AO 10
Rev. 1/2008

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Lake, Simeon T.	United States District Court	04/22/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
U.S. District Judge (active)	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2008 to 12/31/2008
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
United States Courthouse 515 Rusk Avenue, Room 9535 Houston, Texas 77002	Reviewing Officer_____ Date_____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

POSITION	NAME OF ORGANIZATION/ENTITY
1. Co-Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

DATE	PARTIES AND TERMS
1.	
2.	
3.	

RECEIVED
2009 APR 30 A 10:41
FINANCIAL DISCLOSURE OFFICE

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/22/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☑ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/22/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. rental property #1-Houston, TX	B	Rent	M	W					
2. rental property #2-Houston, TX	B	Rent	L	W					
3. rental property #3-Houston, TX	B	Rent	L	W					
4. rental property #4-Houston, TX	A	Rent	N	W					
5. property, Washington Cnty, TX	A	Rent	M	W					
6. J.P. Morgan Chase	A	Interest	J	T					
7. Exxon Common Stock	E	Dividend	P1	T					
8. Wells Fargo Bank Account	A	Interest	J	T					
9. Royalty Interest, Washington County, Texas	D	Royalty	K	W					
10. Merrill Lynch Money Mkt Acct (IRA)	A	Interest	K	T					
11. AT&T Inc. Common Stock (IRA)	B	Dividend	K	T					
12. Novartis ADR (IRA)	B	Dividend	L	T					
13. IBM Corp. Common Stock (IRA)	B	Dividend	L	T					
14. General Electric Common Stock (IRA)	A	Dividend	J	T					
15. Intel Corp. Common Stock (IRA)	A	Dividend	J	T					
16. Syngenta AG ADR (IRA)	A	Dividend	J	T					
17. Nestle Corp. ADR (IRA)	B	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/22/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
18. Dr. Reddy's Lab ADR (IRA)	A	Dividend	K	T					
19. Freds, Inc. Common Stock (IRA)	A	Dividend	J	T					
20. Johnson & Johnson Inc. Common Stock (IR A)	A	Dividend	K	T					
21. Procter & Gamble Inc Common Stock (IRA)	B	Dividend	L	T					
22. Washington Mutual Inc. Common Stock (IR A)	A	Dividend	J	T					
23. Microsoft Common Stock (IRA)	A	Dividend	K	T					
24. Air Transport Services Group, Inc. Common Stock (IRA)		None	J	T					
25. McCormick Inc. Non-Voting (IRA)	A	Dividend	K	T					
26. Pfizer Inc. Common Stock (IRA)	A	Dividend	K	T	Buy	10/21	K		
27. 3M Company (IRA)	A	Dividend	K	T					
28. Trust #1									
29. –AT&T Common Stock	B	Dividend			Sold	10/22	K	D	
30. --XCEL Energy, Inc. Common Stock	A	Dividend	K	T					
31. --Walgreen Co. Common Stock	A	Dividend	K	T					
32. --Hewlett Packard Co. Common Stock	A	Dividend	L	T					
33. --Merrill Lynch Money Market Acct	A	Dividend	J	T					
34. --Southwest Airlines Common Stock	A	Dividend	K	T	Sold (part)	10/31	K	E	

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/22/2009

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
35. --Apple Computer Inc. Common Stock		None	L	T					
36. --Agilent Tech., Inc. Common Stock		None			Sold	10/22	J	A	
37. --Bristol Myers Squib Co. Inc. Common S tock	B	Dividend	K	T					
38. --Pfizer Inc. Common Stock	B	Dividend	K	T					
39. --Microsoft Common Stock	A	Dividend	K	T					
40. --Johnson & Johnson Co. Common Stock	A	Dividend	K	T					
41. --Starbucks Corp.		None			Sold	10/31	J	A	
42. Merrill Lynch Money Fund	C	Dividend	K	T					
43. AT&T Inc. Common Stock	A	Dividend	J	T					
44. Abbott Labs Common Stock	A	Dividend	K	T					
45. Trust #2									
46. --Exxon Corp. Common Stock	F	Dividend	P1	T					
47. --Merrill Lynch Money Fund	A	Dividend	J	T					
48. --Abbott Labs Common Stock	A	Dividend	K	T					
49. --General Electric Common Stock	B	Dividend	J	T					
50. Pfizer Inc. Common Stock	A	Dividend	J	T					
51. Nokia Corp. Common Stock	A	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/22/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
52. Wells Fargo & Co. Common Stock	B	Dividend	J	T	Sold (part)	12/12	J	A	
53. Kellog Co. Common Stock	A	Dividend	K	T					
54. Hospira Inc. Common Stock		None	J	T					
55. Procter & Gamble, Inc.	A	Dividend	K	T	Buy	05/28	J		
56. Bank of Texas Account	A	Interest	J	T					
57. Caterpillar Inc.	A	Dividend	K	T					
58. Fidelity Municipal Money Mkt a/c	A	Interest	J	T					
59. I shares Global Financials Sector Index	A	Dividend	J	T					
60. I shares S&P Global Telecom Sector Index	A	Dividend	J	T					
61. Kraft Foods	A	Dividend	J	T					
62. I shares Pacific Ex Japan Index	A	Dividend	J	T					
63. I shares FTSE China 25 Index	A	Dividend	J	T					
64. I shares Emerging Mkts Index Fd	A	Dividend	J	T					
65. I shares S&P Latin Amer 40 Index	A	Dividend	J	T					
66. I shares Global Materials Index	A	Dividend	J	T					
67. I shares S&P Metals & Mining ETF	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/22/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

SECTION VII

PAGE 5

Line 24 -- Name change: ABX Air Inc. [was page 5, line 26 on the 2007 report] is now Air Transport Services Group, Inc.

Line 26 -- Pfizer Inc. Common Stock (IRA) is a new entry.

PAGE 7

Line 52 -- Wachovia Corp. [page 7, line 57 on 2007 report) has been acquired by Wells Fargo & Co.

Name of Person Reporting	Date of Report
Lake, Simeon T.	04/22/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544