

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Arguello, Christine M.	2. Court or Organization District of Colorado	3. Date of Report 05/13/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Alfred A. Arraj U.S. Courthouse 901 19th St., A638 Denver, CO 80294	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Director	Stapleton Development Corporation Board - Volunteer - Resigned 03/2009
2. Director	Colorado Hispanic Bar Association Board - Volunteer
3. Director	Colorado Women's Bar Association Foundation Board - Volunteer - Term Expired 5/2009
4. Vice Chair	Colorado Campaign for Inclusive Excellence - Volunteer
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)

A. Filer's Non-Investment Income

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	United States Judges	\$167,119.00
2. 2009	Lexis-Nexis - Book Royalties	\$3,347.00
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Boulder Valley School District - Salary
2. 2009	Colorado Department of Human Services - Childcare Subsidy
3.	
4.	

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. University of Colorado	Reduced price for two Club Seat Football Tickets	4600.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. AES Student Loan	Co-signor on student loans	K
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Investment Property 1, Colorado Springs, CO (2005 \$86,000)		None	K	T					
2. Investment Property 2, Pueblo, CO (1999 \$172,727)		None	M	T					
3. Chase Bank Account	A	Interest	J	T					
4. Wells Fargo Bank Account	A	Interest	J	T					
5. MetLife Insurance Policy		None	J	T					
6. Federated Govt Obligations Fund Class SS		None	J	T					
7. Wachovia/Wells Fargo Managed Account									
8. ABIAX		None			Buy (add'l)	05/08/09	J		
9. ABIAX		None			Sold	07/06/09	J		
10. PCVAX		None	J	T	Buy (add'l)	07/07/09	J		
11. AHTFX		None			Sold (part)	05/08/09	J		
12. AHTFX		None			Sold	07/06/09	J		
13. BFAFX		None			Sold	05/08/09	J		
14. NFEAX		None	J	T	Buy (add'l)	05/08/09	J		
15. NFEAX		None	J	T	Buy (add'l)	07/07/09	J		
16. DUGAX		None	J	T	Buy (add'l)	05/08/09	J		
17. AEGFX		None	J	T	Buy (add'l)	05/08/09	J		

1. Income Unit Codes: A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value
2. Value Codes (See Columns C1 and D3): B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other
3. Value Method Codes (See Column C2): C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated
D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market
E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period			D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)	
18. AEGFX		None	J	T	Buy (add'l)	07/07/09	J			
19. GCMAX		None	J	T	Buy (add'l)	05/08/09	J			
20. GCMAX		None	J	T	Buy (add'l)	07/07/09	J			
21. GG0AX		None			Sold (part)	05/08/09	J			
22. GG0AX		None			Sold	07/06/09	J			
23. GG0AX		None	J	T	Buy	07/07/09	J			
24. GFAFX		None	J	T						
25. IBFFX		None			Sold	05/08/09	J			
26. IBFFX		None	J	T	Buy	07/07/09	J			
27. AICFX		None	J	T	Buy (add'l)	05/08/09	J			
28. LAFFX		None			Buy (add'l)	05/08/09	J			
29. LAFFX		None			Sold	07/06/09	J			
30. NWFFX		None			Buy (add'l)	05/08/09	J			
31. NWFFX		None			Sold	07/06/09	J			
32. NWFFX		None	J	T	Buy	07/07/09	J			
33. PTTAX		None			Sold	05/08/09	J			
34. TRMCX		None			Sold (part)	05/08/09	J			

1. Income Gain Codes: (See Columns D1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	D = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market:	

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35. TRMCX		None			Sold	07/06/09	J		
36. SCWFX		None			Buy (add'l)	05/08/09	J		
37. SCWFX		None			Sold	07/06/09	J		
38. WSHFX		None							
39. FSMXX		None			Buy (add'l)	05/08/09	J		
40. FSMXX		None			Sold (part)	07/06/09	J		
41. FSMXX		None			Sold (part)	07/10/09	J		
42. FSMXX		None			Sold	07/20/09	J		
43. FSMXX		None	J	T	Buy	07/30/09	J		
44. Growth Fund of America Class F		None	J	T	Buy	05/08/09	J		
45. Growth Fund of America Class F		None	J	T	Buy (add'l)	07/07/09	J		
46. Washington Mutual Investors fd Class F		None	J	T	Buy	05/08/09	J		
47. Washington Mutual Investors fd Class F		None	J	T	Buy (add'l)	07/07/09	J		
48. Delaware Group Advisors US Growth Fund Class A		None	J	T	Buy	07/07/09	J		
49. JPIVX		None	J	T	Buy	07/06/09	J		
50. JPIVX		None	J	T	Buy (add'l)	07/07/09	J		
51. PCRIX		None	J	T	Buy	07/06/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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52. ARTKX		None	J	T	Buy	07/07/09	J		
53. CSRSX		None	J	T	Buy	07/07/09	J		
54. PSIGX		None	J	T	Buy	07/07/09	J		
55. PTTAX		None	J	T	Buy	07/07/09	J		
56. Jackson Natl Life Ins. Co Perspective II 05/05		None	K	T					
57. Metlife Invs Ins Prods I. Share Variable Annuity		None	J	T					
58. Metlife Invs Ins Prods Variable Annuity Class AA		None	K	T					
59. Nationwide Life Ins Co BOA Choice Venue SM II		None	K	T					
60. Jackson Natl Life Ins. Co Perspective II 05/05		None	J	T					
61. PERA - No Control		None	K	T					
62. Great-West Retirement Services - no control		None	J	T					
63. ECL	A	Dividend	J	T					
64. Fidelity Investments Managed Account									
65. Fid Cap Appreciation		None	J	T					
66. Fid Intl Discovery		None	J	T					
67. Fid Large Cap Value		None	J	T					
68. Fid Mid Cap Value		None	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

PJ = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

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H2 = More than \$5,000,000

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69. Fid Real Estate Inv		None	J	T					
70. Fid Strat Div & Inc		None	J	T					
71. Fid Short Term Bond		None	J	T					
72. Fid Total Bond		None	J	T					
73. Fidelity Retirement Mmkt		None	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544