

United States Court of Appeals
for the Tenth Circuit

File
COPY

CARLOS F. LUCERO
CIRCUIT JUDGE

1823 STOUT STREET
DENVER, COLORADO 80257
303-844-2200
FAX 844-2201

May 18, 2006

Committee On Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E., Suite 2-301
Washington, D.C. 20544

SELF INITIATED
AMENDMENT

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DISCLOSURE OFFICE

Ladies and Gentlemen:

It is my understanding from a conversation with the staff of the Committee following receipt of Chairman Ortrie D. Smith, dated May 16, 2006, that judging at a moot court competition sponsored by a law school is an "expense paid seminar" that requires reporting on Section IV of our Financial Disclosure Statements. This has not been my previous understanding of the rule or the examples provided.

Enclosed in quadruplicate are amended parts IV to this year's Financial Disclosure, as well as amended parts IV for the reporting years 2000, 2001, 2002 and 2004.

Yours truly,



Carlos F. Lucero

CFL/sm
enclosures

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

LUCERO, CARLOS F

Date of Report

5/18/06

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	RECEIVED 2006 MAY 19 AM 11:27 FINANCIAL DISCLOSURE OFFICE
1.		
2.		
3.		
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5.		

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Duke University Law School	Moot Court Competition
2. University of Pennsylvania Law School	Participation in Latin American Law School Association Program
3. Cornell University Law School	Moot Court Competition
4. Yale University Law School	Moot Court Competition
5. George Washington University Law School	Participation in Symposium on the Legacy of the Rehnquist Court
6. University of California at Los Angeles	Moot Court Competition

2004
FINANCIAL DISCLOSURE REPORT
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AMENDED

Name of Person Reporting
LUCERO, CARLOS F

Date of Report
5/18/06

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
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3.	
4.	
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IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Bar Association	Participation with Justice Breyer, et al., on the ABA Commission program to Commemorate the 50th Anniversary of Brown v Board of Ed.
2.	
3.	
4.	
5.	
6.	

2002

AMENDED

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

LUCERO, CARLOS F

Date of Report

5/18/06

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

5.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1.

2.

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IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*SOURCEDESCRIPTION

1. Cornell University Law School

Moot Court Competition

2.

3.

4.

5.

6.

2001

AMENDED

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

LUCERO, CARLOS F

Date of Report

5/18/06

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

5.

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)☒ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE

1.

2.

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4.

5.

RECEIVED
2006 MAY 19 A 11:27
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DISCLOSURE OFFICE**IV. REIMBURSEMENTS** - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*SOURCEDESCRIPTION

1. University of Southern California Law School

Moot Court Competition

2.

3.

4.

5.

6.

2000
FINANCIAL DISCLOSURE REPORT
Page 2 of 9

AMENDED

Name of Person Reporting

LUCERO, CARLOS F

Date of Report

5/18/06

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
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B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS *— transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Notre Dame University Law School	Moot Court Competition
2. George Washington University Law School	Moot Court Competition
3. American Bar Association	Appellate Judges Planning Conference
4.	
5.	
6.	

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**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2005**

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle Initial) LUCERO, CARLOS F	2. Court or Organization US COURT OF APPEALS-10TH CIR	3. Date of Report 05/16/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ARTICLE III JUDGE-ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address 1823 STOUT STREET DENVER, COLORADO 80257	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. PRESIDENT & DIRECTOR

CARLOS F. LUCERO, PROFESSIONAL CORPORATION

2.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

LUCERO, CARLOS F

Date of Report

05/16/2006

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)**B. Spouse's Non-Investment Income -** *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*DATESOURCE AND TYPE**IV. REIMBURSEMENTS** – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*SOURCEDESCRIPTION

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

LUCERO, CARLOS F

Date of Report

05/16/2006

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE**VI. LIABILITIES.** *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☐ NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. ESTATE OF MARIA MEDINA

INSTALLMENT NOTE TO PURCHASE 1/2 INTEREST IN
[REDACTED] RIO ARriba COUNTY, N.M.

K

2.

3.

4.

5.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

LUCERO, CARLOS F

Date of Report

05/16/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. COMMERCIAL BUILDING, ALAMOSA COUNTY, CO	E	Rent	M	W					
2. APARTMENTS; ALAMOSA COUNTY, CO	E	Rent	M	W					
3. 1/4 SECTION FARM LAND; CONEJOS COUNTY, CO	A	Rent	K	W					
4. SINGLE UNIT RENTAL. ALAMOSA COUNTY, CO		None	K	W	SEE * 4				
5. 1/2 INTEREST IN RIO ARRIBA COUNTY, NM		None	L	R	SEE * 5				
6. VACAANT LOT; JEFFERSON COUNTY, CO		None	L	W					
7. LOS HERMANOS LUCEROS, LTD PARTNERSHIP (14% INTEREST)	E	Dividend	M	U	SEE * 1				
8. CARLOS F, LUCERO, P.C. (100% STOCK)	E	Dividend	J	U	SEE * 2				
9. LCM, INC. (100% MUTUAL FUNDS)	E	Dividend	O	T	SEE * 3				
10. TD WATERHOUSE, IRA BROKERAGE ACCOUNT									
11. --DWS A FLAG INVESTORS COMMUN.					BUY	01/11	K		
12. --DWS A FLAG INVESTORS COMMUN.					SELL	03/07	K		
13. --DWS A MICRO CAP					SELL	01/11	K		
14. --EMERALD BANKING & FINANCE					SELL	02/23	L	A	
15. --ICON HEALTHCARE FUND	E	Dividend	J	T	BUY	02/24	L		
16. --ICON HEALTHCARE FUND					BUY	03/08	K		
17. --SHARES MSCI EMERGING MARKET INDEX					SELL	03/29	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

