

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Harwell, Robert B.	2. Court or Organization U.S. District Court of S.C.	3. Date of Report 4/30/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 401 West Evans Street McMillan Federal Bldg Florence, SC 29501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Officer/Director	Farms and Investment, Inc.
2. Trustee	Trust #1
3. Custodian	Custodial Account #1
4. Custodian	Custodian Account #2
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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Harwell, Robert B.

FINANCIAL DISCLOSURE REPORT
Page 2 of 10

Name of Person Reporting
Harwell, Robert B.

Date of Report
4/30/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	SC Bar Association	1/23 to 1/24/2009	Myrtle Beach, SC	SC Bar Meeting	Lodging
2.	SC Assoc. for Justice	8/6 to 8/9/2009	Hilton Head, SC	Annual Convention	Lodging and seminar registration
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT
Page 3 of 10

Name of Person Reporting
Harwell, Robert B.

Date of Report
4/30/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting
Harwell, Robert B.

Date of Report
4/30/2010

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Charles Schwab (sweep accts)	C	Interest	M	T					
2. Wachovia Bank Common Stock	A	Dividend	J	T					
3. Wells Fargo & Co. Common Stock	A	Dividend	J	T					
4. Autoliv Inc. Common Stock	A	Dividend	J	T					
5. Rhom & Haas Inc. Common Stock	A	Dividend	J	T					
6. The South Financial Group Common Stock	A	Dividend	J	T					
7. Wachovia Bank (various accts)	C	Interest			Closed	02/09/09	M	B	
8. First Reliance Bank (CD)	B	Interest	M	T					
9. First Federal (CD) X	C	Interest	M	T					
10. South Carolina Bank & Trust (CD)	C	Interest	M	T					
11. Citizens Bank (CD)	A	Interest	M	T					
12. Anderson Brothers Bank (CD)	A	Interest	M	T					
13. Carolina Bank (CD)	C	Interest	M	T					
14. National Bank of South Carolina(CD)	A	Interest	L	T					
15. BB&T Bank (various accts)	D	Interest	M	T					
16. Farms & Investment 12 1/2% 12/31/04 compiled \$31M	B	Dividend	K	U					
17. PCDG, LLC (10%) 1998 & 2000 \$32,000		None	K	R					

1. Income Gain Codes. (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
Page 5 of 10

Name of Person Reporting
Harwell, Robert B.

Date of Report
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
18. Charles Schwab IRA #1	B	Dividend	M	T					
19. -Charles Schwab Cash Fund									
20. -Dimensional Fund Advisors Intl Small Co Port									
21. -Dimensional Fund Advisors Intl Value III Port									
22. -Dimensional Fund Advisors Large Cap Intl Port									
23. -Dimensional Fund Advisors Large Cap Value III Port									
24. -Dimensional Fund Advisors US Large Co Instl Index Port									
25. -Dimensional Fund Advisors US Small Cap Port									
26. -Dimensional Fund Advisors US Small Cap Value									
27. Charles Schwab IRA#2	B	Dividend	N	T					
28. -Charles Schwab Cash Fund									
29. -Dimensional Fund Advisors Intl Small Co Port									
30. -Dimensional Fund Advisors Intl Value III Port									
31. -Dimensional Fund Advisors Large Cap Intl Port									
32. -Dimensional Fund Advisors Large Cap Value III									
33. -Dimensional Fund Advisors US Lg Co. Inst Index Port									
34. -Dimensional Fund Advisors US Small Cap Port									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Harwell, Robert B.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. -Dimensional Fund Advisors US Small Cap Value									
36. Charles Schwab IRA#3	A	Dividend	K	T					
37. -Charles Schwab cash fund									
38. -Dimensional Fund Advisors US Small Cap Port									
39. Trust #1	C	Dividend	M	T					
40. -Cash Fund-Charles Schwab									
41. -BB&T Corp Common Stock									
42. -Vanguard short-term treasury									
43. Berkeley Co SC Zero 6/1/12	C	Interest	L	T					
44. Clemson University, SC Zero 5/1/10	B	Interest	L	T					
45. Piedmont Mun. Power Zero 1/1/13	A	Interest	K	T					
46. Piedmont Mun. Power Zero 1/1/13	A	Interest	J	T	Matured (part)	01/01/09	J	A	
47. Piedmont Mun Power Zero 1/1/15	C	Interest	L	T					
48. Richland Co SC Zero 8/1/09	A	Interest			Matured	08/01/09	L	A	
49. SC Zero 7/1/12	B	Interest	L	T					
50. Three Rivers Solid Zero 1/1/09	B	Interest			Matured	01/01/09	K	B	
51. Three Rivers Solid Zero 1/1/11	B	Interest	K	T					

1. Income Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Harwell, Robert B.

Date of Report

4/30/2010

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Three Rivers Solid Zero 1/1/12	B	Interest	K	T					
53. Three Rivers Solid Zero 1/1/13	B	Interest	K	T					
54. Dimensional Fund Advisors Tax Man US Mktwde	A	Dividend	J	T					
55. Rental Prop North Myrtle Beach, SC (2003,\$238,600)	D	Rent	M	R					
56. [REDACTED] Prop (Cherry Grove, SC) 49% (\$243,000)		None	M	U					
57. -on 2004 tax return)									
58. College Bound Fund #1, Alliance Bernstein Mutual Funds	A	Dividend	J	T					
59. -CBF Appreciation Port Alt Bx									
60. College Bound Fund #2, Alliance Bernstein Mutual Funds	A	Dividend	J	T					
61. -CBF Appreciation Port Alt BX									
62. SC Future Scholar Plan #1, Columbia Mutual Funds		None	K	T					
63. -Bond Port-A									
64. -Large Cap Core Port-A									
65. -Mid Cap Growth Port-A									
66. -Small Cap Growth Port-A									
67. SC Future Scholar Plan #2, Columbia Mutual Funds		None	J	T					
68. -Bond Port-A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting
Harwell, Robert B.

Date of Report
4/30/2010

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. -Large Cap Core Port-A									
70. -Mid Cap Grow Port-A									
71. -Small Cap Growth Port-A									
72. [REDACTED], Florence, SC (1999 - \$125,000)		None	M	R					
73. Custodial Account#1		None	J	T					
74. -The South Financial Group Common Stock									
75. Custodial Acct#2		None	J	T					
76. -The South Financial Group Common Stock									
77. [REDACTED] Florence, SC (2006 \$70,000)		None	L	R					
78. SC Tuition Prepayment Program #1 (no control)		None	K	T					
79. SC Tuition Prepayment Program #2 (no control)		None	K	T					

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FINANCIAL DISCLOSURE REPORT
Page 9 of 10

Name of Person Reporting

Harwell, Robert B.

Date of Report

4/30/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT
Page 10 of 10

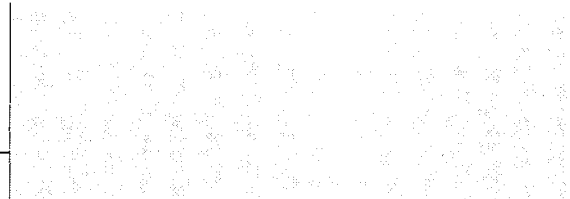
Name of Person Reporting	Date of Report
Harwell, Robert B.	4/30/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544