

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Norton, David C	2. Court or Organization U.S. District Court	3. Date of Report 07/24/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address P.O. Box 835 Charleston, SC 29402	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Trust (Trust #1)
2. Trustee	Article 5 Trust
3. Trustee	Article 6 Trust
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Charleston School of Law	\$ 24,780
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Professor of Pathology at Medical Univesity of South Carolina - Salary
2. 2006	Self-employed Forensic Consultant
3.	
4.	
5.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. United States District Court	02/27/06 - Expenses for travel and food for meeting or seminar with another government entity in Columbia, SC.
2. United States District Court	03/13/06-03/15/06 - Expenses for travel and food for US Judicial Conference in Washington DC.
3. United States District Court	05/06/06-05/07/06 - Expenses for travel and food for meeting of circuit judicial council in Washington DC.
4. United States District Court	05/12/06 - Expenses for travel and food for court governance or administrative/maaagerial meetings in Columbia, SC.

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5.	United States District Court	06/15/06-06/20/06 - Expenses for travel and food FJC educational seminar in Princeton, NJ.
6.	United States District Court	09/18/06-09/21/06 - Expenses for travel and food for meeting of US Judicial Conference in Washington DC.
7.	United States District Court	10/17/06-10/19/06 - Expenses for travel and food meeting of circuit judicial council in Palm Beach, FL.
8.	United States District Court	10/27/06 - Expenses for travel and food for court governance or administrative/managerial meetings in Columbia, SC.

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AES Corp		None	J	T					
2. AFLAC Inc		None	L	T					
3. Agere Sys Inc		None	J	T					
4. Alcatel-Lucent ADR			J	T					
5. Alcoa Inc		None							
6. Alliance Bernstein Tech Fund Cl B		None	L	T	redemption	02/14	L	A	
7. Allianz Life Franklin Valuemark	A	Dividend	K	T					
8. Altria Group	A	Dividend	J	T					
9. American Express Co	A	Dividend	J	T					
10. American Intl Group Inc	A	Dividend	K	T					
11. American Intl Group Inc	A	Dividend	J	T					
12. Ameriprise Financial Inc	A	Dividend	J	T					
13. Amgen Inc		None	J	T					
14. AT&T Inc	A	Dividend	J	T					
15. AT&T Inc	A	Dividend	J	T					
16. AT&T Inc	A	Dividend	J	T					
17. Avaya		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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18. Bank of America	B	Dividend	K	T					
19. Bank of America	A	Dividend	J	T					
20. Bank of New York Inc	A	Dividend	J	T					
21. Berkshire Hathaway Inc Cl B		None	J	T					
22. BP PLC Spons ADR	B	Dividend	L	T					
23. Bristol Myers Squibb	A	Dividend	J	T					
24. Chevron Texaco Corp	A	Dividend	J	T					
25. Chevron Texaco Corp	A	Dividend	K	T					
26. Chevron Texaco Corp	B	Dividend	K	T					
27. Cisco Sys Inc		None	J	T					
28. Citibank Deposit Program	A	Interest	J	T					
29. Citibank Deposit Program	A	Interest	K	T					
30. Citibank Deposit Program	A	Interest	K	T					
31. Citigroup Inc	C	Dividend	M	T					
32. Coca-Cola Co	A	Dividend	J	T					
33. Columbia SC due 06/0/06		None			redemption	06/01	J	A	
34. Comcast Corp		None	J	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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35. Comcast Corp		None	J	T					
36. CSX Corp	A	Dividend	K	T					
37. Dell Inc		None	J	T					
38. Walt Disney Co	A	Dividend	J	T					
39. Walt Disney Co	A	Dividend	J	T					
40. Walt Disney Co	A	Dividend	J	T					
41. Dover	A	Dividend	J	T					
42. Dow Chemical	A	Dividend	J	T					
43. Dow Chemical	A	Dividend	J	T					
44. E I Dapont Co	A	Dividend	J	T					
45. Eaton Vance Tax Free Fund	A	Dividend	N	T					
46. Electronic Data Systems Corp New	A	Dividend	J	T					
47. Eli Lilly & Co	B	Dividend	K	T					
48. Eli Lilly & Co	A	Dividend	J	T					
49. Emerson Electric	A	Dividend	K	T					
50. Expedia Inc		None	J	T					
51. Exxon Mobil Corp	A	Dividend	J	T					

