

AO-10

Rev. 1/2004

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Wake, Neil V	2. Court or Organization U.S. District Court, Arizona	3. Date of Report 8/12/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5. ReportType (check appropriate type) ☐ Nomination, Date 10/22/2003 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address 401 W. Washington St., SPC 52 Phoenix, AZ 85003	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Proprietor	Law Offices of Neil Vincent Wake
2. Trustee	Trust # 1
3. Trustee	Trust # 2
4. Trustee	Trust # 3
5.	
6. Chair	Appellate Practice Section, State Bar Association of Arizona
7. Trustee	Law Offices of Neil Vincent Wake 401K Profit Sharing Plan
8. Trustee	Law Offices of Neil Vincent Wake Money Purchase Plan

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 2004	Law Offices of Neil Vincent Wake (law practice)	259,618
2.		
3.		

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2004	Self-employed attorney and mediator

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☐ **NONE** - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	James and Arlene Baker (former clients, gift at investiture)	fountain pen	\$520
2.	James and Arlene Baker (former clients, gift at investiture)	dinner gift certificate	\$100

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Wells Fargo accounts	B	Interest	M	T					
2. Law Offices of Neil V. Wake (ceased business)		None							
3. [REDACTED] prof. corp (formerly mistakenly listed & by her name)		None							
4. Apache Peak Inv., LLC	C	Distribution	M	U					
5. Cowley-BGWM LLC	D	Distribution	K	U					
6. Interstate Ventures V Ltd. Pship	C	Distribution	L	W					
7. Winthrop Residential Associates II (LP) (liquidated 12-04)	A	Distribution			Disolved				
8. 401(K) Plan									
9. -Mamlife Lifestyle Growth Fund	D	Dividend	M	T					
10. Trust # 1 (trustee)									
11. -Merrill Lynch acct	A	Interest	K	T					
12. -Reimanschneider note (paid 5-04)	D	Interest			Paid 5-20				
13. -Bank of N Y Co. Inc. common stock (BK) (sold 12-28-03)		None							
14. -Pfizer Inc Del PV (PFE)		None	J	T	Sold part	2-4	J	A	
15. -Pfizer Inc Del PV (PFE)		None			Sold	3-31	K	A	
16. -Lucent Technologies Inc (LU) (X)			L	T					
17. -Interstate Vent. V PL. (omitted before by error)		None	K	T					

1. Income/Gain Codes:	A - \$1,000 or less	B - \$1,001-\$2,500	C - \$2,501-\$5,000	D - \$5,001-\$15,000	E - \$15,001-\$50,000
(See Columns B1 and D4)	F - \$50,001-\$100,000	G - \$100,001-\$1,000,000	H1 - \$1,000,001-\$5,000,000	H2 - More than \$5,000,000	
2. Value Codes:	J - \$15,000 or less	K - \$15,001-\$50,000	L - \$50,001-\$100,000	M - \$100,001-\$250,000	
(See Columns C1 and D3)	N - \$250,000-\$500,000	O - \$500,001-\$1,000,000	P1 - \$1,000,001-\$5,000,000	P2 - \$5,000,001-\$25,000,000	
	P3 - \$25,000,001-\$50,000,000		P4 - \$More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash/Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

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18. Trust # 2 (trustee)									
19. -Merrill Lynch Money Market	A	Interest	K	T					
20. -Reinschneider note (paid 5-04)	D	Interest			Paid	5-20			
21. -Bank of NY Co. Inc. common stock (BK)	A	Dividend	J	T					
22. -Pfizer Inc. Del PV (PFE)	A	Dividend	J	T					
23. -Lucent Technologies Inc (LU) (X)			J	T					
24. -Interstate Vent. V PL (omitted before by error)	B	Distribution	K	T					
25. Trust # 3 (trustee)									
26. -Gary & Eliz Loveness note		None	L	T					
27. -Manulife Ins. whole life ins		None	L	T					
28. Brokerage Acct # 1									
29. -Liquid Asset Fund (ALFA)	A	Interest	J	T					
30. -Manulife Financial Corp. common stock	A	Dividend			Sold	5-18	K	C	
31. -MS Growth Fund B		None			Sold	5-21	J	A	
32. -MS Global Dividend Grwth Sec B		None			Sold	5-21	J	A	
33. -MS New Discoveries Fund C (X-sold in 2003)		None							
34. -MS Capital Opportunities TR B		None			Sold	5-21	J	A	
35. -MS Japan Fund B		None			Sold	5-21	J	A	

