

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MCAULIFFE, STEVEN J	2. Court or Organization U.S. DISTRICT COURT - N.H.	3. Date of Report 05/14/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address WARREN B. RUDMAN COURTHOUSE 55 PLEASANT STREET, ROOM 416 CONCORD, N.H. 03301	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Fellow	New Hampshire Bar Foundation
2. Director	Challenger Center for Space Science Education
3. Trustee	Trust #1
4. Shareholder (50 percent)	Pleasant Lake Air, Inc., LLC
5. Partner	Pinchers' Investment Group (Neighborhood Investment Club)
6. Treasurer	Local EAA Chapter - Capitol Flyers
7.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Child & Family Services (Teaching)
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Note Rec. R. Jobin		None	K	T					
2. NOW Acct Bow Mills Bank	A	Interest	J	T					
3. IRA Inv Co Amer Mut Fund	A	Dividend	L	T					
4. IRA Wash Mut Inv Fund	A	Dividend	J	T					
5. Exxon Mobil Corp.	A	Dividend	J	T					
6. Bow Mills Bank Stock (D)		None	N	T					
7. UBS Retirement Money Fund	A	Interest	J	T					
8. Citigroup, Inc.	A	Dividend	J	T					
9. IRA Franklin Templeton For. Fund	A	Dividend	L	T					
10. IRA PA PACE Large Comp. Value Fund	A	Dividend	K	T					
11. Medtronic Inc.	A	Dividend	J	T					
12. Pinchers' Investment Group Ptnshp Share	A	Dividend	J	T					
13. -Home Depot Inc.	A	Dividend			Sold	11/9	J	A	Mkt
14. -GE	A	Dividend			Sold	2/10	J	A	Mkt
15. -BCR	A	Dividend	J	T					
16. -CMX		None			Sold	11/9	J	B	Mkt
17. -MDT	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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18. -MSFT	A	Dividend	J	T	Partial Sale	1/13	J	A	Mkt
19. -PFE	A	Dividend	J	T					
20. -Dentsply Int. Inc.	A	Dividend	J	T					
21. -EBAY		None			Sold	11/9	J	A	Mkt
22. -BAC	A	Dividend	J	T					
23. -Air Products & Chem.	A	Dividend	J	T					
24. -Oceaneering Intl Inc.	A	Dividend	J	T					
25. -Whiting Petroleum Corp.	A	Dividend	J	T					
26. -XM Sat. Radio Hold Inc.		None			Sold	11/9	J	A	Mkt
27. -Diageo (DEO)	A	Dividend	J	T	Buy	9/15	J		Mkt
28. -Energy Ptnrs Ltd. (EPL)	A	Dividend	J	T	Buy	3/17	J		Mkt
29. -Johnson & Johnson (JNJ)	A	Dividend	J	T	Buy	3/17	J		Mkt
30. -Tata Mtrs. (TTM)	A	Dividend	J	T	Buy	1/13	J		Mkt
31. Patterson Companies, Inc.	A	Dividend			Sold	2/13	J	C	Mkt
32. N.Y. Comm. Bankcorp, Inc.	A	Dividend			Sold	6/13	J	A	Mkt
33. Dow Chemical Co.	A	Dividend			Sold	6/13	J	A	Mkt
34. Bank of America Corp.	A	Dividend	J	T	Addl. Buy	7/11	J		Mkt

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
 (See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
 2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
 (See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
 3. Value Method Codes P3 = \$25,000,001 - \$50,000,000 R = Cost (Real Estate Only) P4 = More than \$50,000,000 T = Cash Market
 (See Column C2) Q = Appraisal S = Assessment
 U = Book Value V = Other W = Estimated

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35. Lowes Cos, Inc.	A	Dividend	J	T					
36. CVS Corp.	A	Dividend	J	T					
37. Motorola, Inc.	A	Dividend	J	T					
38. Pall Corp.	A	Dividend			Sold	11/7	J	B	Mkt
39. Petroleo Brasileiro	A	Dividend	K	T					
40. Praxair, Inc.	A	Dividend	K	T					
41. Staples	A	Dividend	K	T					
42. LM Ericsson Telefon Co.	A	Dividend	J	T					
43. ING Groep N V NL	A	Dividend			Sold	11/7	J	C	Mkt
44. Novo Nordisk ADR	A	Dividend			Sold	11/7	K	C	Mkt
45. Sirius Sat. Radio, Inc.		None			Sold	11/7	J	A	Mkt
46. Freescale Semicond., Inc.	A	Dividend			Sold	12/4	J	B	Mkt
47. IRA I Shares Russell Fund	A	Dividend	K	T					
48. IRA Midcap SPDR TR	A	Dividend	K	T					
49. Fed. Prime MM Fund	A	Dividend	J	T					
50. BMB NOW Acct. (2)	A	Interest	J	T					
51. Toyota Motor Corp.	A	Dividend			Sold	6/13	J	B	Mkt

