



UNITED STATES DISTRICT COURT

Robert C. Byrd U. S. Courthouse
300 Virginia Street, Room 5009
Charleston, West Virginia 25301

JOSEPH R. GOODWIN
United States District Judge

(304) 347-3192
Fax (304) 347-3193

July 25, 2006

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, NE
Washington, DC 20544

Gentlemen:

The Following information was requested in your letter of July 7, 2006 regarding my 2005 Financial Disclosure report.

1. In Part VII, page 7, line 64 for "Fidelity & Guaranty Life Insurance Policy" there was no income. Also this policy lapsed and there was no gain or loss. Column B(2) should be none. Column D(1) should be "Lapse," Column D(2) should be (May 2006), Column D(3) should be J, and Column D(4) should be (A).
2. In Part VII, Page 9, Line 100, the date of purchase was 9/16/02 and the cost is Code M. [REDACTED]

I hope that this information will complete my 2005 report; however, if you need any additional information please do not hesitate to contact me.

Sincerely,

[REDACTED]
Joseph R. Goodwin
United States District Judge,
Southern District of West Virginia

FINANCIAL
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FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Goodwin, Joseph R	2. Court or Organization US District Court, Southern WV	3. Date of Report 05/08/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US District Judge\Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address 300 Virginia Street E Ste 5009 PO Box 2546 Charleston, WV 25301	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2005	Office of the Secretary, Education, State of West Virginia, Wages
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Washington & Lee Law School	Room, Meal and Pewter Cup for judging Mock Trial Competition	\$ 201.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☐NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. ABN-AMRO Mortgage	Mortgage on Property #4	M
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Scudder Mt Tax Free Fund	C	Interest	L	T	Partial Sale	1/26	J	A	
2.					Partial Sale	3/30	J	A	
3.					Partial Sale	4/20	J	A	
4.					Partial Sale	8/30	J	A	
5.					Partial Sale	11/23	J	A	
6.					Partial Sale	12/21	J	A	
7.					Partial Sale	12/27	J	A	
8.					Partial Sale	12/28	J	A	
9.					Buy	1/25	J	A	
10.					Buy	2/22	J	A	
11.					Buy	3/24	J	A	
12.					Buy	4/25	J	A	
13.					Buy	5/24	J	A	
14.					Buy	6/24	J	A	
15.					Buy	7/25	J	A	
16.					Buy	8/25	J	A	
17.					Buy	9/26	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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18.					Buy	10/25	J	A	
19.					Buy	11/23	J	A	
20.					Buy	12/12	J	A	
21.					Buy	12/23	J	A	
22. Charles Schwab Investment Account									
23. --Charles Schwab Cash Account	A	Interest	J	T					
24. --Common Stock United Bankshares	C	Dividend	M	T	Partial Sale	7/12	K	E	
25. --Vanguard Small Cap Index	A	Dividend	K	T					
26. --Vanguard Small Cap Index					Buy	3/18	J		
27. --Vanguard Small Cap Index					Buy	12/23	J		
28. --McData Corp CI A Class A		None	J	T					
29. --Excelsior Value & Restructuring Fund	A	Dividend	J	T					
30. --Excelsior Value & Restructuring Fund					Buy	4/12	J		
31. --Excelsior Value & Restructuring Fund					Buy	6/28	J		
32. --Excelsior Value & Restructuring Fund					Buy	10/11	J		
33. --Excelsior Value & Restructuring Fund					Buy	12/20	J		
34. Excelsior Value & Restructuring Fund					Buy	12/30	J		

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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