LUCERO, CARLOS F

Date of Report

05/16/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --ISHARES MSCI JAPAN FUND					BUY	11/08	L		
19. --ISHARES RUSSELL 1000 GROWTH INDEX	A	Dividend	L	T	BUY	06/03	L		
20. --ISHARES S&P LATIN AMERICA 40 INDEX					SELL	04/15	K		
21. --ISHARES S&P LATIN AMERICA 40 INDEX					SELL	03/23	K		
22. --ISHARES S&P LATIN AMERICA 40 INDEX					BUY	06/03	K		
23. --ISHARES S&P LATIN AMERICA 40 INDEX					SELL	10/20	L	D	
24. --RYDEX 1 INVERSE RUSSELL 2000					BUY	08/25	L		
25. --RYDEX 1 INVERSE RUSSELL 2000					SELL	08/31	L		
26. --RYDEX 1 INVERSE RUSSELL 2000					BUY	10/05	L		
27. --RYDEX 1 INVERSE RUSSELL 2000					SELL	11/02	L		
28. --RYDEX 1 SMALL CAP GROWTH					BUY	06/03	L		
29. --RYDEX 1 SMALL CAP GROWTH					SELL	08/25	L	C	
30. --RYDEX 1 SMALL CAP GROWTH					BUY	11/02	L		
31. --RYDEX 1 SMALL CAP FUND					BUY	08/31	L		
32. --RYDEX 1 SMALL CAP VALUE					SELL	10/05	L		
33. --SUN AMERICA A FOCUS MULTICAP VALUE FUND					BUY	02/23	L		
34. --SUN AMERICA A FOCUS MULTICAP VALUE FUND					SELL	04/15	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

LUCERO, CARLOS F

Date of Report

05/16/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. --SUN AMERICA A FOCUS TECHNET					SELL	02/23	L		
36. TD WATERHOUSE BROKERAGE ACCOUNT									
37. --BRAZOS MUT FDS MICRO CAP PORTFOLIO CL Y	A	Dividend			SELL	05/13	K	A	
38. --DIAMOND HILL FOCUS LONG SHORT FUND CL A	A	Dividend	K	T	BUY	02/25	K		
39. --EMERALD MUTUAL FUNDS SELECT BKG & FIN FD CL A	A	Dividend			SELL	02/23	K	A	
40. --HARRIS ASSOC INVT TR-OAKMARK SELECT FUND	A	Dividend			SELL	10/13	L	C	
41. --HUSSMAN INVT TR-STRATEGIC GROWTH FUND	C	Dividend	K	T					
42. --ICON FUND-LONG/SHORT FUND CL I	A	Dividend			SELL	10/13	L	A	
43. --ICON FUND-HEALTHCARE FUND	A	Dividend	K	T					
44. --LEUTHOLD GRIZZLEY SHORT FUND					BUY	10/26	L		
45. --LEUTHOLD GRIZZLEY SHORT FUND					SELL	12/13	K		
46. --LEUTHOLD CORE INV FUND	C	Dividend	L	T	BUY	10/17	L		
47. --PIMCO A ALL ASSET FUND	A	Dividend			BUY	06/02	K		
48. --PIMCO A ALL ASSET FUND					SELL	12/12	K		
49. --RYDEX SER FD-INVERSE SMALLCAP FUND CL H	A	Dividend	K	T	BUY	12/30	K		
50. CHARLES SCHWAB & CO, INC. BROKERAGE ACCOUNT									
51. --FIRST DATA CORPORATION	A	Dividend			SELL	04/07	K	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

LUCERO, CARLOS F

Date of Report

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. --SCHWAB 1000 INDEX FUND		None			SELL	05/31	K	C	
53. EQUITABLE LIFE ASSURANCE SOCIETY - ANNUITY	D	Dividend	M	W	SEE * 2				
54. SAFECO LIFE INSURANCE COMPANY - ANNUITY	D	Dividend	M	W	SEE * 2				
55. COLORADO BONDSHARES	E	Dividend	N	T					
56. LCM 3, LLC (SEE * 6)		None	J	U	BUY	05/28	K		
57.									
58.									
59.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

LUCERO, CARLOS F

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

- *1. Assets over \$ 10,000 value consist of [REDACTED] ranch, land, water rights, equipment, livestock, and related property.
- *2. Assets over \$ 10,000 value consist of law partnership income earned prior to June 30, 1995 in the form of annuities. For tax purposes, annuity income is reported by CFL, P.C.
- *3. Assets over \$ 10,000 value consists of mutual funds.
- *4. House was destroyed by fire on July 25, 2004. Insurance company paid \$ 95,206 for damages in 2004 and \$ 5,823 in 2005.
- *5. Land was purchased on January 1, 2003 for \$ 50, 174 from the estate of Maria Medina. Property subject to mortgage (Part VI, Line 1).
- *6. Assets of LCM 3 llc consist of oil/gas investments in Avanti Exploration, LLC.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

LUCERO, CARLOS F

Date of Report

05/16/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/15/2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544