

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Schreier, Karen E	2. Court or Organization U.S. District Court, South Dak	3. Date of Report 05/17/2009
4. Title (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time) U S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address United States District Court 515 Ninth Street, Rm 318 Rapid City, SD 57701	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Member Board of Directors	YMCA of Rapid City
2 Vice President	Eighth Circuit District Judges
3.	
4	
5	

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DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1	
2	
3	

Schreier, Karen E

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Self-employed (attorney)
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children, see pp. 25-27 of filing instructions)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e g , div , rent, or int)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e g , buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1 T Rowe Price									
2. -EQUITY INCOME FUND	C	Distribution	K	T					
3. -GROWTH STOCK FUND	A	Distribution	K	T					
4. -NEW HORIZONS FUND	B	Distribution	K	T					
5. -SCIENCE AND TECHNOLOGY FUND		None	K	T					
6. -VALUE FUND	A	Distribution	K	T					
7. IRA ACCOUNT #1 UBS Financial Services									
8. -UBS BANK USA DEP ACCT	A	Interest	J	T					
9. -UBS PACE MONEY MARKET	A	Interest	J	T					
10. -AMERICAN FUNDS GROWTH FUND OF AMERICA CL F	A	Int /Div.	J	T	Buy (add'l)	4/30	J		
11. -EATON VANCE LARGE CAP VALUE FUND CLASS A	A	Int./Div	J	T	Buy	4/30	K		
12. -FIDELITY ADVISOR LEVERAGED CO STOCK FUND CL A	A	Int./Div	J	T					
13. -JOHN HANCOCK CLASSIC VALUE FUND CL A	A	Int /Div.			Sold	4/28	J		
14. -OPPENHEIMER MAIN STREET SMALL CAP FUND A			J	T	Buy (add'l)	4/30	J		
15. -THORNBURG INT VALUE FUND CL A	A	Int./Div	J	T	Buy (add'l)	4/30	J		
16. -DELAWARE DIVERSIFIED INCOME FUND CL A	A	Int /Div.	J	T					
17. -FT TEMPLETON GLOBAL BOND A	A	Int./Div	J	T					

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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18 --LOOMIS SAYLES STRATEGIC INC. FUND CLASS A	A	Int./Div.	J	T					
19. NEW YORK LIFE INSURANCE CO. - ANNUITY	A	Interest	K	T					
20. NEW YORK LIFE UNIVERSAL LIFE POLICY	A	Dividend	K	T					
21 LINCOLN FINANCIAL GROUP UNIVERSAL LIFE INS. POLICY	A	Dividend	J	T					
22 JEFFERSON NATIONAL UNIVERSAL LIFE INS. POLICY	A	Dividend	J	T					
23 TRAVELERS LIFE & ANNUITY UNIVERSAL LIFE INS. POLICY	A	Dividend	J	T					
24 COMMUNITY HEALTH LTD. (2/3 UNIT)	B	Distribution	J	W					
25 HOME FEDERAL BANK ACCOUNT	B	Interest	K	T					
26 FIRST DAKOTA TITLE INS. LTD. PTR. (1 UNIT)	E	Distribution	M	T					
27 BROKERAGE ACCOUNT #2									
28 -TAMARACK PRIME MONEY MKT FD	A	Interest	K	T	Transferred (from line 76)	12/03	K		
29 -ALTRIA GROUP INC. COMMON	A	Dividend	J	T					
30 -ANHEUSER BUSCH COS INC. COMMON	A	Dividend			Sold	11/18	J	B	
31. -BP PLC SPONS ADR COMMON	A	Dividend	J	T					
32 -BURLINGTON NORTHERN-SANTA FE COMMON	A	Dividend	K	T					
33 -CISCO SYSTEMS INC. COMMON		None	J	T					
34 -CITIGROUP INC. COMMON	A	Dividend	J	T					

