

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 1904Report Required by the Ethics
Reform Act of 1989, Pub. L. No.
101-194, November 30, 1989
(5 U.S.C. App. 4, 101-112)

1. Person Reporting (Last name, first, middle initial) KEARSE, AMALYA L.	2. Court or Organization COURT OF APPEALS, 2D CIRCUIT	3. Date of Report 04/20/05
4. Title (Article III judges indicate active or senior status; Magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE	5. Report Type (check appropriate type) ____ Nomination, Date ____ / ____ / ____ ____ Initial ☒ Annual ____ Final	6. Reporting Period 01/01/04 - 12/31/04
7. Chambers or Office Address U.S. COURTHOUSE FOLEY SQUARE NEW YORK, NEW YORK 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each section where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions)

Trustee, Director _____ **[REDACTED]** Memorial Scholarship Fund

II. AGREEMENTS. (Reporting individual only; see pp. 14-17 of Instructions.)

DATE

PARTIES AND TERMS

☐

NONE (No reportable agreements)

'69, '79 Hughes Hubbard & Reed - **[REDACTED]** Return of July 1979 Re

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 18-25 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)☐

NONE (No reportable non-investment income)

1	2 & 8	Devyn Press Royalties	\$ 430.00
2			\$
3			\$
4			\$
5			\$

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

IV. REIMBURSEMENTS and GIFTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; use the parentheticals "(S)" and "(DC)" to indicate reportable reimbursements and gifts received by spouse and dependent children, respectively. See pp. 26-29 of Instructions.)

SOURCE

DESCRIPTION

☒ X

NONE (No such reportable reimbursements or gifts)

1

2

3

4

5

6

7

V. OTHER GIFTS. (Includes those to spouse indicate other gifts received by spouse and dependent children,

33 of Ins

"(DC)"

SOURCE

☒ X

NONE (No such reportable gifts)

1

2

3

4

\$

\$

\$

VI. LIABILITIES. (Includes those of spouse and dependent children; indicate where applicable, per for liability by using the parenthetical "(S)" for separate liability of the spouse, "(J)" for joint liability c reporting individual and spouse, and "(DC)" for liability of a dependent child. See pp. 34-36 of Instructions)

CREDITOR

DESCRIPTION

VALUE CODE*

1

reportable liabilities)

Capital One

Bank loan

K

2

3

4

5

6

*Value Codes: J=\$15,000 or less O=\$500,001-\$1,000,000 P3=\$25,000,001-\$50,000,000 K=\$15,001-\$50,000 L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=\$50,000,001 or more M=\$100,001-\$250,000 N=\$250,001-\$500,000 P2=\$5,000,001-\$25,000,000

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting KEARSE, AMALYA L.	Date of Report 04/20/05
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VII. Page 1 INVESTMENTS and TRUSTS --income, value, transactions (Includes those of spouse and dependent children. See pp. 37-54 of Instructions.)

A. Description of Assets (including trust assets) Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amt. 1 Code (A-H)	(2) Type (e.g., div., rent or int.)	(1) Value1 Code (J-P)	(2) Value Method3 Code (Q-W)	(1) Type (e.g., buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month-Day	(3) Value2 Code (J-P)	(4) Gain1 Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1 Clinton Assoc. Ptnp		None	J	W					
2 Int'l Constructors Ptnp	A	Interest	J	W					
3 T Rowe Price Eq Inc Mutual Fund	A	Dividend	J	T	Sold	1/20	J		
4 Urban Improvement 1975 Ptnp	A	Interest	J	W					
5 Janus Twenty Mutual Fund		None	J	T					
6 IRA Janus Fund		None	K	T	Rollovr	9/1	K		
7 IRA Janus MidCap Value Fund		None	L	T	Rollovr	9/1	K		
8 Janus Fund		None			SoldAll	6/23	L		
9 Janus Money Market Fund	A	Dividend	J	T					
10 T Rowe Price Money Market Fund	A	Dividend	J	T					
11 Ericsson Telephone		None			Donated	12/17	J		
12 T Rowe Price MidCap Growth Fund		None	L	T					
13 Citibank Mone Market	A	Interest	J	T					
14 Scientific Atlant Co.	A	Dividend	L	T					
15 Legg Mason Value Fund		None	K	T					
16 T Rowe Price Science & Tech Fund		None			Sold	1/20	J		
17 T Rowe Price Science & Tech Fund		None			SoldAll	9/1	J		
18 ISI Logic		None	J	T					
1 Inc/Gain Cds: A=\$1,000 or less (Col. B1,D4) F=\$50,001-\$100,000 B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 G=\$100,001-\$1,000,000 H1=\$1,000,001-\$5,000,000 H2=\$5,000,001 or more 2 Val Cds: J=\$15,000 or less (Col. C1,D3) O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000 P2=\$5,000,001-\$25,000,000 K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more 3 Val Mth Cds: Q=Appraisal (Col. C2) U=Book Value R=Cost (real estate only) V=Other S=Assesment W=Estimated T=Cash/Market									

