

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Colloton, Steven M.	2. Court or Organization US Ct. of Appeals-8th Circuit	3. Date of Report 05/13/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 110 E. Court Avenue, Suite 461 Des Moines, IA 50309-2053	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Trustee	James Madison Memorial Fellowship Foundation
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	The First Amendment Center at Vanderbilt University	Feb. 18-21	Nashville, TN	Moot court competition	Travel, meals, hotel
2.	American Bankruptcy Institute	Mar. 14-16	New York, NY	Moot court competition	Travel, meals, hotel
3.	University of Chicago	Apr. 21	Chicago, IL	Moot court competition	Travel and meals
4.					
5.					

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V. GIFTS. (Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)

☒ NONE (No reportable gifts.)

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. (Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)

☒ NONE (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. MidWestOne - Accounts	A	Interest	M	T					
2. Vanguard Prime Money Market Fund	A	Dividend	K	T					
3. Vanguard Intermediate Term Tax- Exempt Muni Bond Fund	A	Dividend	K	T					
4. Vanguard Long-Term Tax- Exempt Fund Investor Shares	A	Dividend	K	T					
5. Vanguard Ext. Market Index Fund Investor Shares	A	Dividend			Sold	11/26/10	K		
6. Vanguard 500 Index Fund Investor Shares	A	Dividend			Sold	11/26/10	L		
7. Vanguard Total International Stock Index Fund	A	Dividend	K	T					
8. Vanguard Ext. Market Index Fund Admiral Shares	A	Dividend	K	T	Buy	11/26/10	K		
9. Vanguard 500 Index Fund Admiral Shares	A	Dividend	L	T	Buy	11/26/10	L		
10. Vanguard Intermediate-Term Investment-Grade Fund	A	Dividend	J	T					
11. Vanguard Short-Term Investment-Grade Fund	A	Dividend	J	T					
12. Vanguard Inflation-Protected Securities Fund	A	Dividend	J	T					
13. UNI, St. Bd. Of Regents Dorm Rev Bonds, 3.375%, Due 7/1/2010	A	Interest			Matured	07/1/10	J		
14. MidWestOne Financial Group Inc. - Common Stock	A	Dividend	K	T					
15. Nationwide Life Insurance Company-Whole Life Policy	A	Dividend	J	U					
16. Coralville IA, Pbl Imp Gen Ob Bond, 5.375%, Due 6/1/2023	A	Interest	J	T					
17. Coralville IA, Pbl Imp Gen Ob Bond, 5.375%, Due 6/1/2012	A	Interest	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. West Des Moines IA, Pbl Imp Gen Ob Bond 3.4%, Due 6/1/2011	A	Interest			Sold	06/1/10	J	A	
19. U of IA, Rec Facs Rev Bond, 4.1%, Due - 7/1/2019	A	Interest	J	T					
20. U of IA, Rec Facs Rev Bond, 4.5%, Due - 7/1/2027	A	Interest	J	T					
21. U of IA, Rev Acad Bldg Bond, 4%, Due - 7/1/2017	A	Interest	J	T					
22. State Univ IA Univ Rev Bond, 4%, Due - 7/1/2018	A	Interest	K	T					
23. Principal Bank - Account	B	Interest	M	T					
24. FNBO Direct - Account	B	Interest	L	T					
25. HSBC - Account	B	Interest	L	T					
26. College Savings Iowa 529 Plan	A	Dividend	L	T					
27. Federated Institutional Tr Hi Yield Bond Fund	A	Dividend	J	T					
28. Federated Mortgage Fund	A	Dividend	J	T					
29. Federated Total Return Govt Bond Fund	A	Dividend	J	T					
30. IA SUI Bond, 3.6%, Due 7/1/2019	A	Interest	J	T					
31. Univ IA Hosp & Clinics Bond, 6.125%, Due 9/2/2028	B	Interest	K	T					
32. West Branch Iowa Comm School Dist Bond, 4.1%, Due 7/1/2023	A	Interest	K	T	Buy	06/8/10	K		

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(See Columns B 1 and D4)

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B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
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R = Cost (Real Estate Only)
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P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
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3. Value Method Codes
(See Column C2)

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U = Book Value

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Steven M. Colloton

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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