSIF MONEY MARKET (SEE NOTE 11 IN SECTION VII)	C	INTEREST							
672	CITIBANK CHECKING ACCOUNT		NONE	K	T					
673	CITIBANK ASSET ACCOUNT		NONE	J	T					
674	CITIBANK CASH RESERVE ACCOUNT	G	INTEREST	P1	T					
675	CITIBANK INTEREST CHECKING ACCOUNT # 2	A	INTEREST	J	T					
676	BEAR, STEARNS ACCOUNT #1 (SEE NOTE 3 IN SECTION VII)		NONE	J	T					

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
677	NEUBERGER BERMAN INSTL LIQDTY SER INSTL CASH #1	C	DIVIDEND	L	T					
678	DEUTSCHE BANK ALEX BROWN MONEY MARKET	E	INTEREST	N	T					
679	DBAB CASH RESERVE PRIME SERIES MM FUND	A	DIVIDEND			OPENED ACCOUNT	2/21	J		
680						CLOSED ACCOUNT	4/9	J		
681	D3AB CASH RESERVE TREASURY MM FUND	A	DIVIDEND			OPENED ACCOUNT	4/9	J		
682						CLOSED ACCOUNT	5/21	K		
683	INV CASH TRST TREASURY INV CL MM FUND	A	DIVIDEND			ACCOUNT OPENED	5/21	K		
684						ACCOUNT CLOSED	10/3	K		
685	DBA3 SHORT CASH ACCOUNT		NONE			ACCOUNT OPENED	3/1	J		
686						ACCOUNT CLOSED	8/31	J		
687	LEHMAN BROTHERS CASH ACCOUNT	A	INTEREST	J	T					
688	BLACKROCK MONEY MARKET/PNC CASH	C	DIVIDEND	L	T					
<u>FOUNDATION INVESTMENTS (LINES 689-886)</u>										
<u>FOUNDATION BROKERAGE ACCOUNT #1 (FKA FOUNDATION COMMON STOCKS/BONDS) (LINES 689-701)</u>										
<u>COMMON STOCKS</u>										
689	ABBOTT LABORATORIES	D	DIVIDEND			SOLD	11/30	N	F	
690	ALTRIA GROUP INC	D	DIV/CIL	N	T	SPUN OFF KRAFT FOODS (SEE LINE 696 BELOW)	4/2	K		
691	AMERICA MOVIL		NONE	M	T	PURCHASE	11/30	M		
692	BANK OF AMERICA	D	DIVIDEND	M	T					

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
693	CITIGROUP INC		NONE	L	T	PURCHASE	11/30	M		
694	DYERSBURG CORP		NONE	J	T					
695	GENERAL ELECTRIC CO	C	DIVIDEND	M	T					
696	KRAFT FOODS INC	B	DIV/CIL	M	T	SPUN OFF FROM ALTRIA (SEE LINE 690 ABOVE)	4/2	K		
697	SCHLUMBERGER LTD		NONE	L	T	PURCHASE	11/30	L		
698	UNITED TECHNOLOGIES CORP	D	DIVIDEND	N	T					
<u>MUTUAL FUNDS</u>										
699	GOLDMAN SACHS INTL REAL ESTATE SECS FUND	E	DIVIDEND	N	T	PURCHASE	4/16	N		
700						PURCHASE	6/28	J		
701						PURCHASE	12/13	K		
<u>FOUNDATION BROKERAGE ACCOUNT #2 (NEW) (LINES 702-884)</u>										
702	GOLDMAN SACHS BANK DEPOSIT #5/CASH ACCOUNT	C	INTEREST	K	T	OPENED ACCOUNT	5/3	N		
703	AFFILIATED MANAGERS GROUP INC		NONE	J	T	PURCHASE	5/4	K		
704						PURCHASE	7/20	J		
705						PARTIAL SALE	8/13	J		
706						PARTIAL SALE	10/1	J	A	
707						PARTIAL SALE	10/22	J	A	
708	AGCO CORP		NONE	K	T	PURCHASE	5/4	K		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
709						PARTIAL SALE	9/18	J	A	
710						PARTIAL SALE	10/1	J	A	
711						PARTIAL SALE	11/2	J	B	
712						PARTIAL SALE	11/28	J	A	
713	ALABAMA NATL BANCORP	A	DIVIDEND			PURCHASE	5/14	J		
714						PURCHASE	5/17	J		
715						PURCHASE	6/22	J		
716						PURCHASE	7/5	J		
717						PURCHASE	7/9	J		
718						PURCHASE	7/18	J		
719						PARTIAL SALE	9/7	J	A	
720						PARTIAL SALE	10/5	K	C	
721						SOLD	10/8	J	A	
722	AMERICA SERVICE GROUP		NONE			PURCHASE	5/4	J		
723						SOLD	7/11	J	A	
724	ANDREW CORP		NONE			PURCHASE	5/4	K		
725						PURCHASE	5/17	J		
726						PURCHASE	5/18	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
727						PURCHASE	5/22	J		
728						PURCHASE	6/20	J		
729						SOLD	8/10	K	B	
730	ARCH CHEMICAL INC	A	DIVIDEND	K	T	PURCHASE	8/16	J		
731						PURCHASE	8/17	J		
732						PURCHASE	9/11	J		
733						PURCHASE	9/28	J		
734						PURCHASE	11/5	J		
735						PURCHASE	11/6	J		
736						PURCHASE	11/13	J		
737						PURCHASE	11/20	J		
738						PURCHASE	12/5	J		
739						PURCHASE	12/31	J		
740	CARAUSTAR INDUSTRIES, INC		NONE	J	T	PURCHASE	5/4	J		
741						PURCHASE	5/8	J		
742						PURCHASE	5/17	J		
743						PURCHASE	7/12	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
744						PURCHASE	7/18	J		
745						PURCHASE	8/6	J		
746						PURCHASE	9/27	J		
747						PURCHASE	9/28	J		
748						PURCHASE	12/31	J		
749	CASCADE CORP	A	DIVIDEND	K	T	PURCHASE	5/4	K		
750						PURCHASE	5/8	J		
751						PURCHASE	5/15	J		
752						PURCHASE	5/17	J		
753						PURCHASE	5/18	J		
754						PARTIAL SALE	6/20	J	A	
755						PURCHASE	8/9	J		
756						PARTIAL SALE	8/31	J	A	
757						PURCHASE	9/28	J		
758						PURCHASE	12/4	J		
759						PURCHASE	12/7	J		
760						PURCHASE	12/27	J		
761						PURCHASE	12/31	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
762	COLONIAL BANCGROUP INC		NONE	J	T	PURCHASE	12/20	J		
763	COLUMBIA SPORTSWEAR COMPANY	A	DIVIDEND	K	T	PURCHASE	5/4	K		
764						PURCHASE	5/8	J		
765						PARTIAL SALE	6/1	J	A	
766						PURCHASE	9/11	J		
767						PURCHASE	9/26	J		
768						PURCHASE	9/28	J		
769						PURCHASE	11/21	J		
770						PURCHASE	12/4	J		
771						PURCHASE	12/14	J		
772	DARLING INTERNATIONAL INC		NONE	K	T	PURCHASE	5/4	K		
773						PARTIAL SALE	7/9	J	A	
774						PARTIAL SALE	10/1	J	A	
775						PARTIAL SALE	11/20	J	A	
776						PARTIAL SALE	11/30	J	A	
777	FLOWSERVE CORPORATION	A	DIVIDEND	K	T	PURCHASE	5/4	K		
778						PURCHASE	5/8	J		