1. Income/Gain Codes	A - \$1,000 or less (See Columns B1 and D4)	B - \$1,001-\$2,500	C - \$2,501-\$5,000	D - \$5,001-\$15,000	E - \$15,001-\$50,000
2. Value Codes	F - \$50,001-\$100,000 (See Columns C1 and D3)	G - \$100,001-\$1,000,000	H1 - \$1,000,001-\$5,000,000	H2 - More than \$5,000,000	
3. Value Method Codes	J - \$15,000 or less (See Column C2)	K - \$15,001-\$50,000	L - \$50,001-\$100,000	M - \$100,001-\$250,000	
	N - \$250,000-\$500,000	O - \$500,001-\$1,000,000	P1 - \$1,000,001-\$5,000,000	P2 - \$5,000,001-\$25,000,000	
	P3 - \$25,000,001-\$50,000,000		P4 - More than \$50,000,000		
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36. --Developing Grw Sec. B (left out in prior report)		None			Sold	5-21	J	A	
37. Brokerage Acct # 2 (acct closed 10-31-04)									
38. --Amgen Inc common stock		None			Sold	3-24	J	A	
39. --Analog Devices Inc common stock		None			Sold	5-14	J	A	
40. --Applied Materials Inc common stock		None			Sold	5-14	J	A	
41. --Avon Products Inc common stock (X-sold in 2003)		None							
42. --Bank of America Corp common stock (X-sold in 2003)		None							
43. --Becton Dickinson & Co common stock (X-sold in 2003)		None							
44. --Bed Bath & Beyond Inc common stock		None			Sold	5-14	J	A	
45. --Bell South Corp common stock (X-sold in 2003)		None							
46. --Best Buy Co common stock		None			Sold	5-14	J	A	
47. --Charles Schwab Corp common stock		None			Sold	5-14	J	A	
48. --Clear Channel Communication common stock (X-sold in 2003)		None							
49. --Costco Wholesale Corp common stock		None			Sold	5-14	J	A	
50. --Dell Inc common stock		None			Sold	5-14	J	A	
51. --EMC Corp Mass common stock		None			Sold	5-14	J	A	
52. --Gannett Co Inc DE common stock (X-sold in 2003)		None							
53. --Gilead Science common stock		None			Sold	5-14	J	A	

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2. Value Codes:	J - \$15,000 or less	K - \$15,001-\$50,000	L - \$50,001-\$100,000	M - \$100,001-\$250,000	
(See Columns C1 and D3)	N - \$250,000-\$500,000	O - \$500,001-\$1,000,000	P1 - \$1,000,001-\$5,000,000	P2 - \$5,000,001-\$25,000,000	
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54. -Ill Tool Works Inc common stock (X-sold in 2003)		None							
55. -Intuit Inc common stock (X-sold in 2003)		None							
56. -Marsh & McLerman Cos Inc common stock (X-sold in 2003)		None							
57. -Maxim Integrated Products Inc common (X-sold in 2003)		None							
58. -Medtronic Inc common stock		None			Sold	5-14	J	A	
59. -Microsoft Corp common stock		None			Sold	2-5	J	A	
60. -Northern Trust Corp common stock		None			Sold	3-26	J	A	
61. -Novellus System common stock		None			Sold	5-14	J	A	
62. -Omnicom Group common stock		None			Sold	5-14	J	A	
63. -Oracle Corp common stock		None			Sold	2-5	J	A	
64. -Robert Half Int common stock		None			Sold	5-14	J	A	
65. -Saino Holdings CT common stock (X-sold in 2003)		None							
66. -Southwest Airlines common stock		None			Sold	5-14	J	A	
67. -Starbucks Corp Washington common stock		None			Sold	5-14	J	A	
68. -Starwood Hls & Rsts WW Inc common stock		None			Sold	5-14	J	A	
69. -Sungard Data Systems Inc common stock		None			Sold	5-14	J	A	
70. -United Parcel Service Inc CL-B comm (X-sold in 2003)		None							
71. -Unitedhealth Gp Inc common stock		None			Sold	1-30	J	A	

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2. Value Codes:	I - \$13,000 or less	K - \$15,001-\$50,000	L - \$50,001-\$100,000	M - \$100,001-\$250,000	
(See Columns C1 and D3)	N - \$250,000-\$500,000	O - \$500,001-\$1,000,000	P1 - \$1,000,001-\$5,000,000	P2 - \$5,000,001-\$25,000,000	
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72. -Univision Omm Inc Class A common stock		None			Sold	5-14	J	A	
73. -Veritas Software DE		None			Sold	5-14	J	A	
74. -Walgreen Co common stock		None			Sold	2-26	J	A	
75. -Wells Fargo & Co New common stock		None			Sold	5-14	J	A	
76. -Xilinx Inc common stock		None			Sold	5-14	J	A	
77. -Activision Inc		None	J	T	Buy	2-5	J		
78. -Activision Inc		None			Sell	5-14	J	A	
79. -Altera CP (X)		None			Sold	5-14	J	A	
80. -Ambac Financial Gp		None	J	T	Buy	2-6	J		
81. -Ambac Financial Gp		None			Sold	4-8	J	A	
82.		None	J	T	Buy	4-20	J		
-Apollo Cp Inc		None			Sold	5-19	J	A	
84. -Bear Stearns Cos Inc		None	T	T	Buy	4-20	T		
85. -Bear Stearns Cos Inc		None			Sold	5-14	J	A	
86. -Capitol One Financial Corp (X)		None			Sold	4-22	J	A	
-Ebay Inc		None	J	T	Buy	1-12	J	A	
88. -Ebay Inc		None			Sold	5-14	J	A	
89. -Edwards AG Inc		None	J	T	Buy	3-26	J		

