

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Carney, Connac J	2. Court or Organization District Court - Central of CA	3. Date of Report 4/23/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address Ronald Reagan Federal Building 411 West Fourth Street Santa Ana, CA 92701-4516	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Judge

Superior Court of California, County of Orange

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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Carney, Cormac J

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2003	State of California	36,425
2.	2003	County of Orange	11,053

B. Spouse's Non-Investment Income If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2003	Administaff (Morpho Technologies)

IV. REIMBURSEMENTS—transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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Carney, Curran J

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "XX" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Wells Fargo Bank Account	A	Interest	J	T					
2. Wells Fargo Bank Account	A	Dividend	L	T					
3. Wells Fargo Bank Account	A	Interest	L	T					
4. World Bank Account	A	Interest	L	T					
5. Janus Twenty Fund	A	Dividend	K	T					
6. Stein Roe Young Investor Fund	A	Dividend	J	T					
7. Janus Global Technology	A	Dividend	J	T					
8. AMGN (X)		None	J	T					
9. American Century - Ultra	A	Dividend	K	T					
10. County Deferred Comp. Plan -Stable Value Fund	A	Dividend	J	T					
11. IRA Brokerage	C	Dividend	N	T					
12. -Schwab Total Bond Fund									
13. -AMAT									
14. -ATML									
15. -CSCO									
16. -COST									
17. -ELN									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = More than \$100,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Clipper Fund CFIMX									
19. MSCC (X)									
20. -Meridian Growth Fund MERDX									
21. -Schwab 1000 Fund									
22. -Schwab Intl Index Fund									
23. -Twoedy Browne Global Value Fund									
24. -Vanguard Small Cap Index Fund									
25. 401(k)	C	Dividend	K	T					
26. -Fidelity Contrafund									
27. -Fidelity Puritan Fund									
28. Brokerage Account									
29. -American Funds -Balanced	A	Dividend	J	T					
30. -American Funds Fundamental Inv - AFICX	A	Dividend			Sell	6/2	J		
31. -Capital Income Bldr FD - CIBCX	A	Dividend	J	T	Buy	6/2	J		
32. -American Funds Growth Fund	A	Dividend	J	T					
33. -Van Kampen Equity Small Caps	A	Dividend	J	T					
34. -Washington Mutual Investors Fund - WSHCX	A	Dividend	J	T					
35. -American Funds New Perspective Fund	A	Dividend	J	T					

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36. -Putnam Calif Tax Exempt Income FD CL C	B	Dividend	L	T					
37. IRA Rollover (X)									
38. -Growth FD Amer Inc - GFACX	A	Dividend	J	T	Buy	8/12	J		
39. -Franklin Managed TR Rising -FRD/TX	A	Dividend	J	T	Buy	8/15	J		
40. -Pimco Fds Multi Mgr - PCVCX	A	Dividend	J	T	Buy	8/12	J		
41. -Capital World Growth & Income -CWGCX	A	Dividend	J	T	Buy	8/12	J		
42. -Pimco FDS Total Return - PITCX	A	Dividend	J	T	Buy	8/12	J		
43. -Amer Balanced Fund - BALCX	A	Dividend	J	T	Buy	8/12	J		
44. 529 Savings Plan #1	A	Dividend	K	T					
45. -American Funds - Growth Fund									
46. -American Funds - New Perspective Fund									
47. -Washington Mutual Investors Fund									
48. -American Funds - Capital Income Builder									
49. -American Fund - Balanced Fund									
50. -American Funds - Bond Fund									
51. 529 Savings Plan #2	A	Dividend	K	T					
52. -American Funds - Growth Fund									
53. -American Funds - New Perspective Fund									

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						(2) Date: Month - Day	(3) Value Code 2 P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
54. -Washington Mutual Investors Fund									
55. -American Funds - Capital Income Builder									
56. -American Funds - Balanced Fund									
57. -American Funds - Bond Fund									
58. 529 Savings Plan #3	A	Dividend	K	T					
59. -American Funds - Growth Fund									
60. -American Funds - New Perspective Fund									
61. -Washington Mutual Investors Fund									
62. -American Funds - Capital Income Builder									
63. -American Funds - Balanced Fund									
64. -American Funds - Bond Fund									
65. 529 Savings Plan #4	A	Dividend	K	T					
66. -Golden State ScholarShare									
67. Variable Life Insurance	B	Dividend	K	T					
68. -NW Mutual - Aggressive Growth Stock Fund									
69. -NW Mutual Growth Stock Fund									
70. -NW Mutual Index 400 Stock Fund									
71. -NW Mutual Small Cap Growth Stock fund									

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72. -Russell Non-US Fund									
73. -Russell Real Estate Securities Fund									
74. -T Rowe Small Cap Value Fund									
75. -NW Mutual Cap Guard Domestic Eq Fund									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

- 1.) Assets listed on page 1 lines 5, 6, 8, and 13-15 of Section VII of previous report were sold during the reporting period of previous report (nomination report).
- 2.) Values and income of assets listed on page 1 line 10 of Section VII of previous report have declined below reporting value.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

4/23/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544