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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52. Ishares FTSE/XINHUA China 25 Index FD	A	Dividend			Sold	6/8	J	B	Mkt
53. XTO Energy	A	Dividend			Sold	6/13	J	A	Mkt
54. Cemex S A	A	Dividend	J	T	Partial Sale	6/26	J	A	Mkt
55. Tele Norte Leste Particip SA	A	Dividend			Sold	11/9	J	A	Mkt
56. Aegon NV Ord ARS Piepstg	A	Dividend			Sold	3/23	J	B	Mkt
57. Verizon Communication Inc.	A	Dividend			Sold	5/24	J	A	Mkt
58. Tyco Intl Ltd	A	Dividend			Sold	2/14	J	A	Mkt
59. Suncor Energy, Inc.	A	Dividend	J	T					
60. Peabody Energy Corp.	A	Dividend			Sold	9/12	J	A	Mkt
61. James River Coal Inc.	A	Dividend			Sold	3/23	J	A	Mkt
62. American Eagle Outfitters Inc.	A	Dividend			Sold	4/6	J	C	Mkt
63. UST Inc.	A	Dividend			Sold	6/13	J	A	Mkt
64. Ishares Trust S&P Tele	A	Dividend	J	T					
65. Internet Holders Trust	A	Dividend	J	T					
66. Aus & NZ Bkg Grp	A	Dividend	J	T					
67. Diageo (DEO)		None	J	T	Buy	11/7	J		Mkt
68. Franklin Res. Inc.		None	J	T	Buy	11/7	J		Mkt

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69. Oracle Corp.		None			Buy	11/7	J		Mkt
70. Thermo Fisher Sci. (TMO)		None	J	T	Buy	11/7	J		Mkt
71. Oracle Corp.		None			Sold	12/18	J	A	Mkt
72. Telenorte Leste					Addl. Buy	1/23	J		Mkt
73. Rediff. Com India Ltd.					Buy	1/30	J		Mkt
74. Am Cap Str. Ltg.	A	Dividend	J	T	Buy	2/27	J		Mkt
75. NFJ DVD Int & Pr FD	A	Dividend	J	T	Buy	3/2	J		Mkt
76. Massey Energy Co.					Buy	3/13	J		Mkt
77. Telecom N.Z.					Buy	3/30	J		Mkt
78. Tupperware Brands					Buy	4/6	J		Mkt
79. United Health Group Inc.	A	Dividend	J	T	Buy	4/20	J		Mkt
80. Intel Corp.					Buy	4/27	J		Mkt
81. Lowes Cos. Inc.					Addl. Buy	4/27	J		Mkt
82. Wellpoint, Inc.	A	Dividend	J	T	Buy	4/27	J		Mkt
83. American Intl. Group	A	Dividend	J	T	Buy	4/27	J		Mkt
84. Conoco Phillips					Buy	4/27	J		Mkt
85. Enterprise Prods. Ptnrs.	A	Dividend	J	T	Buy	4/27	J		Mkt

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
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86. Bausch & Lomb, Inc.					Buy	4/27	J		Mkt
87. MFS Intl Divers. FD	A	Dividend	J	T	Buy	4/27	J		Mkt
88. Amgen, Inc.					Buy	5/15	J		Mkt
89. Peabody Energy Corp.					Addl. Buy	6/9	J		Mkt
90. ALCOA Inc.					Buy	6/28	J		Mkt
91. Agree Realty Corp.	A	Dividend	J	T	Buy	6/30	J		Mkt
92. Suncor Energy Inc.	A	Dividend	J	T	Buy	7/11	J		Mkt
93. Chesapeake Energy Corp.	A	Dividend	J	T	Buy	7/17	J		Mkt
94. Joy Global Inc.	A	Dividend	J	T	Buy	8/3	J		Mkt
95. Ingersoll-Rand Co.					Buy	8/9	J		Mkt
96. Citizens Commo. Co.	A	Dividend	J	T	Buy	8/17	J		Mkt
97. Cohen & Steers Prem. Inc. Realty Fund	A	Dividend	J	T	Buy	9/1	J		Mkt
98. Kinder Morgan Energy LP	A	Dividend	J	T	Buy	9/21	J		Mkt
99. Alaska Com Sys Grp Inc.	A	Dividend	J	T	Buy	10/30	J		Mkt
100. Penn VA Resource Pt. LP	A	Dividend	J	T	Buy	11/15	J		Mkt
101. Cohen & Steers Select Util Fd	A	Dividend	J	T	Buy	11/15	J		Mkt
102. Southern Copper Corp.					Buy	11/15	J		Mkt