Name of Person Reporting	Date of Report
KEARSE, AMALYA L.	04/20/05

A. Description of Assets (including trust assets)	B. Income during reporting period	C. Gross value at end of reporting period	D. Transactions during reporting period
Indicate where applicable, owner of the asset by using the parenthetical "(J)" for joint ownership of reporting individual and spouse, "(S)" for separate ownership by spouse, "(DC)" for ownership by dependent child. Place "(X)" after each asset exempt from prior disclosure.	(1)	(1)	(1) Type [e.g., sell, buy, gift, transfer, redemption]
	(2) Type [e.g., div, rent or int.]	(2) Value Method Code [Q-W]	(2) Date Month Day
	(3) Amt. 1 Code [A-H]	(3) Value Code [J-P]	(3) If not exempt from disclosure: (4) Value Code [J-S] (5) Gain Code [A-R] (6) Identity of buyer/seller (if private transaction)
NONE (No reportable income, assets, or transactions)			
19 LSI Logic	None	K T	Bot 5/20 K
20 LSI Logic	None	J T	Bot 7/21 J
21 Wasatch Core Growth	A Dividend	K T	
22 Global Crossing	None	T	SoldAll 1/22
23 Rite Aid Corp.	None	J T	
24 Bear Stearns Money Market Portfolio	A Dividend	J T	
25 Bally Total Fitness	None	J T	
26 Koninklijke Ahold	None	J T	
27 IndyMac Bancorp	B Dividend	K T	
28 IndyMac Bancorp	A Dividend	J T	Bot 5/11
29 Selected American Shares	A Dividend	K T	
30 Selected American Shares	A Dividend	K T	Bot 1/1
31 Selected Special Shares	A Dividend	K T	
32 Legg Mason Opportunity Fund	None	K T	
33 T Rowe Price Media & Telecom. Fund	None	J T	Bot 9/1 J
34 Liberty Media A	None	J T	Bot 5/20 J
35 Liberty Media Int'l A	None	J SpinOff	6/1 J
36 Liberty Media Int'l A	None	J SoldAll	7/21 J
1 Inc/Gain Cds: A=\$1,000 or less (Col. B1,D4) F=\$50,001-\$100,000	B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 F=\$50,001-\$1,000,000 G=\$100,001-\$1,000,000 H=\$1,000,001-\$5,000,000 I=\$5,000,001 or more		
2 Val Cds: J=\$15,000 or less O=\$500,001-\$1,000,000 P=\$1,000,001-\$5,000,000 Q=\$5,000,001-\$25,000,000 R=\$25,000,001 or more	K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 P2=\$5,000,001-\$25,000,000		
3 Val Mth Cds: Q=Appraisal U=Book Value V=Other W=Cost (real estate only) X=Assessment Y=Estimated Z=Cash/Market			

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KEARSE, AMALYA L.

Date of Report

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Ant. 1 Code (A-H)	Type (e.g., div., rent or int.)	Value Code (J-P)	Value Method Code (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month-Day	(3) Value Code (J-P)	(4) Gain Code (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
37 Liberty Media Int'l A	A	Distrib			Sold All	8/31	J	A	
38 Wireless Age Communications		None	J	T	Bot	1/20	J		
39 Immunicon		None	J	T	Bot	7/7	J		
40 Veeco Instruments		None	J	T	Bot	7/7	J		
41 Veeco Instruments		None	J	T	Bot	7/20	J		
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1 Inc/Gain Cds: A=\$1,000 or less (Col. B1,D4)	B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 F=\$50,001-\$100,000	G=\$100,001-\$1,000,000	H=\$1,000,001-\$5,000,000	I=\$5,000,001 or more
2 Val Cds: J=\$15,000 or less (Col. C1,D3)	K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P=\$1,000,001-\$5,000,000 Q=\$5,000,001 or more	R=\$50,000,001 or more		
3 Val Mth Cds: C=Appraisal (Col. C2)	D=Book Value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market

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VIII. ADDITIONAL INFORMATION or EXPLANATIONS. (Indicate part of Report.)

All investments shown in previous report as having no value at end of reporting period were sold, dissolved, or otherwise disposed of during that period and have been deleted from form.

Global Crossing went into liquidation with no plan for reorganization or distribution; the stock was declared worthless in 2004. It will not be shown on future reports.

The Liberty Media Int'l A entry shown as "Distribution" represents the issuance of "warrants," which were sold, as indicated.

All shares of Ericsson Telephone Co. shown as remaining in report for 2003 were donated to charity in 2004.

Part of IRA Janus Fund was rolled over into IRA Janus MidCap Value Fund.

Multiple entries for the same security, required in prior report because purchased on different dates, have been consolidated.