

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) SLOVITER, DOLORES K.		2. Court or Organization United States Court of Appeals for the Third Circuit		3. Date of Report 5/15/07
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge Active		5a. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ____ Annual ____ Final		6. Reporting Period 1/1/06 through 12/31/06
7. Chambers or Office Address 18614 United States Courthouse 601 Market Street Philadelphia, PA 19106		5b. ____ Amended Report		
		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.				

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	
1 Board of Trustees	National Constitution Center
2 Trustee	Nonmarital Trust UW of [REDACTED] FBO [REDACTED]
3 Rhodes Chair	2006 Delaware/Pennsylvania Selection Committee for Rhodes Scholars

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	INCOME
A. Filer's Non-Investment Income		
☐ NONE (No reportable non-investment income.)		
1	Salary Temple University, Phila., PA	\$10,242.00
2		\$
3		\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ NONE (No reportable non-investment income.)	
1	
2	

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DOLORES K. SLOVITER

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IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
☐	NONE (No such reportable reimbursements.)	
1	Attending FJC Seminar on Law & Terrorism	3/16-17/06 - Durham, North Carolina (TRANSPORTATION, FOOD, HOTEL)
2	Attending Third Circuit Ct. of Appeals Judicial Conf.	4/30-5/02/06 - Farmington, PA (TRANSPORTATION, FOOD, HOTEL)
3	Attending Panel Discussion re Law Reviews at Yale Law Jrnl.	11/16-17/06 - New Haven, Connecticut (TRANSPORTATION, FOOD, HOTEL) - Yale Law Journal paid directly for Jg. Sloviter's expenses
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
☒	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE*</u>
☒	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

*Value Codes: J-\$15,000 or less; K-\$15,001-\$50,000; L-\$50,001-\$100,000; M-\$100,001-\$250,000; N-\$250,001-\$500,000; O-\$500,001-\$1,000,000; P1-\$1,000,001-\$5,000,000; P2-\$5,000,001-\$25,000,000; P3-\$25,000,001-\$50,000,000; P4-\$50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A Description of Assets (including trust assets) <i>Place 4962 offers each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (E-F, div, rent or int.)	(1) Value Code 2 (1-2)	(2) Value Method Code 3 (G-W)	(1) Type (C) buy, sell, mortg, re- demption	(2) Date Month- Day	(3) Value Code 2 (1-2)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 SEE ATTACHED PAGES 1-10									
2									
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15									
16									
17									

1. Income/Gain Codes: A- \$1,000 or less (See Col. B(1)-(B4))	B- \$1,001-\$2,500 C- \$2,501-\$5,000 D- \$5,001-\$15,000 E- \$15,001-\$50,000 F- \$50,001-\$100,000 G- \$100,001-\$1,000,000 H- \$1,000,001-\$5,000,000 I- More than \$5,000,000	2. Value Codes: J- \$15,000 or less (See Col. C(1)-(D3))	K- \$15,001-\$50,000 L- \$50,001-\$100,000 M- \$100,001-\$250,000 N- \$250,001-\$500,000 O- \$500,001-\$1,000,000 P- \$1,000,001-\$5,000,000 Q- \$5,000,001-\$25,000,000 R- More than \$25,000,000	3. Value Method Codes: O- Appraisal (See Col. C(2))	U- Book value V- Other W- Estimated	S- Assessment T- Cash/Market
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VII. INVESTMENTS AND TRUSTS -- income, value, transactions

A.	B.	C.	D.						
Description of Assets	Income during period		Gross Value at end of period		Transactions during period				
						<u>If not exempt from disclosure</u>			
Place "(X)" after each asset exempt prior disclosure	Amt. Code ¹ (A-H)	Type (e.g., div.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	Type (e.g., sold)	Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
AT&T (acquired SBC) com. stock	D	Div.	M	T					
Comcast CMCSA - com. stock	None		J	T					
Exelon - com. stock	C	Div.	M	T					
GE - com. stock	B	Div.	M	T					
ITT Industries - com. stock	A	Div.	J	T					
HanesBrand, Inc. - com. stock	None			T	Spinoff (from Sara Lee)	8/18	J		

