

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Folsom, David J.	2. Court or Organization U S Dist Ct., Eastern Texas	3. Date of Report 07/08/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Judge--Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U. S. Courthouse & Post Office 500 State Line Ave., Rm 309 Texarkana, TX 75501	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member	Texarkana Animal League Board
2.	
3.	
4.	
5.	

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DISTRICT CLERK'S OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Empire Electric Company
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Practicing Law Institute	02/28 to 03/04	New York, NY	Educational activities exclusive of judicial education	Airfare, meals, hotel
2. State Bar of Texas	06/05 to 06/07	Austin, TX	Activity of professional association or civic organization	Mileage, meals, hotel
3. State Bar of Texas	06/24 to 06/25	Dallas, TX	State Bar of Texas	Mileage, meals, hotel
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Wells Fargo--checking	A	Interest	K	T					Name change fr Century Bnk
2. Wells Fargo--checking	A	Interest	K	T					Name change fr Century Bnk
3. Wells Fargo--certificate of deposit	A	Interest	K	T					Name change fr Cenuury Bnk
4. Commercial National Bank ☐	A	Interest			Matured	6/3/09	K	A	
5. Commercial National Bank ☐	A	Interest			Matured	6/3/09	K	A	
6. Commercial National Bank ☐	A	Interest			Matured	6/3/09	K	A	
7. Commercial National Bank CD ☐	B	Interest	L	T	Buy	6/3/09	L		
8.									
9. EDWARD JONES (Securities account) #8									
10. Money market funds	A	Interest	J	T					
11. Automatic Data Processing	A	Dividend	J	T					
12. Broadridge Financial Solutions	A	Dividend	J	T					
13. Eli Lilly & Co	A	Dividend	J	T					
14. Illinois Tools Works	A	Dividend	J	T					
15. Scana Corp	A	Dividend	J	T					
16. Franklin Equity Income Fd	A	Dividend	J	T					
17. Franklin Flex Cap Growth Fd	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Mutual Discovery Fd Class A	A	Dividend	J	T					
19. Franklin Small Cap Value Fd	A	Dividend	J	T					
20.									
21. Wells Fargo Advisors (Securities Account) #9 (Acct closed)					Closed	6/1/09/			Name change from WACHOVIA
22. Centennial MM Trust	A	Interest			Closed	6/1/09	J		Transfer to ON Equity #11
23. OSI Pharmaceuticals		None			Closed	6/1/09	J		Transfer to ON Equity #11
24. Whole Food Market		None			Closed	6/1/09	J		Transfer to ON Equity #11
25. Putnam Voyager Fund		None			Closed	6/1/09	J		Transfer to O N Equity #11
26.									
27. O N Equity Sales Co (Securities Account) #11:					Open	6/1/09			Transfer fr Wells Fargo #9
28. Federated Capital Reserves MM	A	Interest	J	T	Buy	6/1/09	J		Transfer fr Wells Fargo #9
29. OSI Pharmaceuticals Inc Co		None	J	T	Open	6/1/09	J		Transfer fr Wells Fargo #9
30. Whole Foods Market		None	J	T	Open	6/1/09	J		Transfer fr Wells Fargo #9
31. The Bond Fund of America Class C	B	Interest	K	T	Buy	6/1/09	K		
32. Capital Income Builder Fund Class C	B	Interest	K	T	Buy	6/1/09	K		
33. The Income Fund of America Class C	B	Interest	K	T	Buy	6/1/09	K		
34. Putnam Voyager Fund Class A	A	Dividend	J	T	Open	6/1/09	J		Transfer fr Wells Fargo #9

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35.									
36. Ohio National Financial Services Annuity	A	Interest			Distributed	1/09/09	L		Transfer to Genworth Fin
37.									
38. Genworth Financial (Annuity)--transferred fr Ohio Natl Fincl	B	Int./Div.	L	T	Open	1/09/09	L		Transfer fr Ohio Natl Fin
39.									
40. Ohio National Financial Services Annuity					Closed	1/2/09	L		Transfer to Ohio Ann #12
41.									
42. The Ohio National Life Insurance Co Annuity #12	D	Int./Div.	M	T	Open	1/2/09	M		Transfer fr Ohio Natl Fin
43.									
44. SEP/INDIVIDUAL RETIREMENT ACCOUNTS:									
45. American Funds (SEP/IRA)		None			Closed	1/2/09	M		Transfer to Ohio Ann #12
46. Cash Management Trust of America		None			Closed	1/2/09	J		Transfer to Ohio Ann #12
47.									
48. MERRILL LYNCH (SEP/IRA): No. 3;									
49. ML Retirement Money Fund	A	Dividnd	J	T					
50. AIM Constellation Fund	A	Dividend	J	T					
51.									

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
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P3 = \$25,000,001 - \$50,000,000
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G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. MERRILL LYNCH (SEP/IRA) No. 4:									
53. ML Cash account	A	Dividend	K	T					
54. Federal Natl Mtg Assn	A	Interest	J	T					
55. PMC Sierra Inc		None	K	T					
56.									
57. Wells Fargo #10:									Name change fr Wachovia
58. Cash account	A	Dividend	J	T					
59. Goldman Sachs Group	A	Dividend	J	T					
60.									
61. FLOYD CAPITAL MGMT-Schwab (SEP/IRA): #7:									
62. Schwab US Treasury Money Fund	B	Interest	N	T					
63. Loomis Sayles Bond Cl 1		None			Sold	2/27/09	L	B	
64.									
65.									
66.									
67.									
68.									

1. Income Gain Codes:
(See Columns B1 and D4)

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3. Value Method Codes
(See Column C2)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69.									
70.									

1. Income Gain Codes:
(See Columns B1 and D4)

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H1 = \$1,000,001 - \$5,000,000

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H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

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3. Value Method Codes
(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544