

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) BATES, John D	2. Court or Organization U.S. District Court for D.C.	3. Date of Report 5/24/2005
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) District Judge - Article III	5. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2004 to 12/31/2004
7. Chambers or Office Address U.S. Courthouse 333 Constitution Avenue, NW Washington, D.C. 20001	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ **NONE** - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ **NONE** - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2004



2. 2004

Steptoe & Johnson

3. 2004

Consulting (charitable groups focused on hunger issues)

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. Bar Association of the City of New York

Handler Lecture - speech on antitrust law

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Union First Account	A	Interest	K	T					
2. Sun Trust Accounts	A	Interest	J	T					
3. Zirkin-City Nat'l Inv. - PSP-C									
4. --Fidelity Adv. Growth & Income Fund	A	Dividend	K	T					
5. --CNI Charter Prime Money Fund	A	Dividend	J	T					
6. --CISCO Sys. Common		None	K	T	buy	6/28	K		
7. --I Shares Tech Index Fund	A	Dividend			sell	6/28	K	D	
8. --AES Corp Common		None	K	T					
9. --Chevron Texaco Common		None	K	T					
10. --HP Common		None	K	T	buy	6/28	K		
11.									
12.									
13. Zirkin-City Nat'l Inv. - 401K -C									
14. --CNI Charter Prime Money Fund	A	Dividend	K	T	buy/sell	var.	L		
15. --Abbott Labs - Common		None	K	T					
16. --Amer Int'l Group - Common		None	K	T					
17. --Amer Mgmt Sys - Common		None			sell	5/3	K		
18. --Auto Data Proc - Common		None	K	T					

- | | | | | | |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
| 1. Income/Gain Codes: | A = \$1,000 or less | B = \$1,001-\$2,500 | C = \$2,501-\$5,000 | D = \$5,001-\$15,000 | E = \$15,001-\$50,000 |
| (See Columns B1 and D4) | F = \$50,001-\$100,000 | G = \$100,001-\$1,000,000 | H1 = \$1,000,001-\$5,000,000 | H2 = More than \$5,000,000 | |
| 2. Value Codes: | J = \$15,000 or less | K = \$15,001-\$50,000 | L = \$50,001-\$100,000 | M = \$100,001-\$250,000 | |
| (See Columns C1 and D3) | N = \$250,000-\$500,000 | O = \$500,001-\$1,000,000 | P1 = \$1,000,001-\$5,000,000 | P2 = \$5,000,001-\$25,000,000 | |
| | P3 = \$25,000,001-\$50,000,000 | | P4 = \$More than \$50,000,000 | | |
| 3. Value Method Codes | Q = Appraisal | R = Cost (Real Estate Only) | S = Assessment | T = Cash/Market | |
| (See Column C2) | U = Book Value | V = Other | W = Estimated | | |

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. --Amer Cap Str Common		None	K	T	buy	6/28	K		
20. --Dow Chem Common		None	K	T	buy	3/26	K		
21. --Home Depot - Common		None	K	T					
22. --JP Morgan Chase & Co - Common		None	K	T	sell	3/26	J		
23.					sell	4/20	J		
24. --Pfizer Common		None	K	T					
25. --Tyco Int'l - Common		None	K	T					
26. --Smith Barney Money Fund	A	Dividend			distribution	2/20	J		
27. --Bank of Amer. - Common		None	K	T					
28. --SLM Corp. - Common		None	K	T					
29. --Wash Mutual Common		None	K	T	buy	6/28	K		
30. --Procter & Gamble - Common		None	K	T					
31. --Zimmer Hldgs - Common		None	K	T	sell	3/26	J		
32.					sell	4/20	J		
33. --Verizon Common		None	K	T	buy	6/28	K		
34. --Capital One Fin'l - Pref.		None	K	T					
35. --Quest Diag. - Common		None	K	T	sell	3/26	J		
36. --Baxter Int'l - Pref		None	K	T					

- | | | | | | |
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37. Smith Barney - Joint									
38. --Citibank Money Fund	A	Interest	L	T					
39. --AIM Aggressive Growth	A	Dividend	J	T					
40. --AIM Const Fund C	A	Dividend	J	T					
41. --GE Life & Annuity	A	Dividend	J	T					
42. --Transamerica Life Annuity (PLF Endeavor)	A	Dividend	J	T					
43. --Fidelity Blue Chip Growth	A	Dividend	J	T					
44. --Fidelity Dividend Growth	A	Dividend	J	T					
45. Zirkin - USB Fin'l Servs - IRA - J									
46. -- GE Common		None			sell	3/22	K		
47. --IBM Common		None	K	T					
48. --Medtronic Common		None	K	T					
49. --UBS PW Retirement Money Fund	A	Dividend	K	T					
50. --Alltel Corp. Pref.		None	K	T					
51. --Genuine Parts Common		None	K	T	buy	1/26	K		
52. --Untd Tech Common		None	K	T	buy	3/26	K		
53. --AES Corp Common		None	K	T					
54. --Colgate Palmolive Common		None	K	T					

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55. --Tribune Common		None	K	T					
56. Zirkin-UBS Fin'l Servs. - IRA -C									
57. --CINN Fin'l Corp. Common	B	Dividend	K	T					
58. --UBS PW Retirement Money Fund	A	Dividend	J	T					
59. Smith Barney - B									
60. --Invest Co. of Amer.	A	Dividend			sell	6/10	K	A	
61. --CG Capital Mkts - Lg Cap Val	A	Dividend			sell	6/7	J	A	
62. --CG Capital Mkts - Sm Cap Val	A	Dividend			sell	6/7	J	A	
63. --CG Capital Mkts - Emerg Mkt	A	Dividend			sell	6/7	J	A	
64. --CG Capital Mkts - International	A	Dividend			sell	6/7	J	A	
65. --CG Capital Mkts - Lg Cap Growth	A	Dividend			sell	6/7	J	A	
66. --CG Capital Mkts - Sm Cap Growth	A	Dividend			sell	6/7	J	A	
67. --Fidelity Blue Chip Growth	A	Dividend			sell	3/9	J	A	
68.					sell	6/10	J	A	
69. --Fidelity Dividend Growth	A	Dividend			sell	3/9	J	A	
70.					sell	6/10	K	A	
71. --Citibank Account - B	A	Interest	L	T	buy	6/14	L		
72. Smith Barney - K									

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73. --AIM Const. Fund	A	Dividend	J	T					
74. --Invest Co. of Amer	A	Dividend	J	T					
75. --Fidelity Blue Chip Growth	A	Dividend	K	T					
76. --Fidelity Dividend Growth	A	Dividend	K	T					
77. Zirkin - UBS Fin'l Servs. - Joint									
78. --Citigroup Common		None	K	T	buy	1/26	K		
79. --Clorox Common		None	K	T	buy	1/26	K		
80. --First Data Common		None	K	T	buy	6/28	K		
81. --Fiserv. Inc. Common		None	K	T	buy	6/28	K		
82. --Gannett Co. Common		None	K	T	buy	6/28	K		
83. --Time Warner Common		None	K	T	buy	3/26	K		
84. --United Health Common		None	K	T	buy	1/26	K		
85. --Wyeth Common		None	K	T	buy	1/26	K		
86. --RMA Money Mkt	A	Dividend	L	T	buy/sell	var.			
87. -- Marsh & McLennan Common		None			buy	1/26	K		
88.					sell	10/19	K	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

Date _____

May 24, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544