A.

B.

C.

D.

DESCRIPTION OF ASSETS

INCOME DURING
REPORTING PERIODGROSS VALUE AT
END OF REPORTING
PERIOD

TRANSACTIONS DURING REPORTING PERIOD

		(1)	(2)	(1)	(2)		(2)	(3)	(4)	(5)
		AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)		DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
779						PARTIAL SALE	7/17	J	B	
780						PARTIAL SALE	8/13	J	A	
781						PARTIAL SALE	8/31	J	A	
782						PARTIAL SALE	10/1	J	A	
783	GRAY TELEVISION INC	A	DIVIDEND	J	T	PURCHASE	7/26	J		
784						PURCHASE	8/7	J		
785						PURCHASE	8/13	J		
786						PURCHASE	8/14	J		
787						PURCHASE	8/15	J		
788						PURCHASE	9/11	J		
789						PURCHASE	9/28	J		
790						PURCHASE	12/4	J		
791						PURCHASE	12/5	J		
792	JAMES RIVER COAL COMPANY		NONE	K	T	PURCHASE	5/4	J		
793						PARTIAL SALE	6/20	J	A	
794						PURCHASE	7/18	J		
795						PURCHASE	7/20	J		
796						PURCHASE	7/25	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
797						PURCHASE	8/8	J		
798						PURCHASE	9/28	J		
799						PURCHASE	11/28	J		
800	KOPPERS HOLDINGS, INC		NONE	J	T	PURCHASE	8/16	J		
801						PURCHASE	8/17	J		
802						PURCHASE	11/6	J		
803						PURCHASE	11/14	J		
804						PURCHASE	11/20	J		
805	LUBRIZOL CORPORATION	A	DIVIDEND			PURCHASE	5/4	K		
806						PARTIAL SALE	8/13	J		
807						PARTIAL SALE	8/17	J		
808						SOLD	8/22	J		
809	NEWFIELD EXPLORATION CO		NONE	K	T	PURCHASE	5/4	J		
810						PARTIAL SALE	7/26	J	A	
811						PARTIAL SALE	8/13	J	A	
812						PURCHASE	12/3	J		
813						PURCHASE	12/4	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
814	NEWPORT CORP		NONE	K	T	PURCHASE	5/4	K		
815						PURCHASE	5/17	J		
816						PURCHASE	6/1	J		
817						PURCHASE	8/6	J		
818						PURCHASE	9/26	J		
819						PURCHASE	11/13	J		
820						PURCHASE	12/4	J		
821	OGE ENERGY CORP	A	DIVIDEND	K	T	PURCHASE	5/4	K		
822						PURCHASE	5/18	J		
823						PURCHASE	6/1	J		
824						PURCHASE	6/20	J		
825						PURCHASE	9/11	J		
826						PURCHASE	11/6	J		
827						PARTIAL SALE	12/10	J		
828	PETROQUEST ENERGY, INC		NONE	J	T	PURCHASE	12/10	J		
829						PURCHASE	12/13	J		
830						PURCHASE	12/14	J		
831	POLARIS INDS INC	A	DIVIDEND	K	T	PURCHASE	5/4	K		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
832						PURCHASE	5/15	J		
833						PARTIAL SALE	6/1	J	A	
834						PURCHASE	8/9	J		
835						PURCHASE	9/11	J		
836						PURCHASE	9/26	J		
837						PURCHASE	11/6	J		
838	QUANEX CORP	A	DIVIDEND			PURCHASE	5/4	K		
839						PARTIAL SALE	6/1	J	A	
840						PURCHASE	8/6	J		
841						PURCHASE	8/9	J		
842						PARTIAL SALE	10/1	J	A	
843						SOLD	12/5	K	C	
844	SMITHFIELD FOODS INC		NONE	K	T	PURCHASE	5/4	K		
845						PURCHASE	6/20	J		
846						PURCHASE	7/12	J		
847						PARTIAL SALE	8/13	J	A	
848						PARTIAL SALE	8/24	J	A	

A.

B.

C.

D.

DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
849						PURCHASE	9/20	J		
850						PURCHASE	11/5	J		
851	TRACTOR SUPPLY CO		NONE	K	T	PURCHASE	5/4	J		
852						PURCHASE	9/26	J		
853						PURCHASE	11/2	J		
854						PURCHASE	12/14	J		
855	TRINITY INDUSTRIES INC	A	DIVIDEND	K	T	PURCHASE	5/4	K		
856						PURCHASE	6/20	J		
857						PURCHASE	6/26	J		
858						PURCHASE	8/6	J		
859						PURCHASE	8/9	J		
860						PURCHASE	9/11	J		
861						PURCHASE	12/12	J		
862	URS CORPORATION		NONE	K	T	PURCHASE	5/4	K		
863						PARTIAL SALE	8/13	J	A	
864						PARTIAL SALE	10/1	J	A	
865						PARTIAL SALE	11/2	J	B	
866						PURCHASE	12/14	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
867	USEC INC		NONE	K	T	PURCHASE	7/25	J		
868						PURCHASE	8/9	J		
869						PURCHASE	9/5	J		
870						PURCHASE	9/7	J		
871						PURCHASE	9/20	J		
872						PURCHASE	9/28	J		
873						PURCHASE	10/2	J		
874						PURCHASE	10/5	J		
875						PURCHASE	11/21	J		
876	WASHINGTON FEDERAL INC	A	DIVIDEND	K	T	PURCHASE	5/4	K		
877						PURCHASE	5/15	J		
878						PURCHASE	6/20	J		
879						PURCHASE	7/13	J		
880						PURCHASE	7/18	J		
881						PARTIAL SALE	8/24	J	A	
882						PURCHASE	11/5	J		
883						PURCHASE	11/21	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
884					PURCHASE	12/14	J		
<u>FOUNDATION BROKERAGE ACCOUNT #3 (NEW) (LINES 885-886)</u>									
885 -- SPRUCEGROVE NON US EQUITY LLC/CASH ACCOUNT	A	INTEREST	J	T	OPENED ACCOUNT	5/31	O		
886 -- SPRUCEGROVE NON US EQUITY OFFSHORE LP		NONE	O	T	PURCHASE	5/31	O		
<u>INVESTMENTS IN NON-PUBLIC COMPANIES (LINES 887-1022) (SEE NOTES 5 & 7 IN SECTION VIII)</u>									
887 ARIZONA SNOWBOWL (AKA SUNWEST HOLDINGS) (SEE NOTE 1 IN SECTION VIII)	F	K-1 DISTR	N	W					
888 ENTERTAINMENT ACQUISITION (SEE NOTES 1 & 19 IN SECTION VIII)	E	K-1 DISTR/ ROYALTIES							
889 CARTOON CUTS (SEE NOTE 1 IN SECTION VIII)		NONE	J	W					
890 COSMOS PARTNERS (SEE NOTES 1 & 22 IN SECTION VIII)	D	K-1 DISTR	K	V	NOTE PROCEEDS	1/3	K		
891 TAMPA BAY DEVIL RAYS (SEE NOTES 1 & 16 IN SECTION VIII)		NONE	N	W					
892 FRESH DIRECT		NONE	O	W					
893 HALL CAPITAL PARTNERS (FKA OFFIT HALL) (SEE NOTE 9 IN SECTION VIII)	D	K-1 DISTR			DISTRIBUTION	4/5	K		
894					WITHDREW ALL FUNDS	7/2	O	G	
895 OPUS MEDIA PARTNERS (SEE NOTE 9 IN SECTION VIII)		NONE	O	W	ADDITIONAL INVESTMENT	4/19	L		
896 BSMB/UHS CO-INVESTMENT PARTNERS, LP (SEE NOTE 9 IN SECTION VIII)		NONE	M	W	INITIAL INVESTMENT	7/5	M		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
897	BEAR STEARNS MERCHANT BANK II (SEE NOTES 9 & 16 IN SECTION VII)	F	K-1 DISTR	O	W	DISTRIBUTION	2/2	K		
898						ADDITIONAL INVESTMENT	2/2	J		
899						ADDITIONAL INVESTMENT	5/14	K		
900						DISTRIBUTION	5/14	J		
901						ADDITIONAL INVESTMENT	10/1	J		
902						DISTRIBUTION	10/1	M		
903	BEAR STEARNS MERCHANT BANK III (SEE NOTES 9 & 16 IN SECTION VII)	A	K-1 DISTR	O	W	ADDITIONAL INVESTMENT	2/12	M		
904						ADDITIONAL INVESTMENT	3/12	K		
905						DISTRIBUTION	3/12	J		
906						ADDITIONAL INVESTMENT	6/4	M		
907						ADDITIONAL INVESTMENT	8/30	L		
908						ADDITIONAL INVESTMENT	11/14	L		
909	BLACKSTONE REAL ESTATE PARTNERS (SEE NOTES 9 & 16 IN SECTION VII)		NONE	P1	W	INITIAL INVESTMENT	9/26	O		
910						ADDITIONAL INVESTMENT	10/19	P1		
911						ADDITIONAL INVESTMENT	12/10	K		
912	CENTERVIEW CAPITAL (SEE NOTE 9 IN SECTION VII)	A	K-1 DISTR	M	W	INITIAL INVESTMENT	12/7	M		
913	BIRDS EYE FOODS (FKA AGRILINK) (SEE NOTE 17 IN SECTION VII)	G	DIV	P1	W	RETURN OF CAPITAL	4/4	P1	G	