35. --Common Stock, Microsoft Corp	A	Dividend	J	T	Partial Sale	7/22	J	A	
36. --Common Stock, International Business Machine	A	Dividend			Sell	7/13	J		
37. --Common Stock, Pfizer Inc.	A	Dividend			Sell	6/28	J		
38. --Common Stock, Texas Instruments	A	Dividend			Sell	7/11	K	A	
39. --Dodge & Cox Mutual Stock Fund	C	Dividend	M	T					
40. --Dodge & Cox Mutual Stock Fund					Buy	3/29	J		
41. --Dodge & Cox Mutual Stock Fund					Buy	6/28	J		
42. --Dodge & Cox Mutual Stock Fund					Buy	9/28	J		
43. --Dodge & Cox Mutual Stock Fund					Buy	12/28	J		
44. --Morgan Stanley	A	Dividend			Sell	6/13	J		
45. --Matthews Japan Fund		None			Sell	6/10	J	A	
46. --Janus Growth & Income Fund	A	Dividend			Buy	7/25	K		
47. --Janus Growth & Income Fund					Buy	9/30	J		
48. --Janus Growth & Income Fund					Sell	11/18	K	B	
49. --Landus Intl Mktmstrs	A	Dividend	K	T	Buy	11/03	K		
50. --Landus Intl Mktmstrs					Buy	12/22	J		
51. --Schwab Health Care Fund		None	J	T	Buy	11/03	K		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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52. --Common Stock, Chicos Fas Inc.		None			Buy	7/05	J		
53. --Common Stock, Chicos Fas Inc.					Sell	8/1	J	A	
54. --Common Stock, Chicos Fas Inc.		None			Buy	8/25	J		
55. --Common Stock, Chicos Fas Inc.					Sell	10/21	J	A	
56. --Taiwan Semiconductr ADRF		None			Buy	2/22	J		
57. --Taiwan Semiconductr ADRF					Sell	9/28	J		
58. Reaal Estate #2, Ripley, WV 1/2 Undivided Interest	D	Rent	K	W					
59. Real Estate #4, Ripley, WV		None	K	W					
60. Reasl Estate #5, Ripley, WV		None	J	W					
61. 1/4 Min Int Grant & Mineral Co., WV	A	Royalty	J	T					
62. #1 City National Bank, Ripley, WV		None			Closed				See Note in Part VIII
63. #2 City National Bank, Ripley, WV		None			Closed				See Note in Part VIII
64. Fidelity & Guaranty Life Insurance Policy			K	T					
65. Charles Schwab IRA Account No. 1									
66. --Charles Schwab Money Fund	A	Interest	J	T					
67. --Common Stock, Cisco Systems, Inc.		None			Sell	4/01	J	B	
68. --Common Stock, IBM	A	Dividend			Sell	4/01	J	A	

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69. --Common Stock, Portal Software		None	J	T					
70. --ishares TR Goldman Sachs Semiconductor Index Fund		None			Sell	4/01	J	A	
71. --Rainier Small;Mid Cap Equity Portfolio	A	Distribution	K	T	Buy	4/04	K		
72. --Rainier Small, Mid Cap Equity Portfolio					Buy	12/15	J		
73. Trust #1	A	Rent	L	T					
74. --Royalty Interest #1, Nicholas County, WV									
75. --Royalty Interest #2, Nicholas County, WV									
76. --Royalty Interest #3, Nicholas County, WV									
77. --Royalty Interest #4, Nicholas County, WV									
78. --Royalty Interest #6, Nicholas County, WV									
79. --Royalty Interest #7, Nicholas County, WV									
80. --Royalty Interest #8, Nicholas County, WV									
81. --Royalty Interest #9, Nicholas County, WV									
82. --Royalty Interest #10, Randolph County, WV									
83. --Royalty Interest #11, Randolph County, WV									
84. --Royalty Interest #12, Randolph County, WV									
85. --Royalty Interest #13, Randolph County, WV									

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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86. --Royalty Interest #14, Randolph County, WV									
87. --Royalty Interest #15, Randolph County, WV									
88. --Royalty Interest #16, Braxton County, WV									
89. --Royalty Interest #17, Braxton County, WV									
90. --Royalty Interest #18, Braxton County, WV									
91. --Royalty Interest #19, Braxton County, WV									
92. --Royalty Interest #20, Braxton County, WV									
93. --Royalty Interest #21, Braxton County, WV									
94. --Royalty Interest #22, Braxton County, WV									
95. --Royalty Interest #23, Braxton County, WV									
96. --Royalty Interest #24, Nicholas County, WV									
97. --Royalty Interest #25, Nicholas County, WV									
98. B B & T Account No. 1		None	K	T					
99. WV Media Partners	A	Interest	J	T					
100. Real Estate #6, Charleston, WV		None	N	R					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII Item No. 62 & 63:

Money was transferred to B B & T Checking (Item No. 98) account in a prior year.

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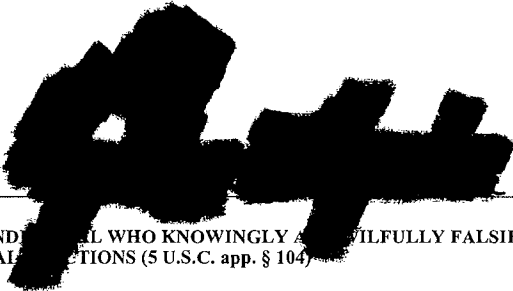
IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

 May 12, 2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL PENALTIES (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544