

COPY

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Rev. 1/2008**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007**Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lake, Sineon T	2. Court or Organization United States District Court	3. Date of Report 04/21/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address United States Courthouse 515 Rusk Avenue, Room 9535 Houston, Texas 77002	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Co-Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Texas A&M University	5/11/07	College Station, Texas	Commencement Speech	Meals and Room
2. American Bar Association	10/25/07	Washington, D.C.	Securities Fraud Institut	Travel, room, meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. rental property #1-Houston, TX	B	Rent	M	W					
2. rental property #2-Houston, TX	B	Rent	L	W					
3. rental property #3-Houston, TX	B	Rent	L	W					
4. rental property #4-Houston, TX	B	Rent	N	W					
5. property, Washington Cnty, TX	A	Rent	M	W					
6. J.P. Morgan Chase	A	Interest	J	T					
7. Exxon Common Stock	E	Dividend	P1	T					
8. Wells Fargo Bank Account	A	Interest	J	T					
9. Royalty Interest, Washington County, Texas	D	Royalty	K	W					
10. Merrill Lynch Money Mkt Acct (IRA)	A	Interest	K	T					
11. AT&T Inc. Common Stock (IRA)	B	Dividend	K	T					
12. Novartis ADR (IRA)	A	Dividend	L	T					
13. IBM Corp. Common Stock (IRA)	A	Dividend	L	T					
14. General Electric Common Stock (IRA)	A	Dividend	J	T					
15. Intel Corp. Common Stock (IRA)	A	Dividend	J	T					
16. Syngenta AG ADR (IRA)	A	Dividend	J	T					
17. Palm, Inc. Common Stock (IRA)		None	J	T	Sold	09/18	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 ● = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. 3 Com Corp. Common Stock (IRA)		None	J	T	Sold	09/18	J	A	
19. Nestle Corp. ADR (IRA)	A	Dividend	L	T					
20. Dr. Reddy's Lab ADR (IRA)	A	Dividend	K	T					
21. Freds, Inc. Common Stock (IRA)	A	Dividend	J	T					
22. Johnson & Johnson Inc. Common Stock (IRA)	A	Dividend	K	T					
23. Procter & Gamble Inc. Common Stock (IRA)	B	Dividend	L	T					
24. Washington Mutual Inc. Common Stock (IRA)	B	Dividend	J	T					
25. Microsoft Common Stock (IRA)	A	Dividend	K	T					
26. ABX Air Inc. Common Stock (IRA)		None	J	T					
27. McCormick Inc. Non-Voting (IRA)	A	Dividend	K	T					
28. U.S. Treas. Note (IRA)	A	Interest	K	T	Sold	01/18	K	A	
29. 3M Company (IRA)	A	Dividend	K	T	Buy	11/08	K		
30. VKM Senior Income Tr. (IRA)	A	Dividend	K	T	Buy	02/08	K		
31. VKM Senior Income Tr. (IRA)	A		K	T	Sell	11/08	K	A	
32. Trust #1									
33. --AT&T Common Stock	B	Dividend	L	T					
34. --XCEL Energy, Inc. Common Stock	A	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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35. --Walgreen Co. Common Stock	A	Dividend	K	T					
36. --Verigy Ltd. Common Stock		None	J	T	Sold	5/30	J	A	
37. --Hewlett Packard Co. Common Stock	A	Dividend	L	T					
38. --Merrill Lynch Money Market Acct	A	Dividend	J	T					
39. --Southwest Airlines Common Stock	A	Dividend	L	T					
40. --Apple Computer Inc. Common Stock		None	M	T					
41. --Agilent Tech., Inc. Common Stock		None	J	T					
42. --Bristol Myers Squib Co. Inc. Common Stock	B	Dividend	L	T					
43. --Pfizer Inc. Common Stock	B	Dividend	K	T					
44. --Microsoft Common Stock	A	Dividend	K	T					
45. --Johnson & Johnson Co. Common Stock	A	Dividend	K	T					
46. --Starbucks Corp.		None	J	T	Buy	06/12	J		
47. Merrill Lynch Money Fund	C	Dividend	L	T					
48. AT&T Inc. Common Stock	A	Dividend	J	T					
49. Abbott Labs Common Stock	A	Dividend	K	T					
50. Trust #2									
51. --Exxon Corp. Common Stock	F	Dividend	P1	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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52. --Merrill Lynch Money Fund	B	Dividend	K	T					
53. --Abbott Labs Common Stock	A	Dividend	K	T					
54. --General Electric Common Stock	B	Dividend	L	T					
55. Pfizer Inc. Common Stock	A	Dividend	K	T					
56. Nokia Corp. Common Stock	A	Dividend	L	T					
57. Wachovia Corp. Common Stock	B	Dividend	K	T					
58. Kellogg Co. Common Stock	A	Dividend	K	T					
59. Hospira Inc. Common Stock		None	J	T					
60. Procter & Gamble, Inc.	A	Dividend	K	T					
61. Bank of Texas Account	A	Interest	J	T					
62. Caterpillar Inc.	A	Dividend	K	T					
63. Fidelity Municipal Money Mkt a/c	A	Interest	J	T					
64. 1 shares Dow U.S.-Broker Dealers Index	A	Dividend	J	T	Sold	10/29	J	A	
65. 1 shares Global Financials Sector Index	A	Dividend	J	T					
66. 1 shares S&P Global Telecom Sector Index	A	Dividend	J	T					
67. Kraft Foods	A	Dividend	K	T	Buy	11/05	K		
68. 1 shares Pacific Ex Japan Index	A	Dividend	J	T	Buy	01/29	J		

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69. I shares FTSE China 25 Index	A	Dividend	J	T	Buy	11/09	J		
70. I shares Emerging Mkts Index Fd	A	Dividend	J	T	Buy	01/16	J		
71. I shares S&P Latin Amer 40 Index	A	Dividend	J	T	Buy	11/09	J		
72. I shares Global Materials Index	A	Dividend	J	T	Buy	04/13	J		
73. I shares S&P Metals & Mining ETF	A	Dividend	J	T	Buy	04/13	J		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

SECTION VII

PAGE 4

Line 9 -- The 2006 Report contained duplicate entries for AT&T Inc. Common Stock -- p. 4, line 9 and p. 6, line 48. This year's Report contains only one entry for AT&T Inc. Common Stock -- p. 6, line 48.

PAGE 5

Lines 29-31 -- 3M Company, VKM Senior Income Tr., and VKM Senior Income (IRA) are new entries.

PAGE 7

Lines 62-63 -- Reversed the order of Fidelity Municipal Money Mkt a/c and Caterpillar Inc. (which were listed as 70 and 71 on 2006 Report).

Lines 67-68 -- Kraft Foods and 1 shares Pacific Ex Japan are new entries.

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Lines 69-73 -- 1 shares FTSE China 25, 1 shares Emerging Mkts Index, 1 shares S&P Latin Amer, 1 shares Global Materials, and 1 shares S&P Metals & Mining are new entries.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544