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
914						RETURN OF CAPITAL	7/18	O	G	
915						DISTRIBUTION	7/20	L		
916	ADVANCED ORGANICS (SEE NOTES 8 & 17 IN SECTION VIII)		NONE			RETURN OF CAPITAL	12/21	J		
917	BORDER MEDIA (SEE NOTE 17 IN SECTION VIII)		NONE	N	W	ADDITIONAL INVESTMENT	5/29	M		
918	DYNA VOX (SEE NOTE 17 IN SECTION VIII)		NONE	N	W	DISTRIBUTION	4/4	J		
919	SIEGELGALE (SEE NOTE 17 & 21 IN SECTION VIII)		NONE			RETURN OF CAPITAL	12/21	J		
920	VESTAR ASSOCIATES (I) (SEE NOTES 10 & 16 IN SECTION VIII)		NONE							
921	VESTAR CAPITAL PARTNERS/ASSOC. (II) (SEE NOTES 10 & 16 IN SECTION VIII)		NONE							
922	VESTAR CAPITAL PARTNERS/ASSOC. (IV & AIV) (SEE NOTES 10 & 16 IN SECTION VIII)	G	K-1 DISTR	L	W	RETURN OF CAPITAL	4/5	J		
923						DISTRIBUTION	4/4	L		
924	VESTAR CAPITAL PARTNERS/ASSOC (V) (SEE NOTES 10 & 16 IN SECTION VIII)	D	K-1 DISTR							
925	GOLD TOE (FKA CLUETT AMERICAN) (SEE NOTES 14 & 17 IN SECTION VIII)		NONE	L	W	ADDITIONAL PROCEEDS	2/28	K	E	
926	CONSOLIDATED CONTAINER (SEE NOTE 17 IN SECTION VIII)	C	INTEREST	P1	W	BOND TENDER PROCEEDS	12/21	L	E	

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
927	ST JOHNS KNITS (SEE NOTE 17 IN SECTION VIII)		NONE	O	W	ADDITIONAL INVESTMENT	12/21	L		
928	ARGO TECH (SEE NOTE 17 IN SECTION VIII)		NONE	M	W	PARTIAL SALE	4/4	O	G	EATON CORP
929	AZ ELECTRONIC MATERIALS (SEE NOTE 17 IN SECTION VIII)		NONE	M	W	INITIAL INVESTMENT	3/23	M		
930	CCS INCOME TRUST (SEE NOTE 17 IN SECTION VIII)		NONE	N	W	INITIAL INVESTMENT	11/5	N		
931						ADDITIONAL INVESTMENT	11/26	J		
932	CESARE FIORUCCI (SEE NOTE 17 IN SECTION VIII)		NONE	P1	W					
933	DUFF & PHELPS (SEE NOTE 17 IN SECTION VIII)		NONE	O	W	DISTRIBUTION	4/4	K		
934						PARTIAL SALE	10/23	N	G	
935						DISTRIBUTION	10/24	J		
936	ESSENT HEALTHCARE (SEE NOTE 17 IN SECTION VIII)		NONE	O	W					
937	GLEASON CORP (SEE NOTE 17 IN SECTION VIII)		NONE	M	W					
938	HUI SH DETERGENTS (SEE NOTE 17 IN SECTION VIII)		NONE	N	W	INITIAL INVESTMENT	4/20	N		
939	MEDI-MEDIA (SEE NOTE 17 IN SECTION VIII)		NONE	M	W					
940	NATIONAL MENTOR HOLDINGS		NONE	L	W	RETURN OF CAPITAL	7/30	M		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
941	(SEE NOTE 17 IN SECTION VIII)					DISTRIBUTION	7/30	K	E	
942	NYBRON FLOORING (SEE NOTE 17 IN SECTION VIII)		NONE	M	W					
943	OGF GROUP (SEE NOTE 17 IN SECTION VIII)		NONE	N	W	SOLD	10/23	P1	H1	ASTORG PARTNERS
944						ROLLOVER INVESTMENT	10/23	M		
945						ADDITIONAL PROCEEDS	10/24	M	G	
946	PARIS RE (SEE NOTE 17 IN SECTION VIII)		NONE	M	W	RETURN OF CAPITAL	7/30	K	C	
947	FL SELENIA (SEE NOTE 17 & 21 IN SECTION VIII)		NONE			ADDITIONAL PROCEEDS	12/28	M	G	
948	SEVES SPA (SEE NOTE 17 IN SECTION VIII)		NONE	L	W					
949	SOLO CUP COMPANY (SEE NOTE 17 IN SECTION VIII)		NONE	P1	W					
950	SUNRISE MEDICAL/JOERNS HEALTHCARE (SEE NOTE 17 IN SECTION VIII)		NONE	O	W					
951	SYMETRA FINANCIAL (SEE NOTE 17 IN SECTION VIII)	F	DIVIDEND	O	W					
952	VALIDUS HOLDINGS (SEE NOTE 17 IN SECTION VIII)		NONE	P1	W					
953	WILTON RE HOLDINGS (SEE NOTE 17 IN SECTION VIII)		NONE	O	W					