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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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52. Exxon Mobil Corp	A	Dividend	K	T					
53. Federal Home Ln Mtg Corp	A	Dividend	J	T					
54. Federal National Mortgage Assn	A	Dividend	J	T					
55. First Federal of Charleston	C	Interest	PI	T					
56. First Financial Holdings Inc	D	Dividend	O	T					
57. First Financial Holdings Inc	B	Dividend	M	T					
58. Freescale Semiconductor Inc		None			sell	12/04	J	A	
59. Genetech Inc		None	K	T					
60. General Electric Co	B	Dividend	L	T					
61. General Electric Co	B	Dividend	K	T					
62. General Electric Co	A	Dividend	K	T					
63. General Motors Corp	A	Dividend	K	T					
64. Goldman Sachs Group Inc	A	Dividend	J	T					
65. Growth Fund of America CL A	A	Dividend	K	T	buy	08/21	K		
66. Growth Fund of America CL A	A	Dividend	K	T	buy	08/21	K		
67. Grand Strand WTR & SWR Auth		None			redemption	06/01	J	A	
68. HCA Inc		None			sell	11/20	J	A	

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69. Hartford Financial Services Grp	A	Dividend	J	T					
70. Hartford Capital Appreciation Fund (IRA)	A	Dividend	M	T					
71. Hewlett Packard Co	A	Dividend	J	T					
72. Home Depot Inc	A	Dividend	K	T					
73. Honeywell Intl Inc	A	Dividend	J	T					
74. IBM	A	Dividend	L	T					
75. Idearc Inc		None	J	T	distribution	11/17	J		
76. Intel (IRA)	A	Dividend	J	T					
77. Intel	A	Dividend	J	T					
78. IAC Interactive Corp		None	J	T					
79. Johnson & Johnson	A	Dividend	J	T					
80. Kimberly Clark	A	Dividend	J	T					
81. Legg Mason Partners Aggressive Growth FD CL C		None	J	T					
82. Legg Mason Value Trust Inc	A	Dividend	L	T	buy	02/15	L		
83. Lincoln National Corp	A	Dividend	J	T					
84. Lincoln National Life Annuity (IRA)	A	Dividend	N	T					
85. MFS South Carolina Muni Bond	B	Interest	L	T					

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86. Mass Mutual Life Ins		None	J	T					
87. McDonalds Corp	A	Dividend	J	T					
88. Merrill Lynch & Co Inc	A	Dividend	J	T					
89. Metlife Ins Co of Connecticut Vintage	A	Dividend	M	T					
90. Metlife Life & Ann Co of Conn TLAC Port Arch	A	Dividend	M	T					
91. Metlife Life & Ann Co of Conn TLAC Marquis Portfolio	A	Dividend	N	T					
92. Microsoft Corp	A	Dividend	J	T					
93. JP Morgan Chase & Co	A	Dividend	J	T					
94. Motorola	A	Dividend	J	T					
95. 3M Company A	A	Dividend	L	T					
96. NCR Corp New		None	J	T					
97. Neenah Paper Inc	A	Dividend	J	T					
98. Nokia Corp	A	Dividend	J	T					
99. Norfolk Southern	A	Dividend	L	T					
100. North Carolina Rental Property (2/3)	C	Rent	O	Q					
101. Paragon Life Fixed Fund		None	J	T					
102. Pepsico Inc	A	Dividend	J	T					