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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35 -COCA-COLA COMPANY COMMON	A	Dividend	J	T					
36 -COLGATE-PALMOLIVE COMPANY COMMON	A	Dividend	J	T					
37 -EMC CORP. MASS COMMON		None	J	T					
38. -GENERAL ELECTRIC COMPANY C OMMON	A	Dividend	J	T					
39. -HANESBRANDS INC. COMMON		None	J	T					
40 -HOME DEPOT INC. COMMON	A	Dividend	J	T					
41 -INTEL CORPORATION COMMON	A	Dividend	J	T					
42. -KOPIN CORP COMMON		None	J	T					
43 -KRAFT FOODS INC CL A	A	Dividend	J	T					
44. -MEDTRONIC INC. COMMON	A	Dividend	J	T					
45. -MERCK & CO INC. COMMON	A	Dividend	J	T					
46 -MICROSOFT CORP COMMON	A	Dividend	K	T					
47 -PEPSICO INCORPORATED COMMON	A	Dividend	J	T					
48. -PFIZER INC. COMMON	A	Dividend	J	T					
49. -PHILIP MORRIS INTL INC. COMMON	B	Dividend	K	T	Spinoff (from line 28)	3/31	K		
50. -PROCTOR & GAMBLE CO COMMON	A	Dividend	J	T					
51 -SARA LEE CORP COMMON	A	Dividend	J	T					

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52 -3M COMPANY COMMON	A	Dividend	J	T					
53. -TIME WARNER INC. NEW COMMON	A	Dividend	J	T					
54 -TYCO ELECTRONICS LTD COMMON	A	Dividend	J	T					
55 -TYCO INTL LTD BERMUDA NEW COMMON	A	Dividend	J	T					
56 -WALGREEN CO COMMON	A	Dividend	J	T					
57 -WALT DISNEY CO (HOLDING CO) C OMMON	A	Dividend	J	T					
58. -ALLIANCE BERNSTEIN MID CAP GR OWTH FUND CL C	B	Dividend	J	T					
59. -AMERICAN FUNDS EURO PACIFIC G ROWTH FD CL A	A	Dividend	K	T	Buy	6/30	J		
60. -DREYFUS S&P 500 INDEX FUND	A	Dividend	K	T	Buy (add'l)	6/30	J		
61. -ING INDEX PLUS MIDCAP FUND CL A	A	Dividend	J	T	Buy (add'l)	6/30	J		
62. -ING INDEX PLUS SMALLCAP FUND CL A	A	Dividend	J	T	Buy (add'l)	6/30	J		
63. -PIMCO FDS HI YLD CL C	A	Dividend	J	T					
64 -RYDEX SER FDS JUNO FD CL C		None	J	T					
65. -PHOENIX GO AZ BOND		None	J	T					
66 -CA HLTH FAC. REV BOND		None	J	T					
67 -WA ST. COLL SVGS BDS. 93 WA		None	J	T					
68. -SO DAK ST. BLD ATH LES. REV. AM BAC BOND		None	J	T					

