

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Block, Frederic	2. Court or Organization U.S. District Court	3. Date of Report 05/05/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 225 Cadman Plaza E. Eastern District Court of NY Brooklyn, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Officer	Frederic Block, P.C.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	NEW YORK STATE TEACHERS' RETIREMENT
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ALLIED CAPITAL CORP.	B	Dividend			Sell	1/26	K	D	
2. AMERICA MOVIL SER L ADR (1)	B	Dividend	K	T					
3. AT&T INC. SENIOR NOTES 6.375% 2/15/56 (1)	A	Interest	J	T	Buy	2/6	J		
4. CITIGROUP INC. (1)	A	Interest	J	T					
5. COHEN STEERS CLOSED END OPPTY FD(1)	B	Dividend	K	T					
6. COHEN & STEERS INTN REALTY FD CL C	A	Dividend	K	T	Buy	1/3	K		
7. COHEN & STEERS INTN RALTY FD CL C		None			Sell	10/3	K	D	
8. DELAWARE INVNT GBL D INCM (1)	A	Dividend	J	T					
9. DNP SELECT INCOME FD INC.	B	Dividend			Sell	5/18	K	B	
10. EATON VANCE TAX-MANAGED GBL DIV EQUITY FD(1)	B	Dividend	J	T					
11. EATON VANCE TAX-MANAGED GBL DIV	C	Dividend	K	T	Buy	2/22	K		
12. FIRST TR TAX ADVANTAGED PFD INC FD(1)	B	Dividend	J	T					
13. FIRST RR TAX ADVANT. PFD INC FD	C	Dividend	K	T	Buy	4/4	K		
14. FLAHERTY & CRUMRINE CLAYMORE PFD SEC INC FD(1)	A	Dividend	J	T	Buy	5/15	J		
15. FRT TRT VAL INDX(1)	A	Dividend	K	T	Buy	1/31	K		
16. FRT TRT VAL INDX(1)		None			Sell	5/15	K	A	
17. CAPITAL ONE FIN.(1)	A	Dividend			Sell	5/15	J	D	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18. GENERAL ELECTRIC(1)	A	Dividend	K	T					
19. CHEVRON/TEXACO CORP.(1)	A	Dividend			Sell	5/15	K	D	
20. ALLIED CAPITAL CORP.(1)	B	Dividend			Sell	1/26	K	D	
21. DNP SELECT INCOME FUND INC.(1)	B	Dividend	K	T					
22. NORTH FORK now CAPITAL ONE FIN.	A	Dividend			Sell	10/2	J		
23. BLACKROCK INCM TR INC(1)	A	Dividend	K	T					
24. BLACKROCK INCM TR INC	B	Dividend			Sell	10/18	K		
25. BLACKROCK NY MN I TR SBI	C	Dividend	L	T					
26. EATON VANCE INS NY MUN	C	Dividend	L	T	Buy	4/16	L		
27. EATON VANCE TAX ADV. DIV. INC. FD.	B	Dividend			Sell	4/4	K	D	
28. ACE LIMITED DEP SHR REP 1/10 CUM RED 7.8% SER C(1)	A	Interest	J	T					
29. KIMCO REALTY CORP. DEP SHR REP 1/10 CUM RD 6.65% PFD SHR (1)	A	Interest	J	T					
30. CITIGROUP CAP. VIII DEF INT TRUST PFD STK 6.950% 09/15/31	A	Interest	J	T	Part. Sell	10/3	J		
31. GENERAL ELECTRIC CAPTL SENIOR NOTED PFD 5.875% 2/18/33(1)	B	Interest	K	T					
32. VIRGINIA POWER CAP TR II GRD TR PFD SECS 7.375% 07/30/42	B	Dividend			Sell	3/20	K		
33. CITIGP CAPITAL VIII DEF INT TRUST PFD STK 6.959% 09/15/31(1)	B	Interest	K	T					
34. WELLS FARGO CAP VIII 5.625% 8-1-33(1)	B	Dividend	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