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
954	ZANUSSI METALLURGICA (SEE NOTES 17 & 21 IN SECTION VII)		NONE			ADDITIONAL SALE PROCEEDS	4/4	J	D	
955	AVON DISTRIBUTION LLC (SEE NOTES 1 & 18 IN SECTION VII)		NONE	P1	V					
956	FLAG VENTURE PARTNERS (SEE NOTES 9 & 16 IN SECTION VII)	G	K-1DISTR	P1	W	DISTRIBUTION	2/27	K		
957						ADDITIONAL INVESTMENT	3/14	L		
958						DISTRIBUTION	5/10	L		
959						DISTRIBUTION	5/31	K		
960						ADDITIONAL INVESTMENT	6/26	K		
961						ADDITIONAL INVESTMENT	7/31	L		
962						DISTRIBUTION	9/13	L		
963						ADDITIONAL INVESTMENT	11/20	L		
964						DISTRIBUTION	12/19	L		
965	RED ABBEY (SEE NOTES 9 & 12 IN SECTION VII)	E	K-1 DISTR	O	W	DISTRIBUTION	2/2	J		
966						ADDITIONAL INVESTMENT	3/5	K		
967						ADDITIONAL INVESTMENT	4/30	K		
968						DISTRIBUTION	6/7	J		
969						ADDITIONAL INVESTMENT	11/21	K		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
970						DEEMED INVESTMENT	NOV/ DEC	K		
971						DEEMED DISTRIBUTION	NOV/ DEC	K		
972						DELIVERED SIRTRIS STOCK (SEE LINE 336 ABOVE)	12/13	J		
973	SENTINEL CAPITAL PARTNERS/SENTINEL PARTNERS (I) (SEE NOTES 10 & 16 IN SECTION VIII)	A	K-1 DISTR/ INTEREST							
974	SENTINEL CAPITAL PARTNERS/SENTINEL PARTNERS (II) (SEE NOTES 10 & 16 IN SECTION VIII)	G	K-1 DISTR							
975	SENTINEL CAPITAL PARTNERS (III) (SEE NOTE 10 IN SECTION VIII)	E	K-1 DISTR							
976	ALEMITE HOLDINGS (SEE NOTES 17 & 21 IN SECTION VIII)		NONE			ADDITIONAL SALE PROCEEDS	7/31	L	F	
977	FASLOC (SEE NOTE 17 IN SECTION VIII)		NONE			SOLD	1/23	P1	H1	DYWIDAG SYSTEMS
978						ADDITIONAL SALE PROCEEDS	9/27	K	E	
979	HASCO (SEE NOTES 17 & 21 IN SECTION VIII)		NONE			ADDITIONAL SALE PROCEEDS	4/20	K	E	
980	INSCAPE (SEE NOTE 17 IN SECTION VIII)		NONE	O	W	INITIAL INVESTMENT	9/27	O		
981	INTERIM HEALTHCARE (SEE NOTE 17 IN SECTION VIII)		NONE	O	W					
982	LTI FLEXIBLE PRODUCTS (SEE NOTE 17 IN SECTION VIII)		NONE	N	W					

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
983	MADILL CORP (SEE NOTES 8 & 17 IN SECTION VIII)		NONE							
984	METROPOLITAN DENTAL (SEE NOTE 17 IN SECTION VIII)		NONE			SOLD	9/27	O	G	AMERICAN DENTAL
985	MIDWEST WHOLESALE HARDWARE (SEE NOTE 17 IN SECTION VIII)		NONE	N	W	INITIAL INVESTMENT	7/2	N		
986						RECAPITALIZATION	9/27	M		
987	NIVEL (SEE NOTE 17 IN SECTION VIII)		NONE			SOLD	10/19	P1	H1	THE AUDAX GROUP
988	REACHOUT HEALTHCARE (SEE NOTE 17 IN SECTION VIII)		NONE	N	W	INITIAL INVESTMENT	12/12	N		
989	BUFFETS INC (SEE NOTES 8 & 17 IN SECTION VIII)		NONE							
990	SPINRITE (SEE NOTE 17 IN SECTION VIII)		NONE	P1	W	ADDITIONAL INVESTMENT	12/12	O		
991	STRATEGIC PARTNERS (SEE NOTE 17 IN SECTION VIII)		NONE	P1	W					
992	TRINITY CONSULTANTS HOLDINGS (SEE NOTE 17 IN SECTION VIII)		NONE	N	W	INITIAL INVESTMENT	12/12	N		
993	TRUSSBILT HOLDINGS (SEE NOTE 17 IN SECTION VIII)		NONE	N	W	INITIAL INVESTMENT	7/2	N		
994	VINTAGE HOLDINGS (SEE NOTE 17 IN SECTION VIII)		NONE	N	W	INITIAL INVESTMENT	12/12	N		
995	SCIENS CAPITAL PARTNERS		NONE	O	W					