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103. Nordic Am. Tanker Shipping Ltd	A	Dividend	J	T	Buy	11/15	J		Mkt
104. GMH CMNTYS TR	A	Dividend	J	T	Buy	12/7	J		Mkt
105. American Intl Grp					Partial Sale	12/21	J	A	Mkt
106. Ingersoll-Rand Co.	A	Dividend			Sold	11/21	J	A	Mkt
107. Southern Copper Corp.		None			Sold	11/16	J	A	Mkt
108. Conoco Phillips	A	Dividend			Sold	11/14	J	A	Mkt
109. ALCOA	A	Dividend			Sold	11/30	J	A	Mkt
110. Amgen, Inc.	A	Dividend			Sold	6/13	J	A	Mkt
111. Intel Corp	A	Dividend			Sold	6/13	J	A	Mkt
112. Bausch & Lomb, Inc.	A	Dividend			Sold	6/9	J	B	Mkt
113. Rediff. Com India Ltd.		None			Sold	3/23	J	A	Mkt
114. Telecom N.Z.	A	Dividend			Sold	7/27	J	A	Mkt
115. Massey Energy Co.	A	Dividend			Sold	9/15	J	A	Mkt
116. Tupperware Brands	A	Dividend			Sold	8/17	J	A	Mkt
117. Gen Mtrs. Corp. Conv. Pfd.					Buy	2/2	J		Mkt
118. Gen Mtrs. Corp. Conv. Pfd.		None			Sold	4/17	J	A	Mkt
119. Bow Mills Bank Stock [C]		None	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