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35. BAYSHORE NY UN FREE SCH 4.25% 1-15-24(1)	B	Interest	K	T					
36. LONG ISLAND PWR AT NY EL 4.5% 12-1-24(1)	B	Interest	K	T					
37. METRO TRANSIT 5.75 7/13	A	Interest			Sell	10/2	J		
38. NY NYC MUN WFA 4.5% 6-15-36(1)	B	Interest	K	T					
39. NYS EFC ST CLN DRKG SER A 4.25% 11-15-19(1)	B	Interest	K	T					
40. NEW ROCHELLE NY PUB INPT SER A 4.125% 3-15-22(1)	B	Interest	K	T	buy	7/26	K		
41. NYS SER A 4% 3-15-22(1)	A	Interest	K	T					
42. NYC NY SER H 5.00 03/15/29(1)	A	Interest	J	T					
43. HARTFORD DIRECTOR(1)		None	N	T	Part. Redeem	2/2	L		
44. ABN AMRO CAP FD TR VII PFD NON CUM 6.08% PERP	A	Dividend	K	T	Buy	9/27	K		
45. ROYAL BK SCOT GRP PLC 6.35% NONCUM PRF SR-N	A	Dividend	K	T	Buy	9/27	K		
46. BLACKROCK MUNIYIELD NY INS FD INC	A	Dividend	K	T					
47. NUVEEN NY INV QUAL MUNI	B	Dividend	L	T	Buy	4/16	L		
48. NUVEEN NY QUAL INC MUNI	A	Dividend	K	T					
49. PIMCO NY MUN INC FD	A	Dividend	K	T					
50. MORTGAGE IT HOLDINGS INC(1)	A	Dividend			exchange	1/4	J		
51. AMERICAN CAPITAL WLD G&I CL C(2)	A	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and B3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

Q = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

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52. AMERICAN CAP INC BUILDER CL C(2)	B	Dividend	L	T					
53. AMERICAN BLNCD CL C(2)	A	Dividend	K	T					
54. AXAENTERPRISE MERG & ACQUI. FD CL C (2)		None			Sell	1/24	K		
55. BLACKROCK EQUITYFD C(2)	A	Dividend	L	T					
56. ML GLOBAL ALLOC. C new name BLACKROCK(2)	A	Dividend			Sell	4/16	K	B	
57. COHEN & STEERS CLOSED END OPPTY FD(2)	B	Dividend	K	T					
58. COLUMBIA TECH FD CL C(2)		None			Sell	5/17	K		
59. DIAMOND HILL LONG SHORT FD CL C(2)	A	Dividend			Sell	9/27	L	C	
60. PHOENIX REAL ESTATE SEC FD C(2)	A	Dividend			Sell	3/27	K	D	
61. ROCHESTER LTM TERM NY MUN FD CL C(2)	B	Dividend			Sell	9/27	L		
62. MERRILL LYNCH BANK USA(2)	A	Interest	J	T					
63. MERRILL LYNCH BANK & TRUST(2)	A	Interest			closed	1/15	J		
64. MERRILL LYNCH BANK USA(1)	A	Interest	K	T					
65. MERRILL LYNCH BANK & TRUST (1)	A	Interest	J	T					
66. MERRILL LYNCH BANK USA	A	Interest	K	T					
67. ALGER CAPITAL APPRECIATION CL B (4)		None	J	T					
68. SATURNS-GS 2004-2 SER GS 5.75% 2/15/33	B	Interest	K	T	Buy	3/20	K		

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69. SELIGMAN COMM & INF FD CL A (4)		None	J	T					
70. ABB LTD SPON ADR(5)	A	Dividend	J	T	Buy	1/3	J		
71. ADIDAS AG SPON ADR(5)	A	Dividend	J	T	Buy	1/3	J		
72. ADVANCED MICRO D INC(5)	A	Dividend	J	T	Buy	1/3	J		
73. ADVANCED MICRO D INC(5)	A	Dividend			Sell	3/20	J		
74. AES CORP(5)		None	J	T	Buy	2/8	J		
75. AETNA INC NEW(5)	A	Dividend	J	T	Buy	1/3	J		
76. AETNA INC NEW(5)	A	Dividend			Sell	6/19	J	A	
77. AFF. MANAGERS GRP(5)	A	Dividend	J	T	Buy	1/3	J		
78. ALNYLAM PHARM.(5)		None	J	T	Buy	1/3	J		
79. AMER EAGLE OUTFITTERS(5)	A	Dividend	J	T	Buy	9/19	J		
80. AM EX CO(5)	A	Dividend	J	T	Buy	1/3	J		
81. AMER INTL GRP (5)	A	Dividend	J	T	Buy	10/17	J		
82. AMER MOVIL SAB DE CV(50	A	Dividend	J	T	Buy	6/5	J		
83. ANHEUSR BUSCH COS (5)	A	Dividend	J	T	Buy	1/3	J		
84. AON CORP(5)	A	Dividend	J	T	Buy	1/3	J		
85. APACHE CORP(5)	A	Dividend	J	T	Buy	1/3	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

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86. APACHE CORP(5)	A	Dividend	J	T	Part. Sell	6/1	J	A	
87. APOLLO GROUP INC CL C		None	J	T	Buy	1/3	J		
88. APOLLO GROUP INC CL C		None			Sell	5/7	J	A	
89. ARCHSTONE SMITH TR		None	J	T	Buy	1/3	J		
90. ARCHSTONE SMITH TR		None			Sell	10/9	J	A	
91. AT&T INC(5)	A	Dividend	J	T	Buy	1/3	J		
92. AT&T INC(5)	A	Dividend			Sell	9/11	J	A	
93. AUTOZONE NEV COM		None		T	Buy	1/3	J		
94. AUTOZONE NEV COM		None		T	Sell	4/3	J	A	
95. BANK OF AMERICA CORP (5)	A	Dividend	J	T	Buy	1/3	J		
96. BANK OF AMERICA CORP (5)	A	Dividend	J	T	Part Sell	11/20	J		
97. BARR PAHARM(5)	A	Dividend	J	T	Buy	1/19	J		
98. BARRICK GOLD CORP.(5)		None	J	T	Buy	5/7	J		
99. BARRICK GOLD CORP.(5)		None	J	T	Part Sell	9/14	J	A	
100. BAXTER INTNL(5)	A	Dividend	J	T	Buy	1/3	J		
101. BHP BILLITON LTD ADR(5)	A	Dividend	J	T	Buy	1/3	J		
102. BIOGEN IDEC INC(5)	A	Dividend	J	T	Buy	6/13	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. BIOGEN IDEC INC(5)	A	Dividend			Sell	12/19	J	A	
104. BOSTON PPTYS INC(5)	A	Dividend	J	T	Buy	1/3	J		
105. BOSTON SCIENTIFIC CORP(5)		None	J	T	Buy	1/3	J		
106. CANADIAN NATURAL RES LTD(5)	A	Dividend	J	T	Buy	2/22	J		
107. CATERPILLAR INC DEL(50)	A	Dividend	J	T	Buy	1/3	J		
108. CHESAPEAKE ENERGY OKLA(5)	A	Dividend	J	T	Buy	1/3	J		
109. CHUBB CORP.(5)	A	Dividend	J	T	Buy	1/3	J		
110. CHUBB CORP.(5)	A	Dividend			Sell	7/11	J	A	
111. CIGNA CORP(5)		None	J	T	Buy	1/3	J		
112. CITIGROUP INC(5)	A	Dividend	J	T	Buy	6/18	J		
113. CITIGROUP INC(5)	A	Dividend			Sell	11/7	J		
114. COCA COLA COM(5)	A	Dividend	J	T	Buy	1/3	J		
115. COMCAST CORP NEW CL A(5)		None	J	T	Buy	1/3	J		
116. CONOCOPHILLIPS(5)	A	Dividend	J	T	Buy	1/3	J		
117. CONOCOPHILLIPS(5)	A	Dividend	J	T	Part. Sell	12/4	J	A	
118. CREDIT SUISSE GP SP ADR(5)	A	Dividend	J	T	Buy	1/3	J		
119. CYTEC INDUST.(5)	A	Dividend	J	T	Buy	6/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	● = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. DEVON ENERGY CORP NEW (5)	A	Dividend	J	T	Buy	1/3	J		
121. DISNEY(WALT) COM COM STK(5)	A	Dividend	J	T	Buy	1/3	J		
122. DISNEY(WALT) COM COM STK(5)	A	Dividend	J	T	Part. Sell	5/21	J	A	
123. DUKE ENERGY CORP. NEW (5)	A	Dividend	J	T	Buy	1/3	J		
124. DUKE ENERGY CORP. NEW (5)	A	Dividend			Sell	6/8	J	A	
125. EL PASO CORP.(5)	A	Dividend	J	T	Buy	1/3	J		
126. EMC CORP MASS(5)	A	Dividend	J	T	Buy	1/3	J		
127. EMC CORP MASS(5)	A	Dividend			Sell	10/31	J	A	
128. EMERSON ELEC CO(5)	A	Dividend	J	T	Buy	1/3	J		
129. EQUITY RESIDENTIAL(5)	A	Dividend	J	T	Buy	1/3	J		
130. FLEXTRONICS INTL LTD(5)		None	J	T	Buy	1/3	J		
131. GENERAL ELECTRIC(5)	A	Dividend	J	T	Buy	1/3	J		
132. GENERAL GROWTH PPTYS INC(5)	A	Dividend	J	T	Buy	1/3	J		
133. GENWORTH FINL INC COM(5)	A	Dividend	J	T	Buy	1/3	J		
134. GENWORTH FINL INC COM(5)	A	Dividend			Sell	5/14	J	A	
135. GOLD CORP INC(5)	A	Dividend	J	T	Buy	1/3	J		
136. HARMONETICS CORP MASS(5)		None	J	T	Buy	1/3	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	● = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. HALLIBURTON CO(5)	A	Dividend	J	T	Buy	5/14	J		
138. HELIX ENERGY SOLUTIONS(5)		None	J	T	Buy	6/26	J		
139. HEWLETT PACKARD CO DEL(5)	A	Dividend	J	T	Buy	1/3	J		
140. HEWLETT PACKARD CO DEL(5)	A	Dividend	J	T	Part Sell	10/15	J	A	
141. HONDA MOTOR ADR NEW(5)	A	Dividend	J	T	Buy	1/3	J		
142. HONDA MOTOR ADR NEW(5)	A	Dividend			Sell	9/27	J	A	
143. INTL BUSINESS MACHINES CORP (5)	A	Dividend	J	T	Buy	8/10	J		
144. INTRPUBLIC GRP OF CO(5)		None	J	T	Buy	1/3	J		
145. INVESCO LTD(5)		None	J	T	Buy	6/20	J		
146. JANUS CAP GRP INC(5)		None	J	T	Buy	1/3	J		
147. JANUS CAP GRP INC(5)		None			Sell	8/10	J	A	
148. JP MORGAN CHASE(5)	A	Dividend	J	T	Buy	1/3	J		
149. JP MORGAN CHASE(5)	A	Dividend			Sell	11/20	J	A	
150. KINETIC CONCEPTS INC(5)		None	J	T	Buy	1/3	J		
151. LIBERTY MEDIA HLDG(5)	A	Dividend	J	T	Buy	1/3	J		
152. LIBERTY MEDIA HLDG(5)	A	Dividend			Sell	6/27	J	A	
153. LYONDELL CHEM PV \$1 (5)	A	Dividend	J	T	Buy	1/3	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. LYONDELL CHEM PV \$1 (5)	A	Dividend			Sell	4/17	J	A	
155. MACYS INC(5)	A	Dividend	J	T	Buy	1/3	J		
156. MARSH & MCLENNAN COS INC(5)	A	Dividend	J	T	Buy	2/26	J		
157. MARSH & MCLENNAN COS INC(5)	A	Dividend	J	T	Part Sell	10/19	J		
158. MCDERMOTT INTL INC(5)	A	Dividend	J	T	Buy	1/24	J		
159. MCDERMOTT INTL INC(5)	A	Dividend			Sell	10/12	J		
160. MCDONALDS CORP COM(5)	A	Dividend	J	T	Buy	1/3	J		
161. MEMC ELECTR MATLS INC(5)		None	J	T	Buy	11/1	J		
162. MICROSOFT CORP(5)	A	Dividend	J	T	Buy	5/8	J		
163. MOSAIC CO(5)	A	Dividend	J	T	Buy	1/3	J		
164. MOSAIC CO(5)	A	Dividend			Sell	5/9	J	A	
165. MOTOROLA INC COM(5)	A	Dividend	J	T	Buy	1/3	J		
166. MOTOROLA INC COM(5)	A	Dividend			Sell	9/17	J		
167. MURPHY OIL CORP(5)	A	Dividend	J	T	Buy	1/3	J		
168. MURPHY OIL CORP(5)	A	Dividend	J	T	Part Sell	10/31	J	A	
169. NEWMONT MINING CORP(5)	A	Dividend	J	T	Buy	1/3	J		
170. NOVARTIS ADR(5)	A	Dividend	J	T	Buy	1/3	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. OAO GAZPROM SPON ADR(5)	A	Dividend	J	T	Buy	2/21	J		
172. OILSANDS QUEST INC(5)		None	J	T	Buy	8/10	J		
173. PFIZER INC DEL PV\$0.05 (5)	A	Dividend	J	T	Buy	1/3	J		
174. PIONEER NATURAL RES CO(5)	A	Dividend	J	T	Buy	4/10	J		
175. PROCTOR & GAMBLE CO(5)	A	Dividend	J	T	Buy	1/3	J		
176. PUBLIC STORAGE \$0.10 (5)	A	Dividend	J	T	Buy	1/3	J		
177. ROYAL DUTCH SHELL PLC SPONS ADR A(5)	A	Dividend	J	T	Buy	2/6	J		
178. SAP AKGSLTT SPONS ADR(5)	A	Dividend	J	T	Buy	1/29	J		
179. SCHLUMBERGER LTD(5)	A	Dividend	J	T	Buy	2/22	J		
180. SIEMENS AG ADR(5)	A	Dividend	J	T	Buy	4/24	J		
181. SILVER WHEATON CORP(5)		None	J	T	Buy	4/24	J		
182. SIMON PROP GRP DEL(5)	A	Dividend	J	T	Buy	1/3	J		
183. SPRINT NEXTEL CORP(5)	A	Dividend	J	T	Buy	1/3	J		
184. SPRINT NEXTEL CORP(5)	A	Dividend			Sell	6/27	J		
185. SOUTHWEST AIRLNS CO(5)	A	Dividend	J	T	Buy	1/3	J		
186. SOUTHWESTERN ENERGY CO(5)		None	J	T	Buy	1/3	J		
187. SPECTRA ENERGY CORP(5)	A	Dividend	J	T	Buy	9/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
188. STRYKER CORP(5)	A	Dividend	J	T	Buy	1/3	J		
189. STRYKER CORP(5)	A	Dividend	J	T	Part Sell	6/13	J	A	
190. SUN MICROSYS INC(5)		None	J	T	Buy	9/12	J		
191. SUNCOR ENERGY INC NPV(5)	A	Dividend	J	T	Buy	1/3	J		
192. SUNTECH PWR HLDGS CO LTD(5)		None	J	T	Buy	10/3	J		
193. SYMANTEC CORP COM (5)		None	J	T	Buy	1/3	J		
194. SYMANTEC CORP COM (5)		None	J	T	Part Sell	12/28	J		
195. TESORO CORP(5)	A	Dividend	J	T	Buy	11/21	J		
196. TEXAS INSTRM(5)	A	Dividend	J	T	Buy	1/3	J		
197. TIME WARNER INC NEW (5)	A	Dividend	J	T	Buy	1/3	J		
198. TITANIUM METALS CORP NEW(5)	A	Dividend	J	T	Buy	1/3	J		
199. UNILEVER NV NY REGS SHS(5)	A	Dividend	J	T	Buy	1/3	J		
200. UNILEVER NV NY REGS SHS(5)	A	Dividend	J	T	Part Sell	11/1	J	A	
201. UNUM GROUP(5)	A	Dividend	J	T	Buy	1/3	J		
202. US BANCORP NEW(5)	A	Dividend	J	T	Buy	1/3	J		
203. US BANCORP NEW(5)	A	Dividend			Sell	8/10	J		
204. VORNADO REALTY TST COM (5)	A	Dividend	J	T	Buy	1/3	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

● = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. WAL-MART STORES INC(5)	A	Dividend	J	T	Buy	1/3	J		
206. WASHINGTON POST CO B (5)	A	Dividend	J	T	Buy	1/25	J		
207. WASTE MGMT INC NEW(5)	A	Dividend	J	T	Buy	2/9	J		
208. WEATHERFORD INTL LTD BERMUDA(5)		None	J	T	Buy	1/3	J		
209. WEBMD HEALTH CORP(5)		None	J	T	Buy	1/3	J		
210. WSTN DIGITAL CORP DEL(5)		None	J	T	Buy	6/22	J		
211. WSTN DIGITAL CORP DEL(5)		None	J	T	Part Sell	11/29	J	A	
212. WYNN RESORTS LTD(5)		None	J	T	Buy	1/3	J		
213. STREETTRACKS GOLD TR(5)		None	J	T	Buy	1/3	J		
214. MERRILL LYNCH BK USA(5)	A	Interest	J	T	deposit	1/3	J		
215. IRA - F. BLOCK									
216. -ALLIED CAPITAL CORP. NEW	C	Dividend			Sell	1/17	K		
217. COMCAST CORP.USCRD NOTES SER B 7% 9-15-55	B	Dividend	K	T					
218. -DNP SELECT INCOME FD INC	B	Dividend			Sell	5/7	K	A	
219. FRT TRT VAL INDX	A	Dividend	K	T	Buy	2/9	K		
220. FRT TRT VAL INDX	A	Dividend			Sell	10/9	K		
221. -NEWCASTLE INVESTMENT CRP REITS DIVERS.	C	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 G = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
222. -GEORGIA PWR CAP TRUST V DEF INT TR PFD 7.125% 03/31/42	B	Interest			Redeem	6/21	K		
223. -GENERAL ELECTRIC CAPTLSENIOR NOTES PFD STK 5.875% 2/18/33	B	Interest			Sell	1/3	K	A	
224. -MERRILL LYNCH & CO SRUS SER MLNP 4.15 10/4/11	B	Interest	K	T					
225. -MORGAN STANLEY - TR V DEF INT TR PFD 5.75% 7/15/33	B	Interest	K	T					
226. -HERTZ CORP. NOTES 7.4% 03/01/11	C	Interest	K	T					
227. CBS CORP. 7.25% 06/30/51	B	Interest	K	T					
228. -CITIGROUP CAP VIII DEF INT TR PFD STK 6.95% 09/15/31	C	Interest	K	T	Part. Sell	2/14	K		
229. -WELLS FARGO TR VI TRUST PFD SEC 6.95% 04/15/32	C	Interest			Sell	3/28	L	A	
230. -VERIZON NEW - SR NOTES 7% 5/15/42	C	Interest			Sell	3/23	K		
231. -VIRGINIA POWER TR II GTD TR - SECS 7.375% 07/30/42	C	Interest			Sell	3/23	K		
232. -VORNADO REALTY TRUST SER F CUM PFD SHS 6.75% PERP	C	Interest	K	T					
233. ALLIANZ NFJ DIVD VALUE CL C	A	Dividend			Sell	12/6	K		
234. ALLIANZ NACM PACIFIC RIM FD CL C		None	K	T	Buy	6/5	K		
235. AMERICAN FUNDM INVT CL C FD	A	Dividend	L	T					
236. AMERICAN CAP WLD GRW & INC CL C	A	Dividend	K	T					
237. AMERICAN CAP INC BUILDER CL C	B	Dividend	L	T					
238. AMERICAN CAP. WORLD BD FD CL C	A	Dividend	K	T	Buy	12/28	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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05/05/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
239. AMERICAN HIGH INC TRUST FD CL C	B	Dividend	K	T	Buy	12/28	K		
240. AMERICAN BOND FD OF AMERICA CL C	B	Dividend	K	T	Buy	12/28	K		
241. ARROW DWA BALANCED FD CL A	A	Dividend	L	T	Buy	8/31	L		
242. BLACKROCK EQUITY DIV FD C	A	Dividend	K	T					
243. -BLACKROCK INCM TR INC	B	Interest			Sell	10/23	K		
244. COHEN & STEERS CLOSED END OPPTY FD	B	Dividend	K	T					
245. COLUMBIA ACORN SELECT FD CL C		None	K	T	Buy	4/16	L		
246. DELAWARE INVNT GBL D INC	B	Dividend	K	T	Buy	5/2	K		
247. -EVERGREEN MANAGED INCOME FUND Multi Sector	C	Dividend	K	T					
248. FRANKLIN TEMPLETON HARD CURRCY FD	A	Dividend			Sell	4/19	K		
249. -HANCOCK JOHN INC S T SBI	C	Dividend			Sell	10/23	K	A	
250. HANCOCK PREF INC 3	C	Dividend	K	T	Buy	10/18	K		
251. IVY ASSET STRATEGY FD CL C		None	K	T	Buy	12/3	K		
252. IVY CAPITAL APPRC. FD CL C		None	K	T	Buy	8/2	K		
253. BLACKROCK ALLOCATION C	A	Dividend	K	T	Part. Sell	8/24	K		
254. -MFS GOVT MKTS INC TR SBI	B	Dividend			Sell	1/12	K		
255. NATIXIS INC DIV PTFLIO CL C	B	Dividend	K	T	Buy	1/24	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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Name of Person Reporting

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544