

UNITED STATES DISTRICT COURT
WESTERN DISTRICT OF KENTUCKY

CHAMBERS OF
JOHN G. HEYBURN II
UNITED STATES DISTRICT JUDGE

UNITED STATES COURTHOUSE
LOUISVILLE, KY 40202
(502) 625-3620


July 13, 2009

Judge Bobby R. Baldock
Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

RECEIVED
2009 JUL 20 A 10:20
FINANCIAL
DISCLOSURE OFFICE

Dear Judge Baldock:

I have your letter dated May 13, 2009. In response to your inquiry, the following information is relevant:

Asset	C	
	Gross Value	
	(1)	(2)
	Value	Method
I.S. Trust	N	T
F.F.S. Trust	M	T

I trust this is sufficient to amend my report.

Sincerely,



FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) HEYBURN II, JOHN G	2. Court or Organization U.S.D.C.KENTUCKY WESTERN	3. Date of Report 4/15/2009
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge (Active)	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2008 to 12/31/2008
7. Chambers or Office Address 239 U.S. Courthouse Louisville, KY 40202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

I. Trustee

Charitable Foundation - H

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. Dollar amount not required except for honoraria.)

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1. Tulane Law School

Symposium Speaker (\$745.38)

2. American Conference Institute

Conference Speaker (\$754.90)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

I.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

I. Capital One Visa

Credit Card

J

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Name of Person Reporting
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. I.S. Trust	D	Dividend							
2. F.F.S. Trust	C	Dividend							
3. HILLIARD LYONS TRUSTEE(IRA)	D	Dividend	N	T					
4. Hilliard-Lyon Gov't Fd.									
5. Banco Bilbao Vizcaya									
6. Berkshire Hathaway-B									
7. Chevron									
8. Exxon Mobil									
9. Growth Fd. Of America									
10. HILLIARD LYONS TRUSTEE (IRA)-Hilliard-Lyon Gov't Fd.	D	Dividend	N	T					
11. 12a. Growth Fd. of America									
12. Invest.Co.of Am.									
13. Smallcap Wld.Fd.									
14. OTHER INVESTMENTS									
15. Hilliard-Lyon Gov't Fd.	B	Dividend	K	T					
16. American Tower Corp	A	Dividend			Sold all	8/1	J	A	
17. Anheuser Busch	A	Dividend			sold	11/18	M	E	
18. Apple Inc.	A	Dividend	L	T	buy	9/10	K		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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19. Berkshire Hathaway	A	Dividend	L	T	buy	10/22	J	A	
20. Bristol Myers Squibb	C	Dividend	L	T					
21. Brown Forman Inc.	A	Dividend	K	T					
22. Chevron Corp.	B	Dividend	L	T					
23. Citadel Broadcasting	A	Dividend	J	T					
24. Clorox Co.	A	Dividend	L	T					
25. Colgate Palmolive	A	Dividend	K	T					
26. Costco	A	Dividend	K	T					
27. Daktronics, Inc.	A	Dividend	J	T					
28. Disney Co.	A	Dividend	K	T					
29. Ebay Inc.	A	Dividend			sold	10/18	K	A	
30. Emerson Electric Co.	A	Dividend	J	T					
31. Exxon Mobil	B	Dividend	M	T					
32. First Marblehead Corp					sold	9/10	J	A	
33. General Electric Co.	B	Dividend	M	T					
34. GlaxcoSmithKline PLC * (W)	A	Dividend	K	T					
35. Goldman Sachs	A	Dividend	J	T	buy	10/22	J	A	
36. Growth Fd. Of America	A	Dividend	L	T					

- | | | | | | |
|-------------------------|--------------------------------|-----------------------------|-------------------------------|-------------------------------|-----------------------|
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| (See Columns B1 and D4) | F = \$50,001-\$100,000 | G = \$100,001-\$1,000,000 | H1 = \$1,000,001-\$5,000,000 | H2 = More than \$5,000,000 | |
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| | P3 = \$25,000,001-\$50,000,000 | | P4 = \$More than \$50,000,000 | | |
| 3. Value Method Codes | Q = Appraisal | R = Cost (Real Estate Only) | S = Assessment | T = Cash/Market | |
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A -H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. Hilliard Lyons Growth	B	Dividend	K	T					
38. Home Depot Inc.	A	Dividend	M	T					
39. Johnson and Johnson	A	Dividend	M	T					
40. Kimberly-Clark Corp	A	Dividend	K	T					
41. MBIA Inc.					sold	8/26	K	A	
42. McGraw-Hill Cos.	A	Dividend	K	T					
43. Medtronic Inc.	A	Dividend	K	T					
44. Merck and Co.	A	Dividend	K	T					
45. Microsoft	A	Dividend	L	T	sold	9/10	K	D	
46. Moodys	A	Dividend	K	T					
47. Pepsico	A	Dividend			sold	2/1	J	A	
48. Proctor & Gambill	B	Dividend	M	T					
49. Schlumberger Ltd (W)	A	Dividend	K	T	buy	10/22	J	A	
50. Starbucks Corp.	A	Dividend	K	T					
51. SY Bank Corp.	A	Dividend	K	T					
52. US Wireless Online, Inc.	A	Dividend	J	T					
53. Walgreen Company	A	Dividend	K	T					
54. Wyeth, formerly Amer.Home Prod.	C	Dividend	L	T					

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55. Zimmer Hldgs	A	Dividend	K	T					
56. TRUST - () Tr.)	D	Dividend	N	T					
57. Baird Bond Fund									
58. Blackrock Fd.									
59. Gen.Elect.									
60. Ishares Tr.									
61. National City					sold all	8/1	J	A	
62. JP Morgan Co.									
63. TRUST (MPS Tr.) - Armanda Money Mkt.Fd.	D	Dividend	N	T					
64. Ky. Tax Exempt Money Fd									
65. American Intl Group					sold all	8/1	K	A	
66. BP PLC ADR									
67. Citigroup Inc.					sold all	8/1	K	A	
68. Coca Cola Co									
69. Gannett Co.					sold all	8/1	K	A	
70. General Electric									
71. GlaxoSmithKline PLC									
72. Honeywell Intl.									

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73. Johnson & Johnson									
74. Marsh & McLennan					sold all	8/1	K	A	
75. McGraw-Hill Co.									
76. Nokia Corp.									
77. Proctor & Gamble									
78. Schlumberger Ltd.									
79. Texas Instruments									
80. Wells Fargo & Co									
81. CHARITABLE FOUNDATION - (████ Fd.)	C	Dividend	K	T					
82. 92a. Hilliard Lyons Growth									
83. ING ReliaStar Ins.Co. Universal Life Policy			N	V					
84. MONY Whole Life			K	V					

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FINANCIAL DISCLOSURE REPORT

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

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Date of Report

4/15/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

4.15-09

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544