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
(SEE NOTES 9 & 16 IN SECTION VIII)										
996	NORTHERN HELLENIC HOLDINGS LLC (SEE NOTES 9 & 18 IN SECTION VII)		NONE	N	V					
997	SCIENS VENTURE PARTNERS (AKA ZILKHA VENTURE) (SEE NOTES 8, 9 & 16 IN SECTION VII)	G	K-1 DISTR			DISTRIBUTION	3/19	M		
998	PARK AVENUE PARTNERS (SEE NOTES 9 & 16 IN SECTION VII)	E	K-1 DISTR	P1	W	ADDITIONAL INVESTMENT	2/20	K		
999						ADDITIONAL INVESTMENT	3/28	J		
1000						DISTRIBUTION	5/9	K		
1001						DISTRIBUTION	6/4	K		
1002						ADDITIONAL INVESTMENT	6/4	J		
1003						DISTRIBUTION	12/5	J		
1004						ADDITIONAL INVESTMENT	12/5	J		
1005	QUINTANA ENERGY PARTNERS (SEE NOTES 9 & 16 IN SECTION VII)	D	K-1 DISTR	O	W	INITIAL INVESTMENT	1/29	N		
1006						ADDITIONAL INVESTMENT	6/26	M		
1007						ADDITIONAL INVESTMENT	8/14	K		
1008						ADDITIONAL INVESTMENT	12/18	M		
1009	LEHMAN BROTHERS CO-INVSTMENT LP/CAYMAN AIV LP (SEE NOTES 9 & 16 IN SECTION VII)	G	K-1 DISTR/ INT/DIV	P1	W	ADDITIONAL INVESTMENT	1/30	K		
1010						ADDITIONAL INVESTMENT	3/22	M		
1011						DISTRIBUTION	3/22	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
1012					DISTRIBUTION	5/25	J		
1013					ADDITIONAL INVESTMENT	5/25	L		
1014					ADDITIONAL INVESTMENT	6/25	L		
1015					DISTRIBUTION	7/26	K		
1016					ADDITIONAL INVESTMENT	7/26	K		
1017					ADDITIONAL INVESTMENT	9/7	M		
1018					ADDITIONAL INVESTMENT	9/20	L		
1019					ADDITIONAL INVESTMENT	9/28	L		
1020					DISTRIBUTION	10/1	J		
1021					ADDITIONAL INVESTMENT	12/26	K		
1022					DISTRIBUTION	12/28	N		
<u>OTHER INVESTMENTS/NOTES RECEIVABLE (1023-1037)</u>									
1023 CHESAPEAKE PARTNERS (SEE NOTE 1 IN SECTION VIII)	H1	K-1 DISTR	P2	U					
1024 FARALLON CAPITAL PARTNERS (SEE NOTES 1 & 16 IN SECTION VIII)	G	K-1 DISTR	P1	U					
1025 AXIOM INTERNATIONAL INVESTORS LLC (SEE NOTE 1 IN SECTION VIII)	H1	K-1 DISTR	P1	U					
1026 THE BLENHEIM FUND LLC (SEE NOTE 1 IN SECTION VIII)	G	K-1 DISTR	P1	U					
1027 PORTAGE PARTNERS (SEE NOTE 1 IN SECTION VIII)	G	K-1 DISTR	P1	U	INITIAL INVESTMENT	5/30	P1		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
1028	TOWLE CAPITAL PARTNERS (SEE NOTE 1 IN SECTION VIII)		NONE	O	U	INITIAL INVESTMENT	10/30	O		
1029	WHALE ROCK FLAGSHIP FUND (SEE NOTE 1 IN SECTION VIII)	E	K-1 DISTR	P1	U	INITIAL INVESTMENT	10/26	P1		
1030	BLUE AND GRAY PARTNERSHIP (SEE NOTES 1, 15 & 16 IN SECTION VIII)		NONE	K	V					
1031	F.E. RICHARDSON & CO (SEE NOTES 1, 13 & 16 IN SECTION VIII)		NONE	P1	V					
1032	RACE HORSES		NONE	L	W					
1033	OP40 INC (SEE NOTE 5 IN SECTION VIII)		NONE	L	W					
1034	OSCAR S SCHAFER PARTNERS II (SEE NOTE 1 IN SECTION VIII)	H1	K-1 DISTR	P2	U	ADDITIONAL INVESTMENT	11/29	O		
1035	NOTE RECEIVABLE - ALISON SALMON		NONE	M	T	LOAN	2/5	M		
1036	NOTE RECEIVABLE - PAT PURTELL	A	INTEREST			LOAN	12/7	J		
1037						LOAN FORGIVEN	12/31	J		
<u>PROFIT SHARING PLAN FUNDS (LINES 1038-1039)</u>										
1038	--- ING T. ROWE PRICE EQ INCOME PT		NONE	M	T					
1039	--- ING MARSICO INTERNATIONAL OPP PORT		NONE	L	T					
1040	GOLDMAN SACHS BANK DEPOSIT #4	D	CIL/INT			CLOSED ACCOUNT	11/6	J		
1041	CITIBANK INTEREST CHECKING ACCOUNT #3 (MISTAKINGLY CALLED ACCOUNT #2 ON 2006 FDR)	A	INTEREST	J	T					
1042	AVON DISTRIBUTION LLC		NONE	K	V					

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
(SEE NOTES 1 & 18 IN SECTION VIII)										
1043	NEUBERGER BERMAN INSTL LIQDITY SER INSTL CASH #2	A	DIVIDEND	J	T	OPENED ACCOUNT	10/30	M		
1044	ALTRIA GROUP COMMON STOCK	C	DIVIDEND	M	T	PURCHASE	3/5	M		
1045						SPUN OFF KRAFT FOODS (SEE LINE 1057 BELOW)	4/2	K		
1046	AMERICA MOVIL COMMON STOCK	C	DIVIDEND	M	T	PURCHASE	2/9	L		
1047	AMERICAN INTERNATIONAL GROUP COMMON STOCK	A	DIVIDEND	L	T	PURCHASE	11/8	L		
1048	ANADARKO PETROLEUM CORP COMMON STOCK	A	DIVIDEND	L	T	PURCHASE	11/8	L		
1049	BLACKROCK INC COMMON STOCK	C	DIVIDEND	M	T					
1050	CBS CORP COMMON STOCK		NONE	K	T	PURCHASE	12/4	K		
1051	CONOCOPHILLIPS COMMON STOCK	B	DIVIDEND			SOLD	11/8	M	E	
1052	FEDEX CORP COMMON STOCK	A	DIVIDEND			SOLD	7/26	L		
1053	HEWLETT-PACKARD CO COMMON STOCK	A	DIVIDEND			SOLD	11/9	M	E	
1054	HOME DEPOT INC COMMON STOCK		NONE			SOLD	1/5	M	F	
1055	INTERNATIONAL BUSINESS MACHINE CMN STOCK	A	DIVIDEND	L	T	PURCHASE	11/8	L		
1056	JOHNSON & JOHNSON COMMON STOCK	B	DIVIDEND	L	T					
1057	KRAFT FOODS COMMON STOCK	A	DIV/CIL	K	T	SPUN OFF FROM ALTRIA (SEE LINE 1045 ABOVE)	4/2	K		
1058	LUCENT TECHNOLOGIES CONV PREFD		NONE			SOLD	12/11	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
1076	--- ALTRIA GROUP INC COMMON STOCK					PURCHASE	3/5	M		
1077						SPUN OFF KRAFT FOODS (SEE LINE 1085 BELOW)	4/2	K		
1078	--- AMÉRICA MOVIL COMMON STOCK					PURCHASE	2/9	L		
1079	--- ANHEUSER BUSCH COS INC COMMON STOCK									
1080	--- BLACKROCK, INC COMMON STOCK									
1081	--- FEDEX CORP COMMON STOCK					SOLD	7/26	K		
1082	--- HEWLETT-PACKARD CO COMMON STOCK									
1083	--- HOME DEPOT INC COMMON STOCK					SOLD	2/9	M	F	
1084	--- JOHNSON & JOHNSON COMMON STOCK									
1085	--- KRAFT FOODS INC COMMON STOCK					SPUN OFF FROM ALTRIA (SEE LINE 1077 ABOVE)	4/2	K		
1086	--- LUCENT TECHNOLOGIES CONV PREFD									
1087	--- MEDTRONIC INC COMMON STOCK									
1088	--- SONIC CORP COMMON STOCK									
1089	--- WHITE MTNS INS GROUP COMMON STOCK									
1090	--- PLUM CREEK TIMBER CO INC STOCK									
1091	<u>TRUST #2 (FKA TRUST #3)</u>		NONE			CLOSED ACCOUNT	1/16	J		
1092	<u>TRUST #3 (FKA TRUST #4)</u>	E	DIVIDEND	M	T					

