

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kazen, George P.	2. Court or Organization U.S.D.C., Southern Dist. Texas	3. Date of Report 05/08/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge--Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2008 to 12/31/2008
	5b. ☐ Amended Report	
7. Chambers or Office Address P. O. Box 1060 Laredo, Texas 78042	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Lifetime Director	Boys & Girls' Clubs of Laredo
2.	
3.	
4.	
5.	

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MAY 16 10 13:56
DISTRICT CLERK

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. NYU Law School	Feb. 22-25	New York City	Moot Court Competition	Airfare, three nights hotel
2. Hidalgo County Bar Assn.	March 27-28	South Padre Island	Federal Law Conference	Auto mileage, one night hotel
3. Federal Bar Association, Tampa Bay Chapter	May 21-22	Orlando, Florida	Sent. Guidelines Seminar	Airfare, two nights hotel, some meals
4. Diocese of Lubbock, Texas	Oct. 1-2	Lubbock, Texas	Red Mass	Airfare, one night hotel
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Laredo Country Club (not member as of year end. See Paragraph VIII)	Honorary Membership	\$1,800.00
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. Royalty Interest Webb County, Texas	C	Royalty	J	W					
2. Hilltop Farms, Ltd. (1.8%) Webb County, Texas	A	Distribution	L	U					
3. Royalty Interest-Parcel 1, Shackelford County, Texas	B	Royalty	J	W					
4. Royalty Interest-Parcel 2, Shackelford County, Texas	A	Royalty	J	W					
5. Undivided 1/3 Interest, Ranch, Shackelford County, Texas		None	J	W					
6. MFS Mutual Funds-IRA		None	K	T					
7. MFS Emerging Growth A									
8. MFS Emerging Growth B									
9. MFS Sector Fund A									
10. Wachovia Securities Money Market Fund	A	Interest	J	T					
11. Nationwide Health Properties Stock (Stock) (IRA)	A	Dividend	J	T					
12. ING Annuity	D	Interest	M	T					
13. Royalty Interest-Parcel 3 Shackelford County, Texas	D	Royalty	J	W					
14. Real Estate, Bowie County, Texas		None	O	W					
15. Royalty Interest, Dickens County, Texas	D	Royalty	J	W					
16. Coin Collection		None	J	W					
17. Duke Energy Corp. New (stock) (IRA)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$55,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18. Teppco Partners, L.P. (Stock) (IRA)		None	J	T					
19. Texas Community Bank Accounts	D	Interest	N	T					
20. USAA Federal Savings Bank Account	A	Interest	K	T					
21. Royalty Interest--Parcel 4-- Shackelford County, Texas	C	Royalty	K	W					
22. American Balanced Fund-A	D	Dividend	L	T					
23. Compass Bank (formerly Laredo National Bank)	A	Int./Div.	K	T					
24. Royalty interest--Parcel 5--Shackelford County, Texas	A	Royalty	J	W					
25. Royalty interest--Parcel 6--Shackelford County		None	K	W					
26. Spectra Energy Corp. (IRA)	A	Dividend	J	T					
27. Technology Sector Fund (IRA)	A	Dividend	J	T	Buy	5/28	J		Market
28.									
29.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Paragraph V: I resigned long-standing membership in Laredo Country Club as required by new law, effective October 2008.

Paragraph VII, Line 10: Name changed when AG Edwards acquired by Wachovia Bank.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544