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C = \$2,501 to \$5,000 D = \$5,001 to \$15,000 E = \$15,001 to \$50,000
F = \$50,001 to \$100,000 G = \$100,001 to \$1 million H = over \$1,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001 to \$50,000
L = \$50,001 to \$100,000 M = \$100,001 to \$250,000 N = \$250,001 to \$500,000
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3. Value Method Codes: Q = Appraisal R = Cost (real estate only)
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Place "(X)" after each asset exempt prior disclosure	Amt. Code ¹ (A-H)	Type (e.g., div.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	Type (e.g., sold)	<u>If not exempt from disclosure</u>			
						Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
Hartford Fin. Services - com. stock	A	Div.	K	T					
PSEG - (Exelon merger called ASO)	A	Div.	K	K					
Rayonier - com. stock	A	Div.	J	T					
Sara Lee - com. stock	A	Div.	J	T					
Vanguard Mun. Bond Funds	G	Div. & cap. gain	P	T	see VIII				

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	<u>If not exempt from disclosure</u>								
Place "(X)" after each asset exempt prior dis- closure	Amt. Code ¹ (A-H)	Type (e.g., div.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	Type (e.g., sold)	Date: Month- Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Iden- tity from buyer/ seller
Vanguard Wind- sor II	E	Div. & cap. gain	O	T	see VIII				
Vanguard Star Fund	C	Div. & cap. gain	L	T					
Vanguard 500 Index Fund	B	Div.	L	T					
Bucks County W & S, 5%, '22	A	Int.	J	T					

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						Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
Conrad Weiser S.D., 5.25%, '14	A	Int.		T	Sold	6/15	J	None	
Dauphin Cty., 4.4%, '19	A	Int.	J	T					
Dauphin Cty., 4.5%, '26	A	Int.	J	T					
Del. Pa. & NJ Riv., 5.4%, '14	A	Int.	J	T					
Fleetwood, 5.65%, '11	A	Int.		T	Sold	6/15	J	None	

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						Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
Greater Johnstown, 5%, '08	A	Int.	J	T					
Lower Merion S.D., 4.8%, '25	A	Int.	K	T					
Lower Merion Twp. 5.125%, '18	B	Int.	K	T					
Luzerne, 5.6%, '16	A	Int.	J	T					
Muhlenberg, PA, 5.25%, '12	A	Int.		T	Sold	4/1	J	None	

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						Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
Muhlenberg, PA, 5%, '23	A	Int.	K	T					
Northeastern York, 4.5%, '26	A	Int.		T	Purch.	6/20	K		
Pa. St. Higher Ed. Fac. Auth., 5.25%, '10	A	Int.	J	T					
Penna. Inter-govt'l, 5 1/4%, '17	A	Int.	J	T					
Penna., 5 3/4%, '18	B	Int.	K	T					

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Pa. Higher Ed., 5 1/8%, '10	A	Int.	J	T					
Pa. Turnpike, 5% '23	A	Int.	J	T					
Pa. Turnpike, 5%, '23	A	Int.	J	T					
Pa. 4th Series, 1.5%, '24	A	Int.	K	T					
Pa. Turnpike, 1.75%, '27	A	Int.	J	T					

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Place "(X)" after each asset exempt prior dis- closure	Amt. Code ¹ (A-H)	Type (e.g., div.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	Type (e.g., sold)	Date: Month- Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Iden- tity from buyer/ seller
Pa. St. Ed. Fin. A., 5.625%, '27	B	Int.	K	T					
Phila. Parking, 5.125%, '24	A	Int.	J	T					
Pittsburgh, 5%, '21	A	Int.	J	T					
Pleasant Valley, Pa., 5.1%, '12	A	Int.	J	T					
Southeast Delco S.D., 4.30%, '26	A	Int.		T	Purch.	3/8	K		

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						Date: Month- Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Iden- tity from buyer/ seller
Southern Lehigh B.D., 5.2%, '18	B	Int.		T	Sold	9/1	K	None	
Springfield, 5.2%, '17	A	Int.	J	T					
Suburban Lancas- ter Swr. Auth., 6%, '17	A	Int.		T	Sold	6/15	K	A	
Busquehanna Twp. Auth. Pa., 6%, '13	A	Int.	J	T					
Upper Dublin, 5%, '14	A	Int.	J	T					

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						Date: Month- Day	Value_ Code ² (J-P)	Gain Code ¹ (A-H)	Iden- tity from buyer/ seller
Wilkes-Barre Area S.D., 5.25%, '14	A	Int.	J	T					
Wachovia Accts.	A	Int.	K	T					

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5/15/07

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

The Vanguard Municipal Bond Funds in VII have checking account features and were used for various transactions throughout the year.

Comcast was part of the [REDACTED] which passed to [REDACTED] but which is being held in trust. Because it has not declared any dividends, I neglected to include it in the preceding report.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

May 4, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544