A.

B.

C.

D.

DESCRIPTION OF ASSETS	INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
1093 --- BLACKROCK LIQUIDITY FUNDS									
1094 --- JENNISON 20/20 FOCUS FUND (MUTUAL FUND)									
1095 --- THIRD AVENUE INTL VALUE (MUTUAL FUND)									
1096 TRUST #4 (FKA TRUST #5)	D	DIVIDEND	L	T					
1097 --- BLACKROCK LIQUIDITY FUNDS									
1098 --- JENNISON 20/20 FOCUS FUND (MUTUAL FUND)									
1099 RENTAL PROPERTY - NEW YORK, NY (6/15/2005 \$850,000)	D	RENT	O	R					
1100 MOORINGS/NAUTICAL (SEE NOTES 1, 19 & 20 IN SECTION VIII)	A	INTEREST	K	V					
1101 MELLON BANK ESCROW ACCOUNT (SEE NOTE 23 IN SECTION VIII)	G	INTEREST							
1102 CITIBANK INTEREST CHECKING ACCOUNT # 4 (SEE NOTE 6 IN SECTION VIII)	A	INTEREST	J	T					
1103 MERRILL LYNCH ACCOUNT - ML #3 (SEE NOTE 24 IN SECTION VIII)		NONE			ACCOUNT OPENED	9/18			

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

WOOD, KIMBA M

Date of Report

05/12/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

See attached

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

NOTE 1

If there was either a partial withdrawal or no withdrawal from this S corporation or partnership during the reporting year, all K-1 activity (interest, dividends, capital gains, ordinary income, etc.) was netted together, and, if that net figure was larger than the required income threshold, it was included in Column B.(1) with Column B.(2) noting “K-1 DISTR” (K-1 Distribution). If the K-1 activity netted to a loss, then Column B.(1) was left blank and “NONE” was included in Column B.(2).

If the S Corporation or partnership interest was either sold or fully withdrawn from during the reporting year, the capital gain amount is included in Column D along with other transaction details. The remaining K-1 figures were netted together, and if larger than the required income threshold, included in Column B.(1) with Column B.(2) noting “K-1 DISTR”.

Both of the above approaches were obtained from Mr. George Reynolds, Financial Disclosure Examiner.

NOTE 2

This bond is a zero coupon bond. Therefore, there is no interest paid to the holder. However, the financial institution does report Original Issue Discount (OID) to the holder and that is the amount that is included on this report. Regarding the sale of this bond, its cost was not available; thus, all proceeds were considered gain.

NOTE 3

This is an investment account with a core cash account attached. All of the stock/mutual fund investments are listed separately on this report. Neither the income nor the cash balance at year-end met the threshold for reporting. However, since there are other reportable accounts at this financial institution, this account is deemed reportable.

NOTE 4

For put options that were sold short and then expired, the gain is included on the “OPTION EXPIRED” line because that was when the gain was realized. Since the option was sold short, though, the value was recorded at the time of sale and included on the “SOLD SHORT” line. Therefore, there is no value code listed on the “OPTION EXPIRED” line.

NOTE 5

There are many investments in non-public companies. The estimated market values used for all such investments, unless otherwise noted, are based upon the current knowledge of the worth of such companies, discussions with the companies’ management and company issued reports.

NOTE 6

This checking account was inadvertently omitted from the 2005 and 2006 Disclosure Reports. It was opened on 8/11/2005 with a value code of “J”. It had interest income of “A” and a year-end balance code of “J” for 2005 and 2006. Per Peter Laugesen, Financial Disclosure Examiner, amending the prior Disclosure Reports is not necessary.

NOTE 7

For those entities which issued K-1s, if the K-1 netted to a loss but there was another source of income from the entity, the source of the other income is listed instead of “NONE”. If there was K-1 income and an additional source of income, both amounts were summed to determine the code for Column B.(1) and both types of income are noted in Column B.(2).

NOTE 8

Although there is an investment in this company, it is considered worthless. Therefore, there is no year-end value code reported in Column C.(1).

NOTE 9

There is a limited partnership interest in this entity. Since the filer cannot “direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity”, *Financial Disclosure Filing Instructions*, page 35, the assets held by the partnership are not reportable.

If the partnership had a capital call for an investment in a specific company, “ADDITIONAL INVESTMENT” is noted in Column D.(1). The name of the underlying company is not listed. Further, if the partnership either returned capital or sold one of its investments and returned funds to the reporting [REDACTED], “DISTRIBUTION” is listed in Column D.(1). Neither the name of the underlying company nor the gain amount is listed.

The entity’s K-1 is considered the source document for all income from this investment (including capital gains/losses). All K-1 activity was netted together and if greater than the required income threshold, included in Column B.(1) with Column B.(2) noting “K-1 DISTR”. If the K-1 activity netted to a loss, then Column B.(1) was left blank and “NONE” was included in Column B.(2).