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
90. -Edwards AG Inc		None	J	T	Sold	5-14	J	A	
91. -Eon Labs Inc		None	J	T	Buy	2-5	J		
92. -Eon Labs Inc		None			Sold	5-14	J	A	
93. -EsteeLauder Co Inc (X)		None			Sold	5-14	J	A	
94. -Fannie Mae		None	J	T	Buy	3-5	J		
95. -Fannie Mae		None			Sold	5-14	J	A	
96. -Fastenal Co (X)		None			Sold	5-14	J	A	
97. -Genzyme CP (Gen Div)		None	J	T	Buy	1-30	J	A	
98. -Genzyme CP (Gen Div)		None			Sold	3-9	J	A	
99. -Juniper Networks		None	J	T	Buy	2-26	J	A	
100. -Juniper Networks		None			Sold	5-14	J	A	
101. -MGC Invst Corp (X)		None			Sold	3-5	J	A	
102. -Mylan Lab Inc		None	J	T	Buy	4-22	J	A	
103. -Mylan Lab Inc		None			Sold	5-14	J	A	
104. -Neurocrine Biosciences Inc		None	J	T	Buy	3-9	J		
105. -Neurocrine Biosciences Inc		None			Sold	5-14	J	A	
106. -Siebel Systems Inc (X)		None			Sold	5-14	J	A	
107. -Sybase Inc Del		None	J	T	Buy	4-2	J		

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2. Value Codes:	J - \$15,000 or less	K - \$15,001-\$50,000	L - \$50,001-\$100,000	M - \$100,001-\$250,000	
(See Columns C1 and D3)	N - \$250,000-\$500,000	O - \$500,001-\$1,000,000	P1 - \$1,000,001-\$5,000,000	P2 - \$5,000,001-\$25,000,000	
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108. --Sybase Inc Del		None			Sold	4-2	J	A	
109. --Symantec Corp		None	J	T	Buy	2-5	J		
110. --Symantec Corp		None			Sold	5-14	J	A	
111. --Tech Data Corp		None	J	T	Buy	4-2	J		
112. --Tech Data Corp		None			Sold	5-14	J	A	
113. --Ustarcum Inc (X)		None			Sold	1-12	J	A	
114. --Westwood One Inc (X)		None			Sold	4-20	J	A	
115. --Yahoo Inc		None	J	T	Buy	4-8	J		
116. --Yahoo Inc		None			Sold	5-14	J	A	
117. --Zimmer Holdings Inc		None	J	T	Buy	4-22	J	A	
118. --Zimmer Holdings Inc		None			Sold	5-14	J	A	
119. IRA # 1									
120. --New Growth Sec. Fund A (formerly New Discoveries Fd A)	A	Dividend			Sold	6-21	J	A	
121. --MS Charter Campbell LP		None	J	T	Buy	5-25	J		
122. --MS CharterMSFCM LP		None	J	T	Buy	5-25	J		
123. --MS Charter Graham LP		None	J	T	Buy	5-25	J		
124. IRA # 2					Closed	6-30			
125. --Liquid Asset Fund (MM)	A	Dividend			Sell	6-30	J	A	

1. Income/Gain Codes:	A -- \$1,000 or less	B -- \$1,001-\$2,500	C -- \$2,501-\$5,000	D -- \$5,001-\$15,000	E -- \$15,001-\$50,000
(See Columns B1 and D4)	F -- \$50,001-\$100,000	G -- \$100,001-\$1,000,000	H1 -- \$1,000,001-\$5,000,000	H2 -- More than \$5,000,000	
2. Value Codes:	J -- \$15,000 or less	K -- \$15,001-\$50,000	L -- \$50,001-\$100,000	M -- \$100,001-\$250,000	
(See Columns C1 and D3)	N -- \$250,000-\$500,000	O -- \$500,001-\$1,000,000	P1 -- \$1,000,001-\$5,000,000	P2 -- \$5,000,001-\$25,000,000	
	P3 -- \$25,000,001-\$50,000,000		P4 -- More than \$50,000,000		
3. Value Method Codes:	Q -- Appraisal	R -- Cost (Real Estate Only)	S -- Assessment	T -- Cash/Market	
(See Column C2)	U -- Book Value	V -- Other	W -- Estimated		

