

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) WALKER, JR., JOHN M	2. Court or Organization 2d CIRCUIT COURT OF APPEALS	3. Date of Report 5/13/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Chief Circuit Judge - Act	5. Report Type (check appropriate type) ☐ Nomination, Date _____ ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2002 to 12/31/2003
7. Chambers or Office Address UNITED STATES COURT OF APPEALS 157 CHURCH STREET NEW HAVEN, CT 06510	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Director	Institute of Judicial Administration
2. Past President	Federal Judges Association
3. Director	U.S. Association of Constitutional Law

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.	Feb-Apr	Income as Visiting Lecturer, Yale Law School	10,000
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B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1.

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1. American Bar Association

9-15-03 - 9-20-03 Activity of professional association or civic organization; Bahrain

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

\$0

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☒ NONE (No reportable income, assets, or transactions)									
1. --- COMMON STOCK ---									
2. AMERICAN INTL GROUP INC	A	Dividend	L	T	BUY	9/30	L		
3. AMERICAN INTL GROUP INC	A	Dividend	L	T	SOLD PART	10/31	L		
4. AMGEN INC.		None	K	T					
5. APOLLO GROUP		None	L	T					
6. AUTOZONE		None	L	T	BUY	5/5	J		
7. AUTOZONE		None	K	T	BUY	12/12	J		
8. BED BATH & BEYOND		None	K	T	SOLD PART	1/31	K	C	
9. BRISTOL MYERS SQUIBB CO.	A	Dividend	K	T					
10. CARDINAL HEALTH INC.	A	Dividend	K	T	BUY	5/5	J		
11. CARDINAL HEALTH INC.	A	Dividend	K	T	BUY	12/5	J		
12. CINTAS CORP.	A	Dividend	J	T	BUY	6/17	J		
13. CISCO SYSTEMS INC		None	L	T	BUY	5/6	J		
14. CURIS INC.		None	J	T	BUY	12/4	J		
15. CURIS INC.		None	J	T	BUY	12/5	J		
16. CURIS INC.		None	J	T	BUY	12/9	J		
17. DELL COMPUTER CORP		None	L	T	BUY	2/20	J		
18. DELL COMPUTER CORP.		None	L	T	BUY	3/12	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and B4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cos. (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

A. Description of Assets (including trust assets)		B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
Place "(X)" after each asset exempt from prior disclosure		(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
		Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	Date: Month - Day	Value Code 2 (J-P)	Gain Code 1 (A- H)	Identity of buyer/seller (if private transaction)
19.	DELL COMPUTER CORP.		None	L	T	BUY	3/14	J		
20.	DELL COMPUTER CORP.		None	L	T	BUY	5/6	K		
21.	EBAY		None	K	T					
22.	EMC CORPORATION		None	J	T					
23.	FEDERAL HOME LOAN	A	Dividend			SOLD	12/14	K		
24.	IBM	A	Dividend	L	T	BUY	5/7	K		
25.	INVITROGEN CORP		None	M	T	BUY	5/6	K		
26.	L-3 COMMUNICATIONS HOLDINGS		None	K	T	BUY	5/7	J		
27.	LOWES COS. INC.	A	Dividend	K	T	BUY	5/29	K		
28.	MEDTRONIC	A	Dividend	L	T					
29.	MICROSOFT		None	K	T	SOLD PART	3/7	K		
30.	ODYSSEY HEALTHCARE		None	L	T	BUY	3/5	J		
31.	ODYSSEY HEALTHCARE		None	L	T	BUY	5/2	J		
32.	ORACLE CORP		None	K	T					
33.	PEPSICO INC	A	Dividend	K	T					
34.	SERONO		None	K	T					
35.	SMUCKER, J.M. & CO.	A	Dividend	K	T	BUY	4/21	K		
36.	UNITEDHEALTH GROUP	A	Dividend	K	T	BUY	3/28	J		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. UNITEDHEALTH GROUP	A	Dividend	K	T	BUY	5/5	J		
38. UNITED SURGICAL PARTNERS		None	K	T	BUY	3/21	K		
39. UNITED SURGICAL PARTNERS		None	K	T	BUY	5/8	J		
40. URSTADT BIDDLE PROPERTIES	B	Dividend	K	T					
41. VARIAN MEDICAL SYS		None	L	T					
42. WALGREEN CO	A	Dividend	L	T					
43. WALMART STORES	A	Dividend	M	T					
44. WASHINGTON MUTUAL	A	Dividend	K	T	SOLD PART	5/22	J	A	
45. WELLS FARGO CORPORATION	B	Dividend			SOLD	7/8	L	C	
46. WHOLE FOOD MARKET INC.		None	L	T					
47. — OTHER INVESTMENTS —									
48. POLYGON ASIA INC.		None			SOLD	12/4	J		J.BUSH & CO.
49. J. BUSH ACCOUNT	C	Interest	J	T					
50. ALLIANCE INSTITUTIONAL PRIME B	D	Interest	N	T					
51. — BANK ACCOUNTS —									
52. FLEET BANK	A	Interest	K	T					
53. CITIBANK	A	Interest	J	T					
54. PEOPLES BANK	A	Interest	J	T					

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55. -- CORPORATE BONDS --									
56. WAL-MART STORES	B	Interest	K	T					
57. HOUSEHOLD FINANCE	C	Interest	L	T					
58. SECONDARY RESIDENCE, LEW BEACH, NY		None	N	S					
59.									
60.									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

Date _____

5/13/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544