

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) WOLLMAN, ROGER L	2. Court or Organization U.S.C.A.-EIGHTH CIRCUIT	3. Date of Report 4/23/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (ACTIVE)	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 315 U.S. COURTHOUSE 400 S. PHILLIPS AVENUE SIOUX FALLS, SD 57104-6851	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Personal Representative	Estate of Deceased [REDACTED]
2. Power of Attorney	[REDACTED] Member

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	As a former member of the South Dakota State Judiciary, I have a vested interest in the South Dakota Retirement System. South Dakota (cont'd Part V)

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WOLLMAN, ROGER L

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4/28/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (years, not spouse's)
1. 2003	Retirement benefits from S.D. Retirement System	29,511.48

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Society of International Law	October 30 -November 1, Washington, DC, Advisory Board Meeting (transportation, meals, and room)
2. Marshall-Wythe School of Law Foundation -- College of William and Mary	November 13-15, Williamsburg, VA, Symposium on Dual Enforcement of Constitutional Norms (transportation, meals, and room)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Unimproved pasture and crop land in Spink County, SD	D	Rent-Crop	M	W					
2.		Shares-ASCS							
3.		Payments							
4. Unimproved pasture and crop land in Spink County, SD (own	B	"	K	W					
5. an undivided 1/5 interest as tenant in common)									
6. Undivided 1/5 interest in farmland described immediately	B	"	K	W					
7. above									
8. American Century Investments Select Mutual Fund, IRA		None	K	T					
9. Patronage account in SD Wheatgrowers Ass'n, Tulare, SD	A	Patronage	J	T					
10.		Earnings.							
11.		Dividends							
12. Interest in Wisconsin Educators Tax Sheltered Annuity Trust	A	Interest	J	T					
13. Fidelity Magellan Mutual Fund	A	Dividend	L	T					
14. Janus Venture Fund-Mutual Fund		None	M	T					
15. Farm Machinery		None	J	W					
16. American Century Investments Select Mutual Fund, IRA		None	L	T					
17. Nicholas II, Inc.-Mutual Fund		None	L	T					
18. American Century Investments Select Mutual Fund		None	K	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting
WOLLMAN, ROGER L

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. Accounts, Wells Fargo Bank, Sioux Falls, SD	D	Interest	O	T					
20. Janus Enterprise Fund-Mutual Fund		None	J	T					
21. Vanguard Index Balanced Fund-Mutual Fund	A	Dividend	K	T					
22. Vanguard 500 Index Fund - Mutual Fund	B	Dividend	M	T					
23. Vanguard Total Stock Market Index Fund - Mutual Fund	B	Dividend	M	T					
24. Northwestern Mutual Life Insurance Co.-Whole Life	C	Dividend	L	T					
25. American United Life Insurance Co.-Whole Life	A	Dividend	J	T					
26. I am the personal representative of a deceased									
27. member.									
28. The remaining asset of the estate consisted of an account in	A	Interest	J	T	Rdeem-Distr.	5-28	J	A	
29. Community First National Bank.									
30. Beginning on 11-9-02 and through 2-28-03, I exercised a									
31. power of attorney on behalf of a									
32. The assets covered by the power are as follows:									
33. Community First National Bank-Account	A	Interest	L	T					
34. Vanguard 500 Index Mutual Fund	A	Dividend	J	T					
35. Franklin Templeton Accumulator Mutual Fund	A	Dividend	K	T					
36. Franklin Templeton Accumulator Mutual Fund (IRA)	A	Dividend	J	T					

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. American Century Select Mutual Fund (IRA)	A	Dividend	J	T					
38. Undivided 1/5 interest in unimproved Spink Co., SD, farmland	B	Rent	K	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Section II: Parties and Terms, continued ...

Codified Laws Chapter 3-12. Upon my resignation from the Supreme Court of South Dakota on September 5, 1985, I left my contributions in the System. I began drawing retirement benefits in May 1989. These benefits will continue until my death. Thereafter, my [REDACTED], if she survives me, will receive one-half of the benefits I was receiving at the time of my death.

Section VII: Investments and Trusts

As indicated on page 2, the remaining asset of the estate of the deceased [REDACTED] member was distributed on May 28, 2003. My duties as a personal representative are at an end, and I will not show this representative capacity in future reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date April 28, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544