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Wake, Neil V

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
126. -American Express (X)	A	Dividend			Sell	5-14	J	A	
127. -Analog Devices Inc common stock	A	Dividend			Sell	5-14	J	A	
128. -Applied Materials Inc common stock	A	Dividend			Sell	5-14	J	A	
129. -Bank of America Corp common stock (X-sold 2003)		None							
130. -Bed Bath & Beyond Inc common stock		None			Sold	5-14	J	A	
131. -Best Buy Co common stock	A	Dividend			Sold	4-29	J	A	
132. -Boston Scientific Corp common stock		None			Sold	2-3	J	A	
133. -Caterpillar Inc (X)	A	Dividend			Sold part	1-7	J	A	
134. -Caterpillar Inc (X)	A	Dividend			Sold	5-14	J	A	
135. -Cisco Systems Inc common stock		None			Sold	5-14	J	A	
136. -Dell Inc common stock		None			Sold	5-14	J	A	
137. -Fedex Corp (X-sold in 2003)		None							
138. -Firsthorizon Financial Corp common stock (X-sold in 2003)		None							
139. -Gilead Science		None			Sold	5-14	J	A	
140. -Hewlett Packard		None			Sold	5-14	J	A	
141. -Honeywell International Inc common stock		None			Sold	1-13	J	A	
142. -Interactive Corp common stock		None			Sold	5-14	J	A	
143. -KLA Tenor Corp common stock	A	Dividend	J	T	Sold	4-21	J	A	

1. Income/Gain Codes:	A - \$1,000 or less	B - \$1,001-\$2,500	C - \$2,501-\$5,000	D - \$5,001-\$15,000	E - \$15,001-\$50,000
(See Columns B1 and D4)	F - \$50,001-\$100,000	G - \$100,001-\$1,000,000	H1 - \$1,000,001-\$5,000,000	H2 - More than \$5,000,000	
2. Value Codes:	I - \$15,000 or less	K - \$15,001-\$50,000	L - \$50,001-\$100,000	M - \$100,001-\$250,000	
(See Columns C1 and D3)	N - \$250,000-\$500,000	O - \$500,001-\$1,000,000	P1 - \$1,000,001-\$5,000,000	P2 - \$5,000,001-\$25,000,000	
	P3 - \$25,000,001-\$50,000,000		P4 - \$More than \$50,000,000		
3. Value Method Codes:	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash/Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
144. -Lowes Companies Inc common stock (X-sold in 2005)		None							
145. -Merrill Lynch & Co. Inc common stock	A	Dividend			Sold	5-14	J	A	
146. -Microsoft Corp common stock		None			Sold	3-9	J	A	
147. -Morgan Stanley common stock		None			Sold	4-22	J	A	
148. -Nokia CP ADR common stock		None			Sold	5-6	J	A	
149. -Pfizer Inc common stock		None			Sold	5-14	J	A	
150. -Qualcomm Inc common stock		None			Sold	1-13	J	A	
151. -Teva Pharmaceuticals ADR common stock		None			Sold	5-14	J	A	
152. -Texas Instruments common stock		None			Sold	5-14	J	A	
153. -Tyco International Ltd New common stock	A	Dividend			Sold	5-14	J	A	
154. -Viacom Inc B common stock		None			Sold	5-14	J	A	
155. -Wellpoint Health Net Inc New common stock		None			Sold	5-14	J	A	
156. -3M Company common stock		None			Sold	5-14	J	A	
157. -Amgen Inc		None			Sold	5-14	J	A	
158. -Baker Hughes Inc (X)	A	Dividend			Sold	5-14	J	A	
159. -General Electric		None	J	T	Buy	1-7	J		
160. -General Electric		None			Sold	5-14	J	A	
161. -HCA Inc		None	J	T	Buy	3-10			

1. Income/Gain Codes:		A - \$1,000 or less	B - \$1,001-\$2,500	C - \$2,501-\$5,000	D - \$5,001-\$15,000	E - \$15,001-\$50,000
(See Columns B1 and D4)		F - \$50,001-\$100,000	G - \$100,001-\$1,000,000	H1 - \$1,000,001-\$5,000,000	H2 - More than \$5,000,000	
2. Value Codes:		J - \$15,000 or less	K - \$15,001-\$50,000	L - \$50,001-\$100,000	M - \$100,001-\$250,000	
(See Columns C1 and D3)		N - \$250,000-\$500,000	O - \$500,001-\$1,000,000	P1 - \$1,000,001-\$5,000,000	P2 - \$5,000,001-\$25,000,000	
		P3 - \$25,000,001-\$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes:		Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash/Market	
(See Column C2)		U - Book Value	V - Other	W - Estimated		

