AO 10
Rev. 1/2010

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Smith, George C.	U.S. District Court	4/1/10
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
U.S. District Court Judge Senior Status	☐ Nomination, Date ☐ Initial ☒ Annual* ☐ Final 5b. ☐ Amended Report	2009 to
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
85 Marconi Blvd., Rm.101 Columbus, OH 43215	Reviewing Officer_____ Date_____	

IMPORTANT NOTES: *The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.*

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☐ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1	Trustee	The Perry Group Perry Memorial Natl. Park Put-in-Bay, Ohio
2		
3		
4		
5		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1		
2		
3		

Name of Person Reporting	Date of Report
Smith, George C.	4/1/10

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1	2009	Pension, Pub. Employees Retirement Sys. Ohio (Total)	$79,304.00
2			
3			
4			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*
(Dollar amount not required except for honoraria.)

☒ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2		
3		
4		

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.*
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.					
2					
3					
4					
5					

Name of Person Reporting	Date of Report
Smith, George C.	4/1/10

V. GIFTS. *(Includes those to spouse and dependent children: see pp. 28-31 of filing instructions.)*

[X] NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3			
4			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

[X] NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Smith, George C.	4/1/10

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period (1) Amount Code 1 (A-H)	B (2) Type (e.g., div., rent, or int.)	C Gross value at end of reporting period (1) Value Code 2 (J-P)	C (2) Value Method Code 3 (Q-W)	D Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D (2) Date mm/dd/yy	D (3) Value Code 2 (J-P)	D (4) Gain Code 1 (A-H)	D (5) Identity of buyer/seller (if private transaction)
1 Fifth Third Bank Columbus, OH (CDs)	C	int.	M	T					
2 Fifth Third Bank Columbus, OH	A	int.	K	T					
3 Reassure America Life Ins. Co. Ft.Wayne, IN	A	div.	K	T					
4 [redacted]	D	Rent	M	W					
5 Merrill Lynch Trust	A	int.	J	T					
6 Huntington Natl.Bank	A	int.	J	T					
7 New England Financial Met Life Co. Variable Flex Life Cash Value (See Part VIII)	C	div.	K	T					
8 Natl. Financial Services LLC, New York, NY (Fifth Third Securities Columbus, Ohio) Bond Purchases as follows:									
9 Conv. Fac.Rev.Bonds Hamilton Co. OH	A	int.	K	T	Buy	9/20/09K			
10 Sales Tax Bonds Hamilton Co. OH	A	int.	K	T	Buy	6/10/09K			
11 Ohio State Hospital Fac. Bonds	A	int.	K	T	Buy	8/25/09K			
12									
13									
14									
15									
16									
17									

1 Income Gain Codes (See Columns B1 and D4)	A = $1,000 or less	B = $1,001 - $2,500	C = $2,501 - $5,000	D = $5,001 - $15,000	E = $15,001 - $50,000
	F = $50,001 - $100,000	G = $100,001 - $1,000,000	H1 = $1,000,001 - $5,000,000	H2 = More than $5,000,000	
2 Value Codes (See Columns C1 and D3)	J = $15,000 or less	K = $15,001 - $50,000	L = $50,001 - $100,000	M = $100,001 - $250,000	
	N = $250,001 - $500,000	O = $500,001 - $1,000,000	P1 = $1,000,001 - $5,000,000	P2 = $5,000,001 - $25,000,000	
	P3 = $25,000,001 - $50,000,000		P4 = More than $50,000,000		
3 Value Method Codes (See Column C2)	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
	U = Book Value	V = Other	W = Estimated		

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII. Investments and Trusts
No. 7 This policy purchased from New England Financial (Met Life Co.) was inadvertantly omitted from prior reports. As policy holder I have no choice in the selection of investments.

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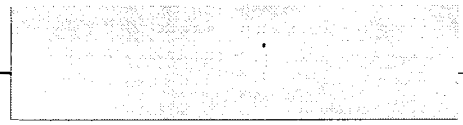
Smith, George C.	4/1/10

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature 

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544