

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

COPY

1. Person Reporting (last name, first, middle initial) Hornby, D. Brock	2. Court or Organization District of Maine	3. Date of Report 05/01/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address United States District Court 156 Federal Street Portland, ME 04101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee, Irrevocable Life Insurance Trust #1	Relative's Estate Plan (see Part VIII - Additional Information); Resigned 5/25/05
2. Trustee, Irrevocable Life Insurance Trust #2	Relative's Estate Plan; Resigned 5/25/05
3. Trustee, Irrevocable Life Insurance Trust #3	Relative's Estate Plan
4. Member, Board of Visitors	University of Maine School of Law
5. Council Member	The American Law Institute

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	Hornby Zeller Associates (management consultants to state gov'ts in human services areas) (See Section VIII-Addendum to Section III(B))
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. New York Intellectual Property Law Ass'n	Dinner Honoring the Federal Judiciary in New York City 3/19/05; paid travel, lodging and meal expenses for self and spouse
2. American Bar Ass'n Antitrust Section	Mock Trial Judge at Antitrust Section Annual Spring Meeting in Washington, DC 3/30-31/05; paid travel, lodging and meal expenses
3. The American Law Institute	Restitution Advisers Meeting in Philadelphia 9/23-24/06; paid travel, lodging and meal expenses
4. The American Law Institute	Council Meeting in New York City 10/19-22/06; paid travel, lodging and meal expenses
5. First Amendment Center	Conference on Justice and Journalism in Nashville, TN 11/14-15/05; paid travel, lodging and meal expenses

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6. American Bar Ass'n Tort Trial & Insurance Practice Section	Panelist at CLE Program in Washington, DC 11/10-11/05; paid travel, lodging and meal expenses
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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Bank of America (formerly Fleet Bank) accounts	A	Interest	K	T					
2. E*Trade Bank accounts	A	Interest	J	T					
3. GMAC Bank account	C	Interest	L	T					
4. Emigrant Direct	D	Interest	M	T	open	1/21	J		
5. Gorham Savings Bank	B	Interest	L	T	open	4/21	L		
6. PayPal Money Mkt	A	Interest	J	T	open	1/20	J		
7. U.S. Savings Bonds		None	L	T					
8. Maine State Turnpike Auth'y Bonds (4 bonds)	A	Interest	K	T	buy	5/16 & 8/3	L		
9. Maine Health & Higher Edu. Bonds (3 total)	A	Interest	L	T	buy	3/24 & 4/14 & 12/5	L		
10. Puerto Rico Public Finance Corp.	A	Interest	K	T	buy	7/16	K		
11. T. Rowe Price Mutual Funds									
12. -International Stock	A	Dividend	K	T					
13. -Tax-free High Yield		None			redeem	9/2	K		
14. American Century Investments (mutual funds)									
15. -Growth		None			redeem	12/12	J		
16. -Ultra		None			redeem	12/12	J	C	
17. TIAA-CREF Mutual Funds									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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18. -Growth & Income		None			redeem	3/10 & 3/29	J		
19. -Growth Equity		None			redeem	3/10 & 3/29	J	A	
20. Vanguard Group Mutual Funds									
21. -Asset Allocation Fund	A	Dividend	J	T					
22. -Value Index Fund	A	Dividend	K	T					
23. -High Yield Corporate Fund	A	Dividend			sell	10/3	J		
24. -Inflation Protected Security Inv	A	Dividend	J	T					
25. -Strategic Equity Fund	A	Dividend	J	T	buy	1/11	J		
26. The MP63 Fund, Inc. (mutual fund)	A	Dividend	K	T					
27. Alpine Funds									
28. -Dynamic Dividend	B	Dividend	J	T	buy	2/4	J		
29. -International Real Estate	A	Dividend	J	T	buy	2/4	J		
30. -Dynamic Balance	A	Dividend	J	T	buy	9/12	J		
31. Bridgeway Funds									
32. -Ultra-Small Company Mkt Fund	A	Dividend	J	T	buy	7/11	J		
33. Matthews Asian Funds									
34. -Asia Pacific	A	Dividend	J	T	buy	9/14	J		

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. -India		None	J	T	buy	11/25	J		
36. com stk Prudential Financial	A	Dividend	K	T					
37. com stk Avon Products	A	Dividend	J	T	buy	10/7	J		
38. com stk H.J. Heinz Co.	A	Dividend	J	T	buy	10/7	J		
39. com stk Illinois Tool Works		None	J	T	buy	10/7	J		
40. Brokerage Acct T. Rowe Price									
41. -Cash Management Account	A	Interest	J	T					
42. -com stk UNUM Provident Corp.	A	Dividend	K	T					
43. -com stk Fairchild Semiconductor Int'l, Inc.		None	K	T					
44. -com stk Tupperware Corp.	A	Dividend	J	T					
45. -com stk J P Morgan Chase & Co.	A	Dividend	J	T	buy	2/3	J		
46. -com stk General Electric Co.	A	Dividend	J	T					
47. -com stk AT&T f/k/a SBC Communications, Inc.	A	Dividend	J	T					
48. -com stk Wrigley, Wm. Jr. Co.	A	Dividend	J	T					
49. -com stk Dell Inc.		None	J	T					
50. -com stk Anheuser Busch Cos Inc.	A	Dividend	J	T					
51. -com stk Diageo PLC new (ADR)	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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52. -com stk Avon Products	A	Dividend	J	T	buy	7/11	J		
53. -com stk FNMA	A	Dividend	J	T	buy	1/3	J		
54. -ETF I Shares MSCI Mexico	A	Dividend	J	T	buy	3/9	J		
55. -com stk Amazon.com		None	J	T	buy	1/13	J		
56. -com stk First Service Corp.		None	K	T	buy	1/11	J		
57. -com stk Sirius Satellite Radio		None	J	T	buy	9/2	J		
58. IRA Brokerage Acct T. Rowe Price #1									
59. -com stk Tel-Instrument Electronics Corp.		None	J	T					
60. -Cash Management Acct	A	Interest	J	T					
61. -com stk 1-800-Flowers.com		None			sell	2/18	J		
62. -U.S. Treasury Security, stripped int. pay't		None			redeem	8/15	K	D	
63. -com stk LandAmerica Financial Group, Inc.	A	Dividend	J	T					
64. -com stk U.S. Bancorp	A	Dividend	J	T					
65. -com stk Intel Corp.	A	Dividend	K	T	sell part	3/11	K	D	
66. -com stk Emerson Radio		None	J	T					
67. -com stk Motorola, Inc.	A	Dividend	J	T					
68. -com stk Freescale Semiconductor, Inc.		None			sell	2/18	J	B	

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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69. -com stk Oakley, Inc.	A	Dividend	J	T					
70. -com stk LA-Z-BOY, Inc.	A	Dividend	J	T					
71. -com stk Starbucks Corp.		None	K	T					
72. -com stk Campbell Soup Co.	A	Dividend	J	T					
73. -com stk Fairchild Semiconductor Int'l, Inc.		None			sell	2/18	K	D	
74. -com stk IAC/Interactive Corp. (f/k/a Inter Active Corp.)		None	J	T					
75. -spin off Expedia, Inc.		None	J	T	spin off	8/12	J		
76. -com stk Sun Microsystems, Inc.		None	J	T					
77. -com stk Popular, Inc.	A	Dividend	K	T	buy	12/19	J		
78. -Washington REIT	A	Dividend	K	T	buy	7/21	J		
79. -com stk Pioneer Natural Resources	A	Dividend	J	T					
80. -com stk Burlington Resources, Inc.	A	Dividend			sell	7/12	J	C	
81. -ETF I Shares MSCI Japan		None	J	T	buy	3/14	J		
82. -mutual Fairholme Fund	A	Dividend	J	T	buy	7/12	K		
83. -Blackrock Int'l Bond Portfolio	A	Dividend	J	T	buy	9/6	J		
84. -American Campus Communities REIT	A	Dividend	K	T	buy	9/6	J		
85. -com stk Grupo Televisa		None	J	T	buy	9/6	J		

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value				
3. Value Method Codes (See Column C2)					

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86. -Turkisa Investment Fund		None	J	T	buy	9/6	J		
87. IRA Brokerage Acct T. Rowe Price #2									
88. -Cash Management Acct	A	Interest	K	T					
89. -com stk DWS Europe Equity Fund formerly	A	Dividend	J	T					
90. Scudder New Europe Fund									
91. -com stk LandAmerica Financial Group	A	Dividend	J	T					
92. -com stk Verizon Communications Co.	A	Dividend	J	T					
93. -com stk Nasdaq 100	A	Dividend	J	T					
94. -com stk Sun Microsystems, Inc.		None	J	T					
95. -com stk American Woodmark Inc.	A	Dividend	J	T					
96. -com stk Fleetwood Enterprises Inc.		None	J	T					
97. -com stk Immunogen Inc.		None	J	T					
98. -com stk Trizetto Group Inc.		None	J	T					
99. -com stk Abgenix		None	J	T					
100. -adr Nokia	A	Dividend	J	T					
101. -com stk Fairchild Semiconductor, Inc.		None	J	T					
102. -U.S. Global Investors Eastern Europe (mutual)	B	Dividend	J	T					

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103. -Vanguard REIT Vipers	A	Dividend	J	T					
104. simple IRA Charles Schwab & Co.	A	Interest			closed; all stocks below	5/2	J	A	
105.					transferred to IRA Brokerage				
106.					Acct. T. Rowe Price #2				
107. -com stk Coca Cola	A	Dividend	J	T					
108. -com stk Abercrombie & Fitch	A	Dividend	J	T	sell	2/4	J	A	
109. -Vanguard Strategic Equity	A	Dividend	J	T					
110. -com stk Medtronic Inc.	A	Dividend	J	T					
111. -adr Nokia Corp.	A	Dividend	J	T					
112. -com stk Tandy Leather Factory f/k/a Leather Factory Inc		None	J	T					
113. -Developers Diversified Realty REIT preferred Class H	A	Dividend	J	T					
114. -com stk Cisco Systems Inc.		None	J	T					
115. -adr Vodafone Group PLC	A	Dividend	J	T					
116. -com stk Nautilus Group Inc.	A	Dividend	J	T					
117. -com stk Developers Diversified Realty REIT	A	Dividend	J	T					
118. -com stk AT&T f/k/a SBC Communications Inc.	A	Dividend	J	T					
119. Pension Plan Acct. TIAA-CREF	B	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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05/01/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Pension Plan Acct. TIAA-CREF	B	Interest	O	T					
121. Keogh Brokerage Account Value Line:									
122. -Value Line Cash Fund, Inc.	A	Dividend			redeem	5/12	J	A	
123. -Value Line Fund, Inc.	D	Capital Gain	K	T	transfer to IRA Brokerage	4/27-			
124.					Acct. T. Rowe Price #2				
125. 401K Plan CMC Interactive LLC									
126. -First Eagle Over A*		None	K	T					
127. -Calamos Growth A*	A	Dividend	K	T					
128. -Royce Total Return*	A	Dividend	K	T					
129. -Oakmark Equity & Income J*	A	Dividend	J	T					
130. -Selected American*	A	Dividend	K	T					
131. -Columbia Acorn Fund*	A	Dividend	J	T					
132. -Buffalo High Yield*		None	J	T					
133. -Calvert Income A*	A	Dividend			sell	4/18	J	A	
134. -Pimco Total Return	A	Dividend	J	T	buy	4/18	J		
135. -Schwab Int'l Index	A	Dividend	J	T	buy	2/3	J		
136. nonvoting stk Mandel Investment Corp.	C	Dividend	N	U					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
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Date of Report

05/01/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. 1/2 unit ltd. partnership int. in Falls Development Assoc.,									
138. ltd. real estate partnership purchased 12/30/85; total									
139. of \$16,489.50 pd in installments completed 2/10/90	A	P-ship income	K	R					
140. ltd. Partnership int. in Miraculous, LLC, ltd. real estate	C	Dividend	L	R					
141. partnership purchased 2/11/02 \$50,000									
142. Minnesota Life: endowment life	A	Dividend	J	T					
143. Trustee of Trust #2 funded w/policies from MONY Life					resigned as trustee	5/25			
144. of America on a flexible premium where excess									
145. premiums are invested in the following MONY mutual funds:									
146. -Growth & Income		None							
147. -International Growth		None							
148. -Small Company Growth		None							
149. -Growth		None							
150. Trustee of Trust #3: assets are fixed total amount of life									
151. insurance policy w/previously accumulated dividends, a term									
152. insurance policy and a paid-up insurance rider and									
153. JP Morgan/Chase bank account	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

Hornby, D. Brock

Date of Report

05/01/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)☐

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Homby Zeller Properties LLC**		None	L	U					
155. BACHZA, Inc. **		None	K	U					
156.									
157. * amounts contributed automatically on recurrent									
158. basis by employer and employee									
159. ** added by letter amendment dated 4/21/05 (attached)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting**Hornby, D. Brock****Date of Report**

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part I(1): Sole asset of Trust #1 is a term life insurance policy.

Part III(B): [REDACTED] income derives from salary and distributions in the management consulting firm of which [REDACTED] is a fifty percent shareholder, officer and employee (subchapter S corporation). There is one other shareholder and officer. The firm's income derives from contracts with state governments and, occasionally, nonprofit corporations.

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Name of Person Reporting

Hornby, D. Brock

Date of Report

05/01/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 1, 2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

UNITED STATES DISTRICT COURT
DISTRICT OF MAINE

D. BROCK HORNBY
DISTRICT JUDGE

156 FEDERAL STREET
PORTLAND, MAINE 04101
(207) 780-3280

April 21, 2005

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E., Suite 2-301
Washington, D.C. 20544

Re: Amendment to Financial Disclosure Reports

Dear Committee on Financial Disclosure:

I hereby amend my Financial Disclosure Report for Calendar Year 2004, by adding an additional entry to Part VII, as follows.

Entry to Part VII

- A. Hornby Zeller Properties LLC
- B. (1) none
(2) none
- C. (1) L
(2) U
- D. (1) [REDACTED] became partner when partnership was created
(2) May 14, 2004
(3) J

Part VIII Explanation:

This partnership (filer is not a partner; filer's [REDACTED] holds a 50% partnership interest) owns real estate in the filer's [REDACTED] business and rents the real estate to the business.

I hereby amend my Financial Disclosure Report for Calendar Years 2002, 2003 and 2004, by adding an additional entry to Part VII, as follows.

Entry to Part VII

- A. BACHZA, Inc.
- B. (1) none since creation
(2) none since creation

- C. (1) K
- (2) U
- D. (1) [REDACTED] acquired stock when corporation was created
- (2) June 1, 2002
- (3) J

Part VIII Explanation: This subchapter C corporation (filer is not a shareholder; filer's [REDACTED] holds a 25% interest) conducts one of the lines of the filer's spouse's business.

I apologize for the oversight. Please contact me if you have additional questions.

Very truly yours,

[REDACTED]

D. Brock Hornby

dlh
enclosure
FedEx