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
162. -HCA Inc		None			Sold	5-14	J	A	
163. -Oracle (X)		None			Sold	5-14	J	A	
164. -Pepsico (X)		None			Sold	5-14	J	A	
165. -Target Corp (X)		None			Sold	5-14	J	A	
166. -Wachovia Corp 2nd New Com		None	J	T	Buy	3-23	J		
167. -Wachovia Corp 2nd New Com		None			Sold	5-14	J	A	
168. -United Technologies		None	J	T	Buy	1-13	J		
169. -United Technologies		None			Sell	5-14	J	A	
170. IRA # 3									
171. -Liquid Asset Fund (MM)	A	Dividend	J	T					
172. -MS Global Dividend Grwth Sec B (Mut Fd)	A	Dividend	J	T					
173. -MS Pacific Growth Fund B (Mut Fd)	A	Dividend	J	T					
174. -Templeton Global SM Co Gr Rd A (Mut Fd)	R	Dividend	K	T					
175. UTMA Acct # 2									
176. -Liquid Asset Fund (MM)	A	Dividend	J	T					
177. -Putnam Voyager A (Mut Fd)	A	Dividend	J	T					
178. UTMA Acct # 3									
179. -Liquid Asset Fund (MM)	A	Dividend	J	T					

1. Income/Gain Codes:	A - \$1,000 or less	B - \$1,001-\$2,500	C - \$2,501-\$5,000	D - \$5,001-\$15,000	E - \$15,001-\$50,000
(See Columns B1 and D4)	F - \$50,001-\$100,000	G - \$100,001-\$1,000,000	H - \$1,000,001-\$5,000,000	HZ - More than \$5,000,000	
2. Value Codes:	J - \$15,000 or less	K - \$15,001-\$50,000	L - \$50,001-\$100,000	M - \$100,001-\$250,000	
(See Columns C1 and D3)	N - \$250,000-\$500,000	O - \$500,001-\$1,000,000	P1 - \$1,000,001-\$3,000,000	P2 - \$3,000,001-\$25,000,000	
	P3 - \$25,000,001-\$50,000,000		P4 - \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash/Market	
(See Column C2)	U - Book Value	V - Other	W - Estimated		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
180. --MS Information Fund B (Mut Fd)	A	Dividend	J	T					
181. --MS European Growth Fund B (Mut Fd)	A	Dividend	J	T					
182. --Putnam Voyager A (Mut Fd)	A	Dividend	J	T					
183. Brokerage Acct # 3									
184. --SEI Tax-Free Fund (MM)	D	Dividend	M	T					
185. --SEI Tax-Mgd Large Cap Fund (MM)	B	Dividend	M	T					
186. --Intel Corp common stock	A	Dividend	L	T					
187. --Cisco Systems Inc common stock	A	Dividend	K	T					
188. --Medtronic Inc common stock	A	Distribution	K	T					
189. --Altera Corp common stock		None	J	T					
190. --Automatic Data Processing Inc common stock	A	Dividend	J	T					
191. --Veeva Systems Inc common stock		None	J	T					
192. --RF Micro Devices Inc.		None	J	T					
193. --Small Cap Value Fund (Mut Fd)	B	Dividend	K	T					
194. --SEI Small Cap Growth Fund (Mut Fd)	A	Dividend	K	T					
195. --General Electric Co common stock	A	Dividend	J	T					
196. --Verizon Communications common stock	A	Dividend	J	T					
197. --Sun Microsystems Inc common stock	A	Dividend	J	T					

1. Income/Gain Codes:	A -- \$1,000 or less	B -- \$1,001-\$2,500	C -- \$2,501-\$5,000	D -- \$5,001-\$15,000	E -- \$15,001-\$50,000
(See Columns B1 and D4)	F -- \$50,001-\$100,000	G -- \$100,001-\$1,000,000	H1 -- \$1,000,001-\$5,000,000	H2 -- More than \$5,000,000	
2. Value Codes:	J -- \$15,000 or less	K -- \$15,001-\$50,000	L -- \$50,001-\$100,000	M -- \$100,001-\$250,000	
(See Columns C1 and D3)	N -- \$250,001-\$500,000	O -- \$500,001-\$1,000,000	P1 -- \$1,000,001-\$5,000,000	P2 -- \$5,000,001-\$25,000,000	
	P3 -- \$25,000,001-\$50,000,000		P4 -- \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q -- Appraisal	R -- Cost (Real Estate Only)	S -- Assessment	T -- Cash/Market	
(See Column C2)	U -- Book Value	V -- Other	W -- Estimated		