120. Bank of Am. Corp. [C]	A	Dividend	J	T					
121. Burlington Resources, Inc. [C]	A	Dividend			Sold	4/4	K	E	Mkt
122. Dow Chemical Co. [C]	A	Dividend			Sold	6/13	J	A	Mkt
123. Lowes Cos., Inc. [C]	A	Dividend	J	T					
124. N.Y. Comm. Bancorp, Inc. [C]	A	Dividend			Sold	6/13	J	A	Mkt
125. BP PLC ADR [C]	A	Dividend	K	T					
126. Fed. Prime Obig. MM Fund [C]	A	Dividend	J	T					
127. Allied Capital Corp [C]	A	Dividend	J	T					
128. Wellpoint, Inc. [C]	A	Dividend	K	T					
129. Kinder Morgan Energy Ptnrs. LP [C]	A	Dividend	J	T					
130. Telecom New Zealand [C]	A	Dividend			Sold	7/27	J	A	Mkt
131. Ishares FTSE/XINHUA China Index [C]	A	Dividend			Sold	6/13	J	B	Mkt
132. Telefonos De Mexico [C]	A	Dividend			Sold	2/17	J	B	Mkt
133. Amgen, Inc. [C]	A	Dividend			Sold	6/13	J	A	Mkt
134. SLM Corp OPI Linked [C]	A	Dividend			Sold	5/26	J	A	Mkt
135. Toyota Motor Corp. [C]	A	Dividend			Sold	6/13	J	B	Mkt
136. CVS Corp. [C]	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. United Health Group [C]	A	Dividend	J	T					
138. XTO Energy Inc. [C]	A	Dividend			Sold	6/13	J	A	Mkt
139. Cemex S.A. [C]	A	Dividend	K	T					
140. Peabody Energy Corp. [C]	A	Dividend			Sold	9/15	J	A	Mkt
141. WalMart Stores Inc. [C]	A	Dividend			Sold	1/9	J	A	Mkt
142. American Eagle Outfitters Inc. [C]	A	Dividend			Sold	4/6	J	B	Mkt
143. Tele Norte Leste Particip [C]	A	Dividend			Sold	11/9	K	A	Mkt
144. Suncor Energy [C]	A	Dividend	J	T					
145. Aegon NV Ord [C]	A	Dividend			Sold	3/23	J	C	Mkt
146. Verizon Comm. Inc. [C]	A	Dividend			Sold	5/24	J	A	Mkt
147. Tyco Intl Inc. [C]	A	Dividend			Sold	2/14	J	A	Mkt
148. SLM Corp. [C]	A	Dividend			Sold	5/26	J	A	Mkt
149. James River Coal Inc. [C]	A	Dividend			Sold	3/23	K	A	Mkt
150. Citigroup Inc. [C]	A	Dividend	J	T					
151. UST Inc. [C]	A	Dividend			Sold	6/13	J	A	Mkt
152. New York Comm Bankcorp [C]					Addl. Buy	1/23	J		Mkt
153. Telenorte Leste [C]					Addl. Buy	1/23	J		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Rediff. Com India Ltd [C]					Buy	1/30	J		Mkt
155. Rediff. Com India Ltd [C]		None			Sold	3/23	J	B	Mkt
156. Massey Energy Co [C]					Buy	3/13	K		Mkt
157. Massey Energy Co [C]	A	Dividend			Sold	9/18	J	A	Mkt
158. American Cap Str Ltd. [C]	A	Dividend	J	T	Buy	3/21	J		Mkt
159. NFJ Dvd Int & PR FD [C]	A	Dividend	K	T	Buy	3/24	J		Mkt
160. Intel Corp [C]					Buy	3/29	K		Mkt
161. Intel Corp [C]	A	Dividend			Sold	6/13	J	A	Mkt
162. Enterprise Prod Pt [C]	A	Dividend	J	T	Buy	3/30	J		Mkt
163. Conoco Phillips [C]					Buy	4/4	J		Mkt
164. Conoco Phillips [C]		None			Sold	11/14	J	A	Mkt
165. Tupperware Brands [C]					Buy	4/6	J		Mkt
166. Tupperware Brands [C]	A	Dividend			Sold	9/6	K	A	Mkt
167. Medtronic Inc [C]	A	Dividend	J	T	Buy	4/20	J		Mkt
168. Ing Global Eq [C]	A	Dividend	K	T	Buy	4/27	K		Mkt
169. United Health Group [C]	A	Dividend	J	T	Buy	4/27	J		Mkt
170. Am. Intl Grp Inc. [C]	A	Dividend	J	T	Buy	4/27	J		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
171. Blackrock En. Div. Tr. [C]	A	Dividend	K	T	Buy	4/27	K		Mkt
172. Bausch & Lomb [C]					Buy	4/27	J		Mkt
173. Bausch & Lomb [C]	A	Dividend			Sold	6/9	J	A	Mkt
174. MFS Intl Div FD [C]	A	Dividend	K	T	Buy	4/27	K		Mkt
175. Amgen [C]					Buy	5/15	J		Mkt
176. Amgen [C]	A	Dividend			Sold	6/13	J	A	Mkt
177. Telecom NZ [C]					Buy	5/15	J		Mkt
178. Telecom NZ [C]	A	Dividend			Sold	7/27	J	A	Mkt
179. Peabody Energy [C]					Buy	5/15	J		Mkt
180. Peabody Energy [C]	A	Dividend			Sold	9/15	J	A	Mkt
181. ALCOA [C]					Buy	6/28	K		Mkt
182. ALCOA [C]	A	Dividend			Sold	10/30	K	A	Mkt
183. Agree Realty [C]	A	Dividend	J	T	Buy	6/30	J		Mkt
184. Chesapeake Energy [C]	A	Dividend	J	T	Buy	7/17	J		Mkt
185. Citizens Commo [C]	A	Dividend	J	T	Buy	7/18	J		Mkt
186. Ingersoll Rand [C]					Buy	8/9	J		Mkt
187. Ingersoll Rand [C]	A	Dividend			Sold	11/9	J	A	Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. Joy Global [C]	A	Dividend	J	T	Buy	8/9	J		Mkt
189. Cohen & Steers Prem Rlty FD [C]	A	Dividend	K	T	Buy	9/1	J		Mkt
190. Cohen & Steers Select Util Fund Inc. [C]	A	Dividend	J	T	Buy	10/11	J		Mkt
191. Penn VA Res. Ptnrs. [C]	A	Dividend	J	T	Buy	10/11	J		Mkt
192. Alaska Comm. Sys [C]	A	Dividend	J	T	Buy	10/11	J		Mkt
193. Southern Copper Corp [C]					Buy	11/1	J		Mkt
194. Southern Copper Corp [C]		None			Sold	11/16	J	A	Mkt
195. Nordic Am Tanker Ltd [C]	A	Dividend	K	T	Buy	11/15	K		Mkt
196. GMH CMNTYS Tr [C]	A	Dividend	J	T	Buy	12/7	J		Mkt
197. Alpine Ser Tr [C]	A	Dividend	J	T	Buy	12/19	J		Mkt

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART I. POSITIONS.

Pleasant Lake Air, Inc., LLC, is a corporation formed to own a Cessna 182 Skylane single engine private airplane. I own a one-half interest in the corporation (and thus the airplane).

PART VI. LIABILITIES.

I own a [REDACTED] with a former law partner, Edward E. Shumaker, III, as [REDACTED] which property is mortgaged in part. That liability is not disclosed since it is secured by real property which is a [REDACTED]

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

NOTE: IF A PERSON FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544