NOTE 10

There are several Vestar and Sentinel Partnerships (See Lines 920-924 and 973-975 in Section VII). The companies they own are all listed separately on this report because the filer “can direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity,” *Financial Disclosure Filing Instructions*, page 35.

In Vestar III, IV and V and all of the Sentinel Funds, there is both a limited partner (LP) and general partner (GP) interest (each interest is housed in a different partnership). For Vestar II, however, Vestar Equity Partners II filed its final K-1 in 2006; therefore, only Vestar Associates II remains. For purposes of this report, the K-1 information for the LP/GP interests of each Fund is combined and included on one line. This approach matches the way the Funds' portfolio companies are included on this report (the GP/LP interests are both included when determining the value code for Column C.(1)).

Each year, there is a K-1 from each of the partnerships. The K-1s summarize all of the ordinary income (loss), portfolio income, capital gains/losses, etc., for all of the underlying companies. To avoid double counting, if an underlying company was sold, the capital gain/loss is included on the line where the actual company is listed and is excluded from the K-1 income reported in Column B.(1) for each of the partnerships.

The remaining K-1 items were netted together. If the net amount was larger than the required income threshold, that amount was included in Column B.(1) with Column B.(2) noting "K-1 DISTR". If the K-1 activity netted to a loss, then "NONE" is included in Column B.(2). As a result, since all K-1 activity is accounted for at the partnership level, the underlying companies which are listed separately do not show any income in Column B.(1) (unless there is an item that is not included on the K-1).

Of the Vestar Funds and the Sentinel Funds, only Vestar IV had a value on the books as of the end of 2007. Therefore, there is no market value reported in Column C.(1) for any of the other funds. Because the Partnerships' portfolio companies are listed separately on this report, the partnerships themselves oftentimes show no market value.

NOTE 11

Although this account is still open, all the cash and then some margin funds were redeployed. Therefore, there is no code in Column C. The margin balance at year-end is not included in Section VI, Liabilities, because the balance of the liability never met the reporting requirement.

NOTE 12

In a letter dated January 25, 2008, the company described sales of underlying securities during November/December, 2007. Rather than distributing the proceeds, the company made a "deemed distribution" and used the proceeds to fund a capital call ("deemed investment"). The letter did not mention a specific date. Therefore, on Lines 971 and 972, we used "NOV/DEC".

NOTE 13

The filer is the sole shareholder of this S corporation. The company's assets are limited to office furniture, office equipment, a checking account, a money market account and a

limited partnership interest which is listed separately on this report. The value used to determine the year-end valuation code was the checking/money market account balances plus the office furniture/equipment less accumulated depreciation balance from the 2007 tax return prepared by PriceWaterhouseCoopers.

NOTE 14

The company was sold in a prior year. During the reporting period, the filer learned that there is some outstanding value (funds held in escrow). Therefore, the filer's estimated escrow value was used to determine the value shown in Column C.

NOTE 15

The year-end value code was determined by taking the 2006 K-1 Ending Capital Balance and subtracting the estimated 2007 K-1 loss since the 2007 K-1 was not received by the 5/15/2008 filing deadline.

NOTE 16

This company's 2007 K-1 was not received by the May 15, 2008 Disclosure Report filing date. Therefore, the company's income has been estimated. Once the actual K-1 is received, if the income code based on the actual K-1 differs from the code reported in this report, a letter explaining the difference will be filed with the Committee.

NOTE 17

This investment is made through either a Vestar or a Sentinel partnership (See Lines 920-924 and 973-975 in Section VII). Since the filer can "direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity", *Financial Disclosure Filing Instructions, page 35*, the partnership's assets must be listed separately on this report. As a result, this company is reportable.

All transactions (investments, sales and related gain/(loss), distributions, etc.) are reported for the company. Any K-1 income (excluding gain/(loss) on sales) attributable to the company, however, is included in the partnership's line. If there was income received separate from the K-1 it is recorded in Column B. See Note 10 for more information.

NOTE 18

The Ending Capital Account value from the entity's 2007 K-1 was used to determine its year-end value code for Column C.(1).

NOTE 19

This asset had no value on the books as of the end of the year. Therefore, there is no value code reported in Column C.(1). However, the K-1 reported income over the threshold level so the asset is reportable.

NOTE 20

In 2007, the filer learned that there is a Nautical related Liquidating Trust. The year-end value code included in this report was determined by multiplying the filer's Nautical shareholder percentage by the value of the Trust. The interest income noted on the report relates to the trust as well.

NOTE 21

This company was sold in a prior year. Therefore, there is no year end value reported in Column C.(1).

NOTE 22

This partnership is winding down. In 2004, its consulting business and its fund of funds business were sold. Those businesses made up the bulk of the partnership. Subsequent to the sales, the partnership changed its name to Cosmos Partners.

Milliman purchased the consulting business in a cash/note payable deal. Milliman will make the final note payment in 2008. The amount used to determine the code for Column C.(1) is the estimated value of the outstanding Milliman note payment.

NOTE 23

In 2006, ENM, Inc. was sold. At that time, some funds were withheld in escrow. We did not include the value of the escrow funds on the 2006 Disclosure and we are not including it on this report because the value is not determinable. ENM's purchaser can make claims against the escrow until June, 2008. Only after that time will the filer know the value of the remaining escrow. In the meantime, however, the interest earned on the escrow account belongs to the filer and is being distributed. Thus, the interest income is included on this report.

NOTE 24

This account was opened with no deposit. It has not been used since it was opened. Therefore, there is no transaction code noted for when the account was opened and there is no year end value code noted. The account is deemed reportable because there are other reportable accounts at the same financial institution.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

WOOD, KIMBA M

Date of Report

05/12/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544