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8/12/2005

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
198. --Microsoft Corp common stock		None	J	T					
199. --SEI Intermediate-Term Municipal Fund (Mut Fd)	C	Dividend	M	T					
200. --SEI International Fixed Income Fund (Mut Fd)	B	Dividend	K	T					
201. --SEI High Yield Bond Fund (Mut Fd)	B	Dividend	K	T					
202. --SEI Emerging Markets Debt Fund (Mut Fd)	B	Dividend	J	T					
203. --SEI International Equity Fund (Mut Fd)	B	Dividend	K	T					
204. --SEI Emerging Markets Equity Fund (omitted by error before)	A	Dividend	J	T					
205. Brokerage Acct # 4									
206. --SEI Tax-Mgd Large Cap Fund (Mut Fd)	A	Dividend	K	T					
207. --SEI Small-Cap Value Fund (Mut Fd)	A	Dividend	J	T					
208. --SEI Small-Cap Growth Fund (Mut Fd)	A	Dividend	J	T					
209. --SEI International-Term Municipal Fund (Mut Fd)	A	Dividend		T					
210. --SEI International Fixed Income Fund (Mut Fd)	A	Distribution	I	T					
211. --SEI Emerging Markets Debt Fund (Mut Fd)	A	Dividend	J	T					
212. --SEI High Yield Bond Fund (Mut Fd)	A	Dividend	J	T					
213. --SEI International Equity Fund (Mut Fd)	A	Dividend	J	T					
214. --SEI Emerging Markets Equity Fund (Mut Fd)	A	Dividend	J	T					
215. Brokerage Acct # 5									

1. Income/Gain Codes	A - \$1,000 or less	B - \$1,001-\$2,500	C - \$2,501-\$5,000	D - \$5,001-\$15,000	E - \$15,001-\$50,000
(See Columns B1 and D4)	F - \$50,001-\$100,000	G - \$100,001-\$1,000,000	H1 - \$1,000,001-\$5,000,000	H2 - More than \$5,000,000	
2. Value Codes	J - \$15,000 or less	K - \$15,001-\$50,000	L - \$50,001-\$100,000	M - \$100,001-\$250,000	
(See Columns C1 and D3)	N - \$250,000-\$500,000	O - \$500,001-\$1,000,000	P1 - \$1,000,001-\$5,000,000	P2 - \$5,000,001-\$25,000,000	
	P3 - \$25,000,001-\$50,000,000		P4 - More than \$50,000,000		
3. Value Method Codes	Q - Appraisal	R - Cost (Real Estate Only)	S - Assessment	T - Cash/Market	
(See Column E3)	U - Book Value	V - Other	W - Estimated		

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VII. INVESTMENTS and TRUSTS

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
216. -SEI Large Cap Growth Fund (Mut Fd)	B	Dividend	M	T					
217. -SEI Large Cap Value Fund (Mut Fd)	B	Dividend	M	T					
218. -SEI Small Cap Value Fund (Mut Fd)	A	Dividend	K	T					
219. -SEI Small Cap Growth Fund (Mut Fd)		Dividend	K	T					
220. -Medtronic Inc common stock	A	Dividend	K	T					
221. -Microsoft Corp common stock		None	J	T					
222. -Verizon Communications common stock	A	Dividend	J	T					
223. -SEI International Equity Fund (Mut Fd)	B	Dividend	M	T					
224. -SEI Emerging Markets Equity Fund (Mut Fd)	B	Dividend	L	T					
225. -SEI Core Fixed Income Fund (Mut Fd)	C	Dividend	M	T					
226. -SEI International Fixed Income Fund (Mut Fd)	B	Dividend	K	T					
227. -SEI High Yield Bond Fund (Mut Fd)	B	Dividend	K	T					
228. -SEI Emerging Markets Debt Fund (Mut Fd)	A	Dividend	K	T					
229. -SEI Prime Obligation Fund (Mut Fd)	A	Dividend	J	T					
230. Trust # 4 (beneficiaries)									
231. -SEI Tax-Mgd Large Cap Fund (Mut Fd)	B	Dividend	K	T	Sold part	2-17	J	A	
232. -SEI Tax-Mgd Large Cap Fund (Mut Fd)	A	Dividend	K	T	Sold part	7-6	J	A	
233. -SEI Tax-Mgd Large Cap Fund (Mut Fd)	A	Dividend	K	T	Sold part	8-5	J	A	

