

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Bartle III, Harvey	2. Court or Organization U.S. District Court, E.D. Pa.	3. Date of Report 05/11/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 16614 U.S. Courthouse 601 Market Street Philadelphia, PA 19106	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. [REDACTED]	[REDACTED]
2. Co-Trustee	Trusts under Will
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Co-Trustee Fee	\$ 14,000
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Texas Teachers Retirement System
2. 2006	[REDACTED] - Salary
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Pennsylvania Bar Association	Reimbursement for advance purchase of airline tickets for travel to and from Midyear Meeting of Pennsylvania Bar Association in
2.	Riviera Maya, Mexico in January, 2007
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	The Philadelphia Bar Association	Membership (extended to all judges)	\$ 331
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Eastman Kodak (Com.)	A	Dividend	J	T					
2. General Motors	A	Dividend	J	T					
3. IBM (Com.)	A	Dividend	K	T					
4. Xerox (Com.)		None	J	T					
5. Delphi Automotive Systems (Com.)		None	J	T					
6. Banknorth, Philadelphia, PA (previously Hudson United Bank)	A	Interest	K	T					
7. Vanguard-Windsor II (IRA rollover) (previously									
8. Dechert Price & Rhoads H.R. 10 Retirement Plan)		None	M	T					
9. Merrill Lynch (Mercury U.S. Large Cap Fund Class B)		None	J	T					
10. TIAA/CREF (Retirement Fund)		None	N	T					
11. Trusts under Will (see attachemnt)									
12. Easton Vance Pa. Mun. Income Trust	A	Interest	J	T					
13. VanKemper Am. Capital Trust	A	Interest	J	T					
14. Wachovia Securities (Account Manager for #12 and #13)									See #12 and #13
15. Vanguard (Wellington Fund)	B	Dividend	L	T					
16. Vanguard (Pa. Long Term Tax Exempt Fund)	A	Interest	J	T					
17.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

Trusts under Will

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Date of Report

Harvey Bartle, III

May 2007

VII. Page 1 INVESTMENTS AND TRUSTS -- income, value, transactions (includes those of spouse and children. See pp. 34-57 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross Value at end of reporting period		D Transaction during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Am't Code1 (A-I)	Type (e.g., div., rent or int.)	Value Code2 (J-R)	Value Method Code3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-I)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income)									
1 Abbott Laboratories	A	Div.	K	T					
2 Altria Group	D	Div.	M	T					
3 Aqua America	B	Div.	L	T					
4 Bristol Myers Squibb	C	Div.	M	T					
5 Cisco Systems	none		J	T					
6 Exxon Mobil	B	Div.	L	T					
7 Freddie Mac	A	Div.	K	T					
8 General Electric	C	Div.	M	T					
9 Hanesbrand	none		K	T	spin-off	9/6/06	K	A	
10 IBM	A	Div.	J	T					
11 Idearc	none		J	T	spin-off	11/20/	J	A	
12 MBIA	A	Div.	K	T					
13 Medco Health Solutions	none		J	T					
14 Merck & Co.	A	Div.	J	T					
15 PNC Corp	B	Div.	M	T					
16 PPG Industries	C	Div.	K	T					
17 Sara Lee	C	Div.	M	T					

1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,000 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
2 Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,00 - \$500,000 P3=\$25,000,0001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,000 - \$5,000,000 P4=More than \$5,000,000	M=\$100,000 - \$250,000 P2=\$5,000,0001 - \$25,000,000 E=\$15,001 - \$50,000
3 Value Method Codes: (See Col. C2)	Q=Appraisal U=Block value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market

Trusts under Will

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Name of Person Reporting	Date of Report
Harvey Bartle, III	May 2007

VII. Page 1 INVESTMENTS AND TRUSTS -- income, value, transactions (includes those of spouse and children. See pp. 34-57 of Instructions.)

A Description of Assets (including trust assets) <i>Place "EX" after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transaction during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt Code1 (A-H)	Type (e.g., div., rent, or int)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income)									
1 Hospira	none		J	T					
2 PPL Resources	B	Div.	L	T					
3 Verizon Communications	C	Div.	L	T					
4 Blackrock Muniyield PA Insd Fd.	B	Div.	L	T					
5 Nuveen PA Premium Inc Fd 2	B	Div.	L	T					
6 PA Insd. Mun Inc. Tr. 1st Ser.	A	Div.	J	T					
7 Van Kampen Cap PA Val	C	Div.	L	T	Part Sale	6/21/	L	D	
8 So. East PA Transit 5%	B	Ex. Int.	K	T					
9 Lehigh Co. Hosp. 5%	A	Ex. Int.	K	T					
10 PA Int. Govt. 5%	B	Ex. Int.	K	T					
11 Wachovia Command Money Mkt	A	Div.	K	T					
12 Bank of Holland CD 4%	A	Int.	K	T					
13 GMAC Automotive CD 3.6%	A	Int.	K	T					
14 GMAC Automotive CD 3.25%	A	Int.	K	T	Redeemed	12/29/	K	A	
15 Westsound Bank CD 3.8%	A	Int.	K	T					
16 Doral Bank CD 4.15%	A	Int.	J	T					
17 Discover Bank CD 4.2%	A	Int.	J	T					

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2 Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,000 - \$500,000 P3=\$25,000,0001 - \$50,000,000	K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	L=\$50,001 - \$100,000 P1=\$1,000,000 - \$5,000,000 P4=More than \$5,000,000	M=\$100,000 - \$250,000 P2=\$5,000,0001 - \$25,000,000
3 Value Method Codes: (See Col. C2)	Q=Appraisal U=Block value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 11, 2007

NOTE: ANY INDIVIDUAL WHO FORGETS AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544