

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Smith, Ortrie D	2. Court or Organization U.S.D.C. MO, Western District	3. Date of Report 03/17/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge (Active)	5. ReportType (check appropriate type) ☐ Nomination, Date 06/30/1995 ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address Room 8552 U.S. Courthouse 400 East 9th Street Kansas City, MO 64106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ **NONE** - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Chairman of the Board	Missouri Institute for Justice, Inc.
2. Trustee	University of Missouri-Kansas City Law School Foundation
3. Fellow	Missouri Bar Foundation
4. Fellow	American Bar Foundation
5. Master	Ross T. Roberts Inn of Court
6. Director	The Foundation For Lawyers Encouraging Academic Performance
7. Director	Historical Society of the United States Courts for the Eighth Circuit
8. Secretary	Historical Society of the United States Courts for the Eighth Circuit - Western District of Missouri Branch
9. Director	University of Missouri-Kansas City Law School Alumni Association

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____

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III. NON-INVESTMENT INCOME(Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

_____**B. Spouse's Non-Investment Income** - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.

_____**IV. REIMBURSEMENTS**-- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. Missouri Organization of Defense Lawyers

June 6 - 8, 2003 - Branson, MO; Seminar - Trial Judge Panel; lodging and food.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☒ **NONE** - (No such reportable gifts.)SOURCEDESCRIPTIONVALUE

1. _____

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)CREDITORDESCRIPTIONVALUE CODE

1. _____

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VII. INVESTMENTS and TRUSTS-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Abbott Laboratories - Com Stk	A	Dividend	J	T					
2. Intel Corp - Com Stk	A	Dividend	K	T					
3. Merck & Co Inc. - Com Stk	A	Dividend	K	T					
4. Microsoft Corp - Com Stk	A	Dividend	M	T					
5. Wal-Mart Stores, Inc. - Com Stk	A	Dividend	L	T					
6. New Perspective Fund, Inc.	A	Dividend	K	T					
7. Putnam Voyager Fund - CL A	A	Dividend	M	T	Partial Sell	12/31	K	D	
8. FHLMTG PARTNCTFS, GTD	A	Interest	J	T					
9. FNMA GTD	A	Interest	J	T					
10. Financing Corp CPN FICO STRIPS		None	K	T					
11. AMGEN, INC. - Com Stk		None	K	T					
12. Putnam Int'l. Growth Fund CL A	A	Dividend	K	T	Sell	11/10	K	D	
13. Putnam Int'l Growth Fund CL M		None	J	T	Sell	11/10	K	D	
14. Oracle Corp		None	J	T					
15. Household Finance Corp. - Senior Notes	A	Interest	J	T					
16. SPDR S&P Dep. Rept.	A	Dividend	J	T	Sell	4/15	J	A	
17. Hewlett-Packard Co.	A	Dividend	J	T	Sell	5/6	J	D	
18. Garmin Ltd.	A	Dividend	J	T	Buy	5/6			

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	

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19. XM Satellite Radio		None	J	T	Buy	5/6			
20. Ishares MSCI	A	Dividend	K	T	Buy	11/10			
21. Ishares Trust DJ Selected		None	K	T	Buy	11/10			
22. Vanguard 500 Index Fund	A	Dividend	K	T	Buy	2/27			

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date 3/17/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544