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103. Pfizer Inc	A	Dividend	J	T					
104. Pfizer Inc	A	Dividend	J	T					
105. Proctor & Gamble	A	Dividend	K	T					
106. Proctor & Gamble	A	Dividend	K	T					
107. Progress Energy	A	Dividend	J	T					
108. Putnam Tax Smart FDS Equity FD Cl B	A	Dividend	M	T					
109. Safeway Inc New	A	Dividend	J	T					
110. SCANA Corp	B	Dividend	K	T					
111. SCBT	A	Dividend	L	T					
112. Scherling Plough Corp	A	Dividend	J	T					
113. Smucker Co New	A	Dividend	J	T					
114. South Carolina State Deferred Comp Plan	A	Dividend	L	T					
115. South Carolina State Retirement Plan	B	Dividend	M	T					
116. Soletron Corp		None	J	T					
117. South Carolina Beach Property	A	Rent			sell	11/15	P1	H1	Palmetto Two LLC
118. St Paul Companies Inc	A	Dividend	J	T					
119. South Carolina St Ser MB UT	A	Interest	J	T					

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120. South Financial Group (IRA)	C	Dividend	M	T					
121. Spectrex		None			sell	12/18	J	A	
122. Spectrex (IRA)		None	J	T					
123. Suntrust Banks Inc	D	Dividend	N	T					
124. Synovus Financial Corp	A	Dividend	K	T					
125. Target Corp	A	Dividend			sell	10/18	J	A	
126. Texas Instruments Inc	A	Dividend	J	T					
127. Time Warner Inc	A	Dividend	J	T					
128. UTS Strategic Ten Dow 06-D Portfolio (IRA)	A	Dividend	K	T					
129. UTS Strategic Ten Dow 06-A Portfolio (IRA)	C	Dividend	L	T					
130. Verizon	A	Dividend	J	T					
131. Verizon	A	Dividend	J	T					
132. Wachovia	C	Dividend	M	T					
133. Wachovia (IRA)	C	Dividend	L	T					
134. Washington Mutual Inc	A	Dividend	J	T					
135. Washington Mutual Investors Fund Cl A	A	Dividend	L	T					
136. Washington Mutual Investors Fund Cl A	A	Dividend	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes

(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes

(See Column C2)

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

R = Cost (Real Estate Only)

V = Other

P4 = More than \$50,000,000

S = Assessment

W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Washington Mutual Investors	B	Dividend	L	T					
138. Wells Fargo & Co New	A	Dividend	J	T					
139. Wyeth	A	Dividend	J	T					
140. Wyeth	A	Dividend	K	T					
141. Zimmer Holdings Inc		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Explanations for Part VII. Investments and Trusts

1. Line 4 - Alcatel-lucent ADR, formerly known as Lucent Technologies.
2. First Federal of Charleston, Inc., shown on 2005 report, now included in line 58 of 2006 report as First Financial Holdings, Inc.
3. Line 75 - Idearc Inc formed in 2006 through a distribution from Verizon.
4. Line 81 - Legg Mason Partners Agressive Growth FD CL C, formerly known as Smith Barney Global Biotech Fund L.
5. Line 89 - Metlife Ins Co of Connecticut Vintage formerly known as Travelers Vintage Fixed Account.
6. Line 90 - Metlife Life & Ann Co of Conn TLAC Port Arch formerly known as Travelers Life TLAC Port Arch A Annuity.
7. Line 91 - Metlife Life & Ann Co of Conn TLAC Marquis Portfolio formerly known as Travelers Marquis Fund.
8. Please see attached for explanation of Trust #1, listed on line 1 of Part I. Positions.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

S

Date

X

8/13/07

N

ADDITIONAL INFORMATION (5 U.S.C. app. § 104)

WHOLLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

TRUST #1

Trust A

12/31/03

Hilliard
Lyons
Account

Cash

Value J

Stocks

■ Eli Lilly & Co.

Value K

■ Pfizer Inc.

Value K

Crops

Heartland
d Bank

Checking
Money
Market

value M

Land

■ Lain Farm

■ Ragsdale

■ Farm

■ Tipton Farm

value P2