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
234. —General Electric Co common stock	A	Dividend	K	T					
235. —Medtronic Inc common stock	A	Dividend	J	T					
236. —SEI Small Cap Growth Fund (Mut Fd)	A	Dividend	J	T	Sold part	8-5	J	A	
237. —SEI Small Cap Value Fund (Mut Fd)	A	Dividend	J	T	Sold part	8-5	J	A	
238. —SEI Small Cap Value Fund (Mut Fd)	A	Dividend	J	T	Sold part	10-5	J	A	
239. —Microsoft Corp common stock		None	J	T					
240. —SEI Intermediate-Term Municipal Fund (Mut Fd)	A	Dividend	J	T	Sold part	2-17	J	A	
241. —SEI Intermediate-Term Municipal Fund (Mut Fd)	A	Dividend	J	T	Sold part	8-5	J	A	
242. —SEI Intermediate-Term Municipal Fund (Mut Fd)	A	Dividend	J	T	Sold part	8-5	J	A	
243. —SEI International Fixed Income Fund (Mut Fd)	A	Dividend	J	T	Sold part	8-5	J	A	
244. —SEI High Yield Bond Fund (Mut Fd)	A	Dividend	J	T					
245. —SEI Emerging Markets Debt Fund (Mut Fd)	A	Dividend	J	T	Sold part	8-5	J	A	
246. —SEI International Equity Fund (Mut Fd)	A	Dividend	K	T	Sold part	8-5	J	A	
247. —SEI International Equity Fund (Mut Fd)	A	Dividend	K	T	Sold part	2-17	J	A	
248. —SEI International Equity Fund (Mut Fd)	A	Dividend	K	T	Sold part	8-5	J		
249. —SEI Emerging Markets Equity Fund (Mut Fd)	A	Dividend	J	T	Sold part	8-5	J	A	
250. —Nortel Networks Corp New common stock		None	J	T					
251. —SEI Tax-Free Fund (Mut Fd)	A	Dividend	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting

Wake, Neil V

Date of Report

8/12/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
252. Maricopa County land		None			Sold	1-27	L	E	
253. Pinal County land		None	L	W					
254. Mohave County land		None	K	T					
255. Roth IRA # 4									
256. --Liquid Asset Fund (Mut Fd)	A	Dividend	J	T					
257. Manulife Financial Life Ins	A	Dividend	K	T					
258. Section 529 Account									
259. --SM & R Alger Aggressive GF CL-B (Mut Fd)		None	K	T					
260. IRA # 5--									
261. --Engemann Cap Growth (Mut Fd)		None	K	T					
262. --Seneca Strg Themo (Mut Fd)		None	J	T					
263. --ABM Growth (Seneca MFS Inc Growth Stock)		None	J	T					
264. --Alger Amerl Cap (Mut Fd)		None	K	T					
265. --Bernstein Mid-Cap (Mut Fd)		None	K	T					
266. --Seneca MidCap Growth (Mut Fd)		None	K	T					
267. --Tech Portfolio (Mut Fd)		None	J	T					
268. --WangerUS Smaller Cos (Mut Fd)		None	J	T					
269. --Aherdeen Int'l (Mut Fd)		None	K	T					

1. Income/Gain Codes:	A -- \$1,000 or less	B -- \$1,001-\$2,500	C -- \$2,501-\$5,000	D -- \$5,001-\$15,000	E -- \$15,001-\$50,000
(See Columns B1 and D1)	F -- \$50,001-\$100,000	G -- \$100,001-\$1,000,000	H1 -- \$1,000,001-\$5,000,000	H2 -- More than \$5,000,000	
2. Value Codes:	J -- \$15,000 or less	K -- \$15,001-\$50,000	L -- \$50,001-\$100,000	M -- \$100,001-\$250,000	
(See Columns C1 and D3)	N -- \$250,001-\$500,000	O -- \$500,001-\$1,000,000	P1 -- \$1,000,001-\$5,000,000	P2 -- \$5,000,001-\$25,000,000	
	P3 -- \$25,000,001-\$50,000,000		P4 -- \$50,000,001-\$100,000,000		
3. Value Method Codes:	Q -- Appraisal	R -- Cost (Real Estate Only)	S -- Assessment	T -- Cash/Market	
(See Column C2)	U -- Book Value	V -- Other	W -- Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wake, Neil V

Date of Report

8/12/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
270. -Wanger Intl SmallCap (Mut Fd)		None	J	T					
271. -Phoenix Wealth Mngmt	B	Interest	K	T					
272. Phoenix Universal Variable Life Ins (held by trust)									
273. -Oakhurst Allocation (Mut Fd)	A	Dividend	J	T					
274. -WangerUS Smaller Cos (Mut Fd)	A	Dividend	J	T					
275. -Wanger Intl SmallCap (Mut Fd)	A	Dividend	K	T					
276. -AllBernEnhancedIndex (Mut Fd)	A	Dividend	K	T					
277. -Oakhurst Growth&Inc (Mut Fd)	A	Dividend	K	T					
278. -AIM Groth (formerly MFS InveGrowthStock) (Mut Fd)	A	Dividend	J	T					
279. -Tech Portfolio	A	Dividend	J	T					
280. IRA # 6 (opened 5-20-04)					Opened 5-20				
281. -Legend Asset Fund	A	Dividend	J	T	Buy	5-21	J		
282. -Credit Suisse SMA Cap Gth (Comm)		None	J	T	Buy	5-21	J		
283. -Sakemon Investors Value A	A	Dividend	J	T	Buy	5-21	J		
284. -Amer Cent Growth Adv		None	J	T	Buy	5-21	J		
285. -Blackrock Lo Duration Bd Svc	A	Dividend	J	T	Buy	5-21	J		
286. -Fidelity Adv Interned Bd T	A	Dividend	J	T	Buy	5-21	J		
287. -Davis Real Estate A	A	Dividend	J	T	Buy	5-21	J		

1. Income/Gain Codes:	A - \$1,000 or less	B - \$1,001-\$2,500	C - \$2,501-\$5,000	D - \$5,001-\$15,000	E - \$15,001-\$50,000
(See Columns B1 and D4)	F - \$50,001-\$100,000	G - \$100,001-\$1,000,000	H1 - \$1,000,001-\$5,000,000	H2 - More than \$5,000,000	
2. Value Codes:	J - \$15,000 or less	K - \$15,001-\$50,000	L - \$50,001-\$100,000	M - \$100,001-\$250,000	
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Wake, Neil V

Date of Report

8/12/2005

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
288. --Fidelity Adv Mid Cap A	A	Dividend	J	T	Buy	5-21	J		
289. Brokerage Acct # 6 (opened 5-20-04)					Opened 5-20				
290. --Special Value Fund D	A	Dividend	J	T	Buy	5-24	J		
291. --Mid-Cap Value Fund D	A	Dividend	J	T	Buy	5-24	J		
292. --Van Kampen Grw & Inc A	A	Dividend	J	T	Buy	5-24	J		
293. --MSIF TR Equity Port Inst	A	Dividend	J	T	Buy	5-24	J		
294. --MSIF Small Co Growth A	A	Dividend	J	T	Buy	5-24	J		
295. --MSIF TR Mid Cap Growth Inst	A	Dividend	J	T	Buy	5-24	J		
296. --MSIF US Real Estate Port A	A	Dividend	J	T	Buy	5-24	J		
297. --MSIF Equity Growth Port A	A	Dividend	J	T	Buy	5-24	J		
298. Phoenix house (inherited 1/2 interest, left off prior report)		None	M	T	Sold	10-12	L	F	Michael & Dora Jackson
299. Brokerage Acct # 7 (left off prior report)									
300. --Iberia Inflight Money Market (left off prior report)	A	Interest	I	T					
301. --Cisco Systems Inc (left off prior report)		None	J	T					

1. Income/Gain Codes:	A -- \$1,000 or less	B -- \$1,001-\$2,500	C -- \$2,501-\$5,000	D -- \$5,001-\$15,000	E -- \$15,001-\$50,000
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	P3 -- \$25,000,001-\$50,000,000		P4 -- More than \$50,000,000		
3. Value Method Codes:	Q -- Appraisal	R -- Cost (Real Estate Only)	S -- Assessment	T -- Cash/Market	
(See Column C2)	U -- Book Value	V -- Other	W -- Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Wake, Neil V

Date of Report

9/12/2003

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

I. Positions: I am the trustee and the sole beneficiary of the Law Offices of Neil Vincent Wake Money Purchase Plan, which holds retirement funds rolled over from the plans of previous law firms.

I am the trustee of the Law Offices of Neil Vincent Wake 401K Pension Plan, of which I and three current or former employees are or were beneficiaries. Each beneficiary's interest in the Plan was fully vested, and each beneficiary self-directed their own investments. All beneficiaries other than me withdrew their funds from the 401K Pension Plan by the end of 2004. Section VII lists only the assets in this Plan of which I am the beneficiary and which I direct.

VII. Investments and Trusts. Where records of possible dividend income on formerly held stocks and mutual funds are unclear, possible dividend income has been indicated and may be unintentionally overreported.

VII. My prior report was a nomination report through September 2003. Some investments listed in that report were sold later in 2003 and therefore the sales are outside the period for this report. They are noted as sold in 2003, but the sale data are not included. Other investments were bought in 2003 after the nomination report and therefore do not appear in the prior report. They are identified in this report as having been bought in 2003.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Wake, Neil V

Date of Report

8/12/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

August 13, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544