

AMENDED

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Shapiro, Norma L	2. Court or Organization U.S. District Court, E.D. PA	3. Date of Report 8/31/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (Senior)	5. Report Type (check appropriate type) ☐ Nomination, Date 8/3/1978 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 10614 U.S. Courthouse 601 Market Street Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Chair, Judicial Division Program Committee	American Bar Association
2. Trustee/Committee Chair	Jewish Publication Society
3. Chair, Justice Center Coordinating Council	American Bar Association
4. Board of Governors	American Bar Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Shapiro, Norma L

Date of Report

8/31/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.		0.00

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	Albert Einstein Medical Center 403(b) Tax Shelter Prudential Insurance Co. America
2.	Albert Einstein Medical Center—Pension

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Bar Association	April 11-13, 2003 Spring Meeting, Washington, DC (Transportation, meals and room)
2. American Bar Association	June 4-8, 2003 Board of Governors Meeting, Pinehurst, NC (Transportation, meals and room)
3. American Bar Association	Aug. 7-13, 2003 Annual Meeting, San Francisco, CA (Transportation, meals and room)
4. American Bar Association	Sept. 18-19, 2003 Section Officers Conference, Chicago, IL (Transportation, meals and room)
5. American Bar Association	Nov. 12-16, 2003 Board of Governors Meeting, Scottsdale, AZ (Transportation, meals and room)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Shapiro, Norma L

Date of Report

8/31/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. Northwestern Mutual Life Ins. Co.

Policy Loan

J

FINANCIAL DISCLOSURE REPORT

Page 1 of 2

Name of Person Reporting
Shapiro, Norma L

Date of Report
8/31/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. State of Israel Savings Bond		Interest		T					
2. [REDACTED] (Checking Acct.)		Interest		T					
3. [REDACTED] Checking Accounts		Interest		T					
4. [REDACTED] Combined Savings Accounts		Interest		T					
5. Alliance Bernstein Cap Reserve		Dividend		T					
6. 4 MBNA American Bank CDs		Interest		T					
7. Verizon Communications Corp.		Dividend			Sell	1/10			
8. Lord Abbett Affiliated Fund		Dividend		T					
9. American Savings-NOW [REDACTED]		Interest		T					
10. Franklin Federal Tax Free Fund		Interest		T					
11. Hudson Bank		Dividend		T					
12. Lord Abbett Tax Free Fund		Dividend		T					
13. AT&T-30 AT&T Corp. NEW		None			Sell	6/17			
14. Vv Finance		Dividend		T					
15. Life Strategy Growth Fund		Dividend		T					
16. Life Strategy Mod. Growth		Dividend		T					
17. STAR Fund		Dividend		T					
18. AT&T Wireless Services, Inc.		None			Sell	6/17			Acquired by spin off

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 2 of 2

Name of Person Reporting
Shapiro, Norma L

Date of Report
8/31/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. Oppenheimer Municipal Bond		Interest		T	Buy	1/10			
20. Xcel Energy		Dividend			Sell	6/17			
21. NRG Energy—Exchanged for Cell Energy Inc.		Dividend			Sell	6/17			
22. Comcast Corp. New CLA (X)		None			Sell	6/17			

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Shapiro, Norma L

Date of Report

8/31/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Shapiro, Norma L

Date of Report

8/31/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

August 31, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

JUDGE SHAPIRO
FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

P.03
Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Shapiro, Norma L	2. Court or Organization U.S. District Court, E.D. PA	3. Date of Report 8/31/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge (Senior)	5. Report Type (check appropriate type) ☐ Nomination Date 8/3/1978 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 10616 U.S. Courthouse 601 Market Street Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Chair, Judicial Division Program Committee	American Bar Association
2. Trustee/Committee Chair	Jewish Publication Society
3. Chair, Justice Center Coordinating Council	American Bar Association
4. Board of Governors	American Bar Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
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Shapiro, Norma L.

8/31/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

0.00

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

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2.

Albert Einstein Medical Center-Pension

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

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Shapiro, Norma L.

8/31/2004

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1.		

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
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- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

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JUDGE SHAPIRO

P.08

Shapiro, Norma L

8/31/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Shapiro, Norma L

8/31/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

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Signature

Date

August 3, 2004

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FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544