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69 -MARICOPA CO IDA SFM ETM AZ B OND		None	J	T					
70. -DESCHUTES & JEFFERSON CNTYS OR SD #02J		None	K	T					
71. -EAST SIDE UHSD CALIF BOND		None	J	T					
72. -YUBA CITY CAL UNI. SCHOOL DIST. BOND		None	J	T					
73 -ALLIANCE BERNSTEIN GLOBAL BON D FUND INC. CL C		None			Sold	6/30	J	A	
74. -NUVEEN FLAGSHIP ALL-AMERICAN MUNICIPAL BOND FUND CL A		None	J	T	Buy (add'l)	6/30	J		
75 -THORNBURG LTD TERM MUNICIPAL FUND CL A		None	J	T	Sold (part)	6/30	J	A	
76. -UBS ACCOUNT money market acct	A	Interest			Transferred (to line 28)	12/03	K		
77 -LINCOLN NATL LIFE AMER. ANNUI TY	A	Interest	J	T					
78 IRA ACCOUNT #2 RBC WEALTH M ANAGEMENT									
79 -TAMARACK PRIME MONEY MKT FD	A	Interest	J	T	Transferred (from line 93)	12/08	J		
80 -EATON VANCE LARGE CAP VALUE F UND CL A	A	Dividend	J	T	Buy	6/30	J		
81. -AMERICAN FUNDS GROWTH FUND CLASS F	A	Dividend	J	T	Buy (add'l)	6/30	J		
82. -AMERICAN FUNDS EURO PACIFIC G ROWTH FUND CL A	A	Dividend	J	T	Buy (add'l)	6/30	J		
83. -JOHN HANCOCK CLASSIC VALUE FU ND CL A	A	Dividend			Sold	6/30	J		
84 -OPPENHEIMER MAIN STREET SMALL CAP FUND A	A	Dividend	J	T	Buy (add'l)	6/30	J		
85 -R S VALUE FUND CL A	A	Dividend	J	T	Sold (part)	6/30	J		

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86. -DELAWARE DIVERSIFIED INCOME FUND CL A	A	Dividend	J	T	Sold (part)	6/30	J		
87. -FT TEMPLETON GLOBAL BOND A	A	Interest	J	T	Sold (part)	6/30	J		
88. -LOOMIS SAYLES STRATEGIC INCOME FUND CL A	A	Dividend	J	T	Sold (part)	6/30	J		
89. -FICO STRIPS SERIES E BOND		None	J	T					
90. -US TREAS. SEC. STRPD BOND		None	K	T					
91. -FHR 21 21-Z f/k/a FEDL. HOME LOAN MTG CORP BOND	A	Interest	J	T					
92. -UBS ACCOUNT	A	Interest	J	T	Transferred (to line 79)	12/08	J		
93. -UBS PACE MONEY MARKET INVESTMENT FUND CLASS P	A	Interest	J	T	Transferred (to line 79)	12/08	J		
94. BROKERAGE ACCOUNT #3									
95. -GALENA PARK IND BOND		None	K	T					
96. -CLACKMUS & WASHINGTON SERV A BOND		None	K	T					
97. -SOUTHERN MN MUN PWR AGY SUPPLY BOND		None	K	T					
98. -UBS DEPOSIT ACCOUNT	A	Int./Div.	J	T					
99. FIRST NATIONAL BANK IN SIOUX FALLS ACCOUNT		None	K	T					
100. FIRST NATIONAL BANK IN SIOUX FALLS ACCOUNT		None	J	T					
101. DOUGHERTY & DOUGHERTY LLP	D	Interest	M	W					
102. DISCOVER BANK ACCOUNT	B	Interest	K	T					

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103 BROKERAGE ACCOUNT #4									
104. -CH ROBINSON WORLDWIDE INC C OMMON	A	Dividend	J	T					
105. -MDRNA INC f/k/a NASTECH PHARM ACEUTICAL CO INC COMMON		None	J	T					
106 -SPECTRASCIENCE COMMON		None	J	T					
107 -US BANCORP DEL COMMON	A	Dividend	J	T					
108. -TUSCARAWAS CNTY OHIO HOSP REV BOND	A	Interest	J	T	Buy	5-20	J		
109 -MERRILL LYNCH 7 CO INC COPORA TE BOND	A	Interest	K	T	Buy	6-02	K		
110. -JPMORGAN CHASE BK NEW YORK, N Y CD	A	Interest	K	T	Buy	5-20	K		
111. -					Buy (add'l)	7-01	J		
112. DOUGHERTY & COMPANY LLC MM ACCOUNT	A	Interest	J	T					
113.									

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 11 of 12

Name of Person Reporting

Schreier, Karen E.

Date of Report

05/17/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Schreier, Karen E.

Date of Report

05/17/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544