

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF FLORIDA
FEDERAL JUSTICE BUILDING
TENTH FLOOR
99 NORTHEAST 4TH STREET
MIAMI, FLORIDA 33132

SHELBY HIGHSMITH
UNITED STATES DISTRICT JUDGE

(305) 523-5170

September 15, 2004

Committee on Financial Disclosure
Judicial Conference of the United States
One Columbus Circle, N.E.
Washington, DC 20544

Attn: Judge Mary M. Lisi, Chair

Re: Your letter of August 5, 2004

Dear Judge Lisi:

With regard to your inquiry referencing Part VII listings for "Philip Morris" common stock and "Altria" stock, please be advised that on January 28, 2003, "Philip Morris" effected a corporate name change to "Altria".

Further, "JDS Uniphase common stock", did not meet reporting criteria for 2003 and is, thus, exempt from reporting. "Fidelity Freedom Income Fund" was a purchase made on August 28, 2003, with a valve code of "P4".

The foregoing omissions were inadvertent. Please accept my apologies.

Sincerely,

[REDACTED]

District Judge

SH:las

RECEIVED
SEP 22 10 53 AM '04
FINANCIAL
DISCLOSURE OFFICE

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FEDERAL JUSTICE BUILDING
TENTH FLOOR
99 NORTHEAST 4TH STREET
MIAMI, FLORIDA 33132

SHELBY HIGHSMITH
UNITED STATES DISTRICT JUDGE

(305) 523-5170

August 18, 2004

Ms. Mary M. Lisi, Chair
Judicial Conference of the
United States
Committee on Financial Disclosure
One Columbus Circle, NE
Washington, DC 20544

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AUG 27 10 51 AM '04
FINANCIAL
DISCLOSURE OFFICE

Re: Calendar Year 2003 Filing - Hon. Shelby Highsmith

Attn: George D. Reynolds

Dear Mr. Reynolds:

Please be advised that Judge Highsmith is out of the district on annual leave through mid September. He has asked me to write this letter on his behalf and request a thirty (30) day extension to respond to the Committee's letter of August 5, 2004.

Thank you for your consideration in this matter.

Sincerely,



Laura A. Sabbatino,
Judicial Administrator for
The Honorable Shelby Highsmith,
United States District Judge

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)

HIGHSMITH, SHELBY

4. Title (Article III Judges indicate active or senior status;
magistrate judges indicate full- or part-time)

SENIOR STATUS

2. Court or Organization

UNITED STATES DISTRICT COURT

3. Date of Report

4/28/2004

5. ReportType (check appropriate type)

☐ Nomination, Date 9/12/1991

☐ Initial ☒ Annual ☐ Final

6. Reporting Period

1/1/2003

to

12/31/2003

7. Chambers or Office Address

FEDERAL JUSTICE BUILDING

99 NE 4TH STREET, SUITE 1027

MIAMI, FL 33132

8. On the basis of the information contained in this Report and any
modifications pertaining thereto, it is, in my opinion, in compliance
with applicable laws and regulations.

Reviewing Officer

Date

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

2.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

☒ NONE - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME
(yours, not spouse's)

1.

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FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

HIGHSMITH, SHELBY

Date of Report

4/28/2004

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

Page 1 of 3

Date of Report
4/28/2004

VII. INVESTMENTS and TRUSTS

☐ **NONE** (No reportable income, assets, or transactions)

- | | | | | | |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes: | A = \$1,000 or less | B = \$1,001-\$2,500 | C = \$2,501-\$5,000 | D = \$5,001-\$15,000 | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000 | G = \$100,001-\$1,000,000 | H = \$1,000,001-\$5,000,000 | H2 = More than \$5,000,000 | |
| 2. Value Codes: | J = \$15,000 or less | K = \$15,001-\$50,000 | L = \$50,001-\$100,000 | M = \$100,001-\$250,000 | |
| (See Columns C1 and D3) | N = \$250,000-\$500,000 | O = \$500,001-\$1,000,000 | P1 = \$1,000,001-\$5,000,000 | P2 = \$5,000,001-\$25,000,000 | |
| | P3 = \$25,000,001-\$50,000,000 | | P4 = \$More than \$50,000,000 | | |
| 3. Value Method Codes | Q = Appraisal | R = Cost (Real Estate Only) | S = Assessment | T = Cash/Market | |
| (See Column C2) | U = Book Value | V = Other | W = Estimated | | |

FINANCIAL DISCLOSURE REPORT

Page 2 of 3

Name of Person Reporting
HIGHSMITH, SHELBY

Date of Report
4/28/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A -H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. -Exxon/Mobil Common Stock									
20. -Seana Corp Common Stock									
21. -American Balanced Fund									
22. -Applied Materials Common Stock									
23. -Sun Microsystems Common Stock									
24. -AIM Bluechip Fund									
25. -Corning Common Stock									
26. -I.C.G.E. Common Stock									
27. -Time Warner Common Stock					name change				
28. -Caterpillar Common Stock									
29. -Dupont Common Stock.									
30. -Altria Common Stock									
31. -SBC Communications Common Stock									
32. -Merck Common Stock									
33. -Pharmacia Common Stock									
34. -Monsanto Common Stock									
35. -MEDCO Common Stock					Spin-off	08/25			
36. -Pfizer Common Stock					Merger	04/16			

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FINANCIAL DISCLOSURE REPORT

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37. -Vanguard Equity Index Fund									
38. -Fidelity Freedom Income Fund									
39. -Fidelity Cash Reserves									
40. UBS Paine Webber American Classic Gold	C	Interest	K	T					
41. Honeywell Common Stock	A	Dividend	J	T					
42. Microsoft Common Stock	A	Dividend	J	T					
43. Nestle A.D.R.		None	K	T	Buy	09/19	J		
44. Spartan Fla. Muni Fund	A	Dividend	K	T	Buy	09/19	K		
45. Fidelity Low Priced Stock Fund	A	Dividend	K	T	Buy	09/12	K		
46. Fidelity Puritan	A	Dividend	K	T	Buy	09/12	K		
47. Fidelity Cash Reserves	A	Interest	M	T	Buy	09/12	M		
48. Federal Home Loan Mortgage Notes	B	Interest	K	T	Buy	05/02	K		
49. Fidelity Investment Annuity		None	M	T	rollover	07/07			
50. Prudential Financial, Inc., Common Stock	A	Dividend	K	T	demutual ization	01/02	K		

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

HIGHSMITH, SHELBY

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Line 6 - Assessed value per County Tax Assesor = \$1,850.

Line 9 - Vanguard Annuity was rolled over in its entirety to a Fidelity Investments Annuity. (See line 49.)

Line 10 - Vanguard Index Fund transferred to custodial IRA with Fidelity Investments.

Line 14 - IRA Holdings transferred to custodial account with Fidelity Investments.

Line 48 - Purchase inadvertently omitted on 2002 report.

Line 49 - Former Vanguard Annuity (see line 9) rolled over into Fidelity Annuity.

Line 50 - Shares issued as a result of demutualization of Prudential Insurance and Financial Services in 2002; inadvertently omitted on previous report.

FINANCIAL DISCLOSURE